

1 TITLE PAGE

2 **Optimizing Earth Observing Constellations of Satellite Sensors Using ASPEN:**
3 **A Proof-of-Concept Study for Global NWP and Nowcasting Applications**

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ABSTRACT

16 The Advanced Systems Performance Evaluation tool for NOAA (ASPEN) is applied to the
17 problem of optimizing the design of a constellation of sensors by calculating the scientific
18 benefit, cost, and cost effectiveness of all possible combinations of sensors within a specified
19 catalog of sensors. In this proof-of-concept study, sensors are derived from the NOAA Satellite
20 Observing Systems Architecture (NSOSA) study and the Geostationary Extended Observations
21 satellite system (GeoXO) program, and the targeted applications are restricted to two examples,
22 global NWP and a suite of 6 nowcasting applications. The example calculations use the current
23 version of ASPEN, the current version of the ASPEN data bases, and a simplified method of
24 estimating costs.

25 Achieving optimization adopts the approach of visualizing the results as cost-benefit
26 “efficient frontier” scatterplots and identifying the most efficient constellations—the
27 constellations that maximize benefit for a given cost. The optimal constellation depends strongly
28 on the budget, the sensor costs, the applications considered and their observational requirements
29 and priorities, and the design ensemble of possible constellations. For illustration a simple
30 decision rule is applied to select the optimal constellation for a given budget. In practice such
31 guidance must be carefully considered in the context of neighboring constellations in the
32 efficient frontier scatterplot.

33 1. Introduction

34 For new satellite Earth observing sensors the formulation, design, acquisition, calibration and
35 validation, and implementation into operational use can take years. Since such sensors are often
36 used in combinations, national weather and space agencies have well established plans to deploy
37 and exploit constellations of Earth-observing satellites [e.g., Simmons et al. 2016]. Different
38 approaches have been taken to optimize the “Next-Gen” Earth-observing architecture. For
39 example, detailed simulation experiments called Observing System Simulation Experiments
40 (OSSE) [e.g., Boukabara et al. 2016b] as well as Forecast Sensitivity to Observation Impact
41 (FSOI) methods are well developed for assessing data impacts on global NWP skill. But OSSEs
42 are expensive and time consuming, FSOIs are applicable to current data, and both OSSEs and
43 FSOIs are limited to weather forecast applications. As an alternative, several projects have
44 collected and compared observing system capabilities and application requirements. These
45 include the Space Platform Requirements Working Group (SPRWG) [Anthes et al. 2019], the
46 NOAA Satellite Observing System Architecture Study (NSOSA) [Maier et al. 2021], the GeoXO
47 Requirements Working Group (XORWG), and the NOAA Observing System Integrated
48 Analysis (NOSIA) [Helms et al. 2016]. In addition the Consolidated Observing User
49 Requirements List (COURL) [Murray et al. 2008] and the WMO Observing Systems Capability
50 Analysis and Review (OSCAR) database [WMO, 2019] collect diverse sets of requirements.
51 These types of studies and databases can apply to a wide range of applications and can support
52 the optimization of the return-on-investment for new capabilities. However, these approaches
53 have limitations that must be carefully considered to ensure that they are not applied beyond
54 their range of validity. This concern also applies to the Advanced Systems Performance
55 Evaluation tool for NOAA (ASPEN) [Boukabara and Hoffman 2022], which is used in this
56 study.

57 ASPEN has been designed to be an extensible, repeatable, and explainable system that could
58 answer a wide range of questions. ASPEN is designed to measure the *degree to which one or*
59 *more observing systems can satisfy the needs of one or more environmental applications.* (In this
60 discussion observing system and application are generic terms. An observing systems is a
61 producer of information and an application is a consumer of information.) ASPEN is in essence a
62 performance/gap analysis tool. ASPEN measures how much an observing system fulfills the

63 (prioritized) requirements ranges of the applications. The higher this degree of satisfaction, the
64 more the observing system is considered beneficial. ASPEN introduces two novel concepts for
65 capabilities/requirements assessments. First, ASPEN provides an interface to compare the
66 performance of different observing systems to the requirements of applications. This interface is
67 generally applicable and observing system neutral because it characterizes the Earth System in
68 terms of geophysical observables and their attributes. Second ASPEN requirements are matched
69 to priorities. This is a way to capture and apply the knowledge that satisfying certain
70 requirements is more valuable than satisfying others.

71 ASPEN is similar in some ways to NOSIA and NSOSA. The NOSIA database, maintained
72 by the NESDIS Technology, Planning, and Integration for Observation (TPIO) division,
73 documents the relationship between observing systems, mission requirements, and their mission
74 service impacts [Helms et al. 2016]. The primary sources of data for NOSIA are inputs from
75 about 500 NOAA Subject Matter Experts (SMEs) knowledgeable on observing system impacts
76 upon the products and services for which they are responsible, as well as input from NOAA
77 Mission Service Area (MSA) portfolio managers who provided the structure and priority of
78 functionally aligned services. The primary source of NOSIA observing system cost data is the
79 NOAA Observing Systems Council (NOSC) System of Record (SoR) database. The NOSIA
80 Value Tree traces the relationships captured in the database from NOAA Goals to MSAs to key
81 products and services to data sources to observing systems. Graphical tools can be used to mine
82 the data, extract, and integrate the data at a level familiar to the user, displaying the data in
83 unambiguous depictions. In this way, NOSIA provides a repeatable integrated analysis
84 capability to assess NOAA's observing system architecture.

85 The NSOSA study (2014-2018) was conducted by the NOAA Office of Systems Architecture
86 and Advanced Planning (OSAAP) to support planning the long-term future of the NOAA follow-
87 ons to GOES-R and JPSS [Maier et al. 2021]. Each NSOSA iteration included designing,
88 costing, and assessing the benefit of tens of alternative satellite constellations in order to answer
89 a number of questions related to the space-segment architecture. Key steps in the NSOSA
90 process include the development of the value model, development of the instrument catalog,
91 synthesis of constellation alternatives, and calculation of the constellation benefits. The NSOSA
92 value model developed by SPRWG evaluates the generalized observation capabilities, using the

93 principles of multi-attribute utility theory (MAUT). Details are given by Anthes et al. [2019].
94 Elements of the NSOSA instrument catalog that are used in the present study are described in
95 Section 3.2 and Appendix A. The NSOSA team examined about 150 distinct constellation
96 configurations of the millions possible. After an initial very diverse sample, the study focused on
97 edge cases, cases with extreme performance, low costs, or high benefit-to-cost ratios. As in
98 ASPEN, performance scores for each metric range from zero for minimally useful constellations
99 to one for maximally useful constellations. The overall benefit is then a weighted summation of
100 the performance scores.

101 In this study we use ASPEN to estimate optimal and near-optimal constellations among the
102 possible combinations of a limited set of GEO and LEO sensors. For simplicity we only consider
103 NOAA assets. Including a full GEO Ring in place of just the GeoXO sensors or considering
104 additional polar orbiters beyond the JPSS sensors would require either ignoring or specifying the
105 impact of differences in performances between the NOAA and international partner sensors. The
106 optimal and near-optimal constellations that are determined might then be used to support
107 decisions about the Next-Gen Earth architecture. For that, we generated all possible permutations
108 within a given design ensemble considering the following sensors: For the GEO sensors we
109 follow the GeoXO plans in terms of sensors considered: a VIS IR GEO Imager similar to the
110 GeoXO Imager (GXI), a Lightning Mapper (LM) similar to the GeoXO Lightning Mapper
111 (LMX), and an Ocean Color Sensor (OCS) similar to the GeoXO Ocean Color Instrument
112 (OCX) on the GOES East and West platforms and an IR GEO Sounder similar to the GeoXO
113 Sounder (GXS) and an Atmospheric Composition Sensor (ACS) similar to the GeoXO Ocean
114 Color Instrument (OCX) on the GOES Central platform. For the LEO sensors we consider a MW
115 LEO Sounder similar to the Advanced Technology Microwave Sounder (ATMS), a VIS IR LEO
116 Sounder similar to the Cross-track Infrared Sounder (CrIS), a VIS IR LEO Imager similar to the
117 Visible Infrared Imaging Radiometer Suite (VIIRS), and an Ozone Mapper Profile Suite (OMPS)
118 similar to the current instrument.

119 The optimization is performed within the space of a design ensemble—an enumeration of all
120 possible constellations under consideration. In the baseline “simple design ensemble” there are
121 two copies of each LEO sensor, and it is assumed that every constellation includes a MW LEO
122 Sounder and a VIS IR GEO Imager. A more “extended design ensemble” is also considered that

123 allows for up to 3 different versions of each sensor and 1, 2, or 4 copies of the LEO sensors and
124 that does not require-assume that every constellation includes a MW Sounder and a GEO Imager.
125 Costs of all permutations (constellations) are computed using a simple cost model that adds the
126 costs associated with the individual sensors in the constellation. Since these costs are based on
127 the annualized per sensor allocation of the total system costs, they include the costs of launch,
128 spacecraft, and ground system, but this method of combining costs is only approximate.

129 The ASPEN satisfaction metric (called the benefit) is computed for each permutation, to
130 assess the degree of satisfaction of application requirements. The benefit/cost ratio (called the
131 cost effectiveness) is also computed. In this study the benefits are calculated with respect to the
132 Global NWP application and 6 different nowcasting applications. (We capitalize Global NWP
133 and the names of the nowcasting applications when referring to the ASPEN versions of these
134 applications.) To select the most efficient constellations of all possible ones, we plot each as a
135 point in terms of ASPEN benefit vs. costs. Those on the upper bounding line (called the efficient
136 frontier, Maier, 2021) are the most beneficial for the cost. It is important to realize that this
137 ranking is dependent on the application used. It will change if other observing systems or
138 applications are used/added (as should be done).

139 Please note that ASPEN is in a state of development. We believe ASPEN holds great promise
140 as a support tool for optimizing constellations of observing systems following the methodology
141 employed in this study. However, this study is only a demonstration of what is possible
142 employing the current prototype version of ASPEN. In this study we address the problem of
143 optimizing NOAA's combination of the planned GeoXO constellation and the current polar
144 orbiters for the global NWP and nowcasting applications. This problem statement results in
145 several artifacts and results in a number of caveats concerning the results presented. As discussed
146 in detail in the Conclusions, we apply the ASPEN methodology to a limited set of observing
147 systems and applications, using the current version of ASPEN, the current ASPEN data bases,
148 and a simplified method of estimating costs.

149 The plan of this paper is as follows. The ASPEN methodology is briefly described in Section
150 2.1 and the method of combining sensor performances into constellation performances is
151 described in Section 2.2. The data used are described in Section 3, including the application
152 requirements and priorities for Global NWP and for the six nowcasting applications in Section

153 3.1 and the performances of the sensors that will make up the constellations in Section 3.2. The
154 possible constellations are defined in terms of two design ensembles described in Section 4. Four
155 sets of proof-of-concept constellation optimization studies are described and compared in
156 Section 5. A summary and concluding remarks are given in Section 6.

157

158 **2. ASPEN Methodology**

159 *2.1. ASPEN concepts*

160 The basic ASPEN concepts and methods are described in detail by Boukabara and Hoffman
161 (2022). In ASPEN observing system performances are compared to application requirements in
162 terms of Earth system variables and their attributes. In the context of ASPEN, observing systems
163 are any providers of geophysical information and applications are any consumers of geophysical
164 information. Examples of observing systems are satellite sensors, ground-based radars,
165 commercial providers of data, and confederations of mobile phones of citizen scientists.
166 Examples of applications include NWP and nowcasting, as well as various “users”, such as
167 broadcast meteorologists, and emergency managers. Both observing systems and applications
168 could be composites, e.g., constellations of including multiple sensors, such as GeoXO or JPSS
169 and mission service areas (MSAs), such as Severe Weather or Coastal Hazards.

170 The ASPEN calculation of the benefit of an observing system for an application begins by
171 scoring observing system performances by the application requirements ranges. These scores
172 normalize and truncate the performances to the range 0 to 1 indicating completely useless to
173 maximumly useful information, respectively. This scored performance is determined for all
174 combinations of variables, attributes (e.g. horizontal and vertical resolution, coverage, and
175 precision), applications, and observing systems. In ASPEN the observing system performances,
176 the application requirements ranges, and the application priorities are stored as variables by
177 attributes tables. The benefit is then the sum of the performance scores weighted by the
178 application priorities. The cost effectiveness is the benefit divided by the cost of the observing
179 system.

180 ASPEN was developed using NSOSA concepts and databases, and like NSOSA is highly
181 rigorous and transparent. NSOSA, being based on MAUT, focuses on a small number of key
182 objectives, while ASPEN aims to account for a wide range of Earth system variables and their
183 attributes and to be more capable of assessing all potential solutions and accounting for all
184 applications. The assumption made in ASPEN is that satisfying application requirements close to
185 the maximum level, will lead to maximizing systems skills and performances. Similarly,
186 satisfying application requirements at the minimum level will lead to minimum levels of
187 performance and skills of those systems. A major criterion for ASPEN's trustworthiness is the
188 trustworthiness of its inputs: (1) observing systems detailed performances and costs, and (2)
189 application observational requirements ranges and priorities. We are now expanding ASPEN to
190 be more descriptive of the Earth system environment and more encompassing of the diverse
191 application requirements. This includes expanding the list of variables from the 91 defined in the
192 prototype version that is used in this study to more than 400, as well as defining additional
193 attributes needed to better describe observing systems performances and application
194 requirements.

195 In this proof-of-concept study, beyond demonstrating the analyses made possible by ASPEN,
196 we will illustrate ASPEN's ability to trace benefits associated with any observing system, to
197 inputs including application requirements and priorities. This capability is based on analyzing the
198 benefit contributions given by multiplying the scored performance by the application priority for
199 all variables, attributes, applications, and sensors. As a result, the ASPEN analyses are traceable,
200 reproducible, transparent, and efficient to use by allowing "what if" scenario assessments. In
201 other words, ASPEN analyses are defensible with stakeholders.

202 *2.2. Constellation calculations*

203 Boukabara and Hoffman (2022) did not discuss combining sensors into a constellation. It is
204 possible to create a sensor performances table for each constellation. However, this is not
205 without complications since a given application might use information from a constellation in a
206 different way than another application. In this study, we apply a maximum benefit by variable
207 (MBV) approach to calculate the combined benefit of a constellation of sensors for an
208 application. That is, for each pair of an application and a constellation, the sensor providing the
209 maximum benefit for each variable is used to provide the information for that variable.

210 In the MBV approach, information for a single variable from multiple sensors is not
211 combined. This makes the MBV approach most appropriate for applications like the nowcasting
212 applications. In such applications the forecaster is presented with images (maps) of several
213 variables, and it makes sense to present the forecaster with data from the best source for each
214 variable. Even though information from multiple sensors is not combined in this idealization, in
215 reality multiple sources of information about a single variable might be used to fill in temporal
216 gaps or as a source of verification. The MBV approach only provides a lower bound for benefit
217 for applications like global NWP which use a Bayesian approach to optimally combine different
218 sources of information. For these types of applications, ASPEN should use alternative methods
219 of determining the benefit of a constellation of sensors for an application. One approach, which
220 could make use of data sets prepared for OSSEs, is to sample several days worth of simulated
221 observations of the different sensors and estimate the performance for the combined set of
222 sensors in each constellation.

223 For efficiency when considering several constellations, the first step in the MBV calculation
224 is to calculate the benefit to each application for each variable due to each sensor. These can then
225 be used to directly calculate the MBV constellation benefits by summing the maximum variable
226 benefits for each constellation. The MBV approach is also used to determine the combined
227 benefit due to the different modes of a sensor like the VIS IR GEO Imager for each application.
228 This approach is used in determining the benefit of such a sensor to an application and is a
229 preliminary step in constellation calculations to determine the benefit to each application for
230 each variable due to such a sensor.

231 **3. Data**

232 *3.1. Application requirements and priorities*

233 In this study we consider either the single Global NWP application or the six nowcasting
234 applications. This is for illustration only—many other applications should be included to capture
235 the full benefit of proposed observing systems. The nowcasting applications are for dense fog,
236 fire monitoring, floods, offshore winds and sea ice, thunderstorms, and winter precipitation. For
237 the purpose of this study the nowcasting applications are assigned equal strategic priorities.
238 These are the applications used because these ASPEN application requirements and priorities

239 have undergone the most scrutiny (as detailed in the next paragraph) and they are the closest we
240 have to ASPEN application tables that are truly vetted and fully peer reviewed. As we will see,
241 the results make sense, as expected, but only from the Global NWP and nowcasting perspectives.
242 To apply ASPEN comprehensively requires that in the future we account for other applications
243 to encompass the whole NOAA mission.

244 The application requirements and priorities were developed by members of the NOAA
245 NESDIS Systems performance Assessment Team (SAT) and reviewed by the entire SAT. The
246 SAT is a technical team with diverse expertise in remote sensing, data assimilation, impact
247 assessment, sensors engineering, calibration, meteorology, oceanography, land/hydrology, and
248 other areas. In addition to the SAT membership, the SAT meetings purposefully included
249 representatives from academia, private sector, NASA, and additional members of other NOAA
250 offices.

251 For Global NWP, the SAT study (Boukabara et al. 2022) was led by Dr. Rick Anthes and
252 included representatives from NOAA, NASA, DoD, and academia. For the nowcasting
253 applications, the SAT study was led by Dr. Jordan Gerth who conducted surveys of the front-line
254 operational forecasting staff (technical reports in preparation 2023). We converted the results of
255 these studies to the needed ASPEN tables. These recommendations were discussed briefly by the
256 entire SAT, and finalized by the CORE-SAT, but they were not reviewed and the final
257 recommendations by the CORE-SAT were not discussed or reviewed by an independent group
258 of experts.

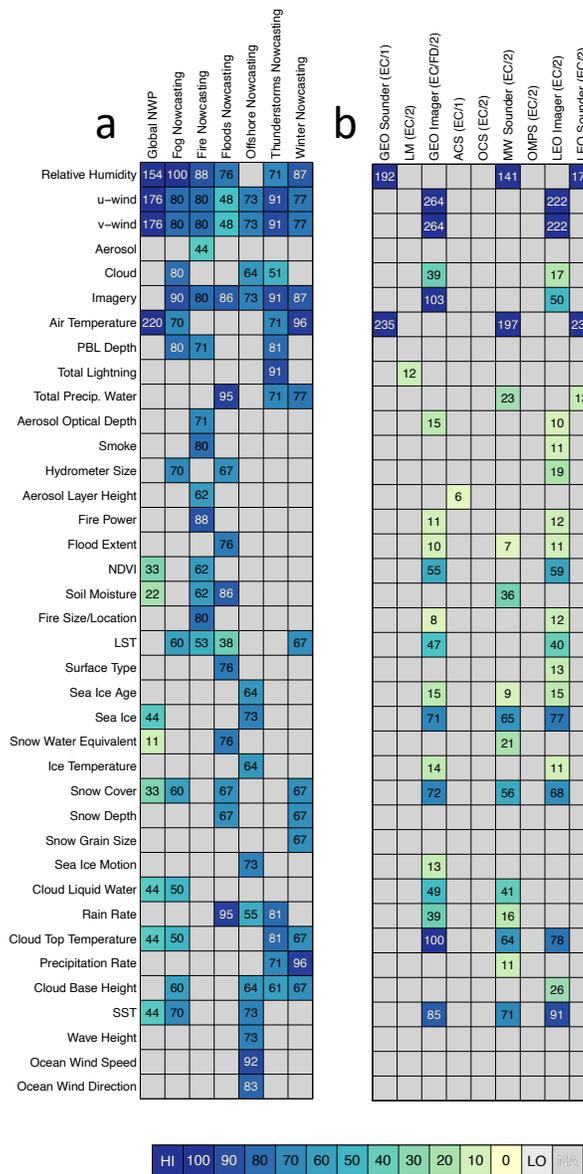
259 The geophysical variables and their application priorities used in this study are given for each
260 application in Fig. 1a. Future updates are anticipated. Individual applications require between 12
261 and 14 geophysical variables, but considering all 7 applications, a wide variety of variables are
262 required (38 of the 60 non-space weather variables included in the prototype version of ASPEN).
263 Of these 38 variables nearly half (16) are required by just one of the 7 applications while wind,
264 relative humidity and imagery are required by at least 6 of the 7 applications. A few variables
265 were identified during the SAT discussions as required but are ignored in this study (and are not
266 listed in Fig. 1) since requirements and priorities were not established by the SAT. Examples of
267 these variables include surface pressure for Global NWP, reflectivity for Thunderstorms, burn
268 scars and topography for Floods, and smoke injection height for fire monitoring for Nowcasting.

269 In addition, there are no requirements for vertical winds, although these are provided by the IR
270 GEO Sounder and would potentially be useful for some of the nowcasting applications. Since the
271 observing system capabilities used in this study do not include these variables, the effect of
272 adding them to the applications would only reduce all the benefits calculated for a given
273 application by a constant, which would not affect the interpretation of the results presented.

274 It should be noted that two deficiencies in the application requirements and priorities were
275 discovered and corrected during the course of this study. First, while the nowcasting applications
276 have requirements for relative humidity and the sensors performance are for relative humidity,
277 the Global NWP requirements are given in terms of specific humidity. As a result, there would
278 be no useful humidity information for Global NWP. This disconnect shows that future ASPEN
279 development should be better coordinated. In the present case it is only necessary to estimate the
280 relative humidity precision requirements (all priorities and the requirements for other attributes
281 are unchanged). Anthes and Rieckh (2018) present various estimates of the standard deviations
282 for specific humidity and relative humidity. By comparing their Fig. B1 and Fig. B2 we estimate
283 that the relative humidity standard deviations are approximately 1/3 larger than the
284 corresponding specific humidity standard deviations. We have therefore translated the specific
285 humidity requirement range of [15, 5] % to the relative humidity range of [20, 6.67] %. Second,
286 the Dense Fog Nowcasting application specifies requirements for both specific humidity and
287 relative humidity—we eliminated the specific humidity requirements.

288 *3.2. Sensors performances*

289 Sensor data for this study is based on information from the NSOSA study and from the
290 GeoXO program for 9 sensor types. The sensors are briefly described in Appendix B. Sensors
291 are specified in three classes to approximate the three levels of performance of the SPRWG
292 study (Anthes et al. 2019). The threshold class (TC) corresponds to the SPRWG threshold level
293 of minimal utility, the expected class (EC) corresponds to the SPRWG intermediate level
294 expected to be feasible by 2030, and the maximal class (MC) corresponds to the SPRWG
295 maximum effective level beyond which additional capabilities would not be cost effective. The
296 sensors considered and their costs (detailed below) are listed in Table 1.



297
 298 Figure 1. Application priorities (a) for variables by application (summed over attributes) and
 299 contribution to the mission benefit (b) by sensor (summed over attributes and applications).
 300 Values in (a) are in percent times 10 and in (b) are scaled so that a value of 0.035 is plotted as
 301 100. Gray cells in (a) indicate that the application has no requirement for that variable. Gray cells
 302 in (b) indicate that the sensor provides no benefit (i.e., no useful information) for that variable.
 303 Variables not required by any of the applications are not listed.

304 We estimated sensor performances in geophysical space for each of the 20 sensors in Table 1
 305 that has a cost assigned. There are 27 possible sensors given the 9 sensor types and the three
 306 sensor classes, but for the LM no information was available for the TC and for the ACS and the
 307 OCS information was available only for the EC. In addition, each VIS IR GEO Imager has three

308 modes of operation—Full Disk (FD), CONUS, and Mesoscale (Meso)—and each mode of
 309 operation requires a separate ASPEN sensor performance table. We started with engineering
 310 specifications from the NSOSA instrument catalog (Zuffada and Beatty 2016, and Monica
 311 Coakley, personnel communications, 2020-2021). The engineering specifications provided
 312 information on where and how often observations are made. The engineering specifications were
 313 converted to estimates of precision and vertical resolution in geophysical space based on
 314 analogies to existing instruments and forward model and retrieval algorithm simulations.

315 *Table 1. Sensors, legacy equivalents and assumed costs (\$M) for the three sensor classes: the*
 316 *threshold class (TC), the expected class (EC) and the maximal class (MC). The number of sensor*
 317 *options for each sensor type are also given for the simple design ensemble (SDE) and extended*
 318 *design ensemble (EDE), which are discussed in Section 4. Bolded portions of the sensor name*
 319 *column are acronyms or short names used as labels in figures.*

Sensor Type	Legacy	TC (M)	EC (M)	MC (M)	SDE	EDE
IR GEO Sounder	GEO-CrIS	79	157	314	2	4
Lightning Mapper (LM)	GLM		92	184	2	3
VIS IR GEO Imager	ABI	157	314	628	1	4
Atmospheric Composition Sensor (ACS)	ACX		101		2	2
Ocean Color Sensor (OCS)	OCX		92		2	2
MW LEO Sounder	ATMS	56	111	222	1	10
Ozone Mapper Profile Sensor (OMPS)	OMPS		120		2	4
VIS IR LEO Imager	VIIRS	161	322	644	2	10
VIS IR LEO Sounder	CrIS	100	199	398	2	10

320 Different geophysical variables are observed by different sensors as listed in Fig. 1b for the
 321 EC sensors. Figure 1b was determined by calculating the overall benefit to both the Global NWP
 322 and nowcasting applications, giving Global NWP a strategic weight equal to all six nowcasting
 323 applications. Note that variables not required by any of these 7 applications are not listed in Fig.
 324 1. In Fig. 1b, we see that the EC imagers provide useful information—information useful in
 325 terms of the requirement ranges for the 7 applications considered here—for many variables: 20
 326 for the VIS IR LEO Imager and 19 for the VIS IR GEO Imager. The MW LEO Sounder provides
 327 useful information on 14 variables. Other sensors—the LM, the ACS, and the VIS/IR
 328 Sounders—observe only a handful of variables, and two sensors—the OCS and OMPS—provide

329 no useful information (for the current requirements). Of the 38 variables in Fig. 1b, 16 are either
330 not observed or observed by only a single EC sensor. Others are observed by 2 or 3 EC sensors.

331 The sensor performances are all given for a single sensor. A modified sensor performances
332 table is generated whenever a sensor orbital configuration specifies multiple sensors, n . The
333 modifications are simplistic. For a sensor in the same LEO orbit (but with different equatorial
334 crossing times) the temporal refresh time is divided by n . For a sensor in GEO orbit the domain
335 is assumed to be defined as viewed from GOES Central if $n=1$ and from GOES East and West if
336 $n=2$.

337 *3.3. Sensor costs*

338 The cost model used in ASPEN should be tailored for the task under consideration. Currently
339 the cost model simply sums the annualized per sensor allocation of the total system costs in a
340 constellation. As such these costs include development costs, launch costs, spacecraft costs, and
341 ground system costs, but do not include exploitation costs (i.e., the costs of modifying
342 applications and educating users to properly use the new observations). A further complication is
343 that ground system and exploitation costs might depend on application. Whatever cost model is
344 used, it is key that the same basis for estimating costs is applied consistently to all observing
345 systems (or data or products) that are being compared side-by-side.

346 For this study total program costs estimated from public sources and reasonable assumptions
347 are allocated per year and per sensor. We begin by assigning the sensor costs estimates of Table
348 4 of Boukabara and Hoffman (2022) for the current GEO and LEO instruments to the
349 corresponding EC instruments. Boukabara and Hoffman (2022) determine the sensor costs from
350 the total JPSS and GOES-R program costs by (1) accounting for the number of satellites in each
351 program, (2) assuming each satellite is active for 5 years and (3) dividing the annualized satellite
352 cost proportionally to the sensor contract costs. Cost estimates for GeoXO sensors are given by
353 Adkins (2022) for two assumed discount rates and a distribution of sensors in which the GXI,
354 LMX, and OCX are hosted on the East and West platforms and the GXS and ACX are on the
355 Central platform. Cost estimates for the two discount rates are similar but considering both and
356 adjusting the East and West platform sensor costs from two sensors to a single sensor we set the
357 OCS cost to 1.0 times the already assigned LM cost, the ACS cost to 1.1 times the already

358 assigned LM cost, and the IR GEO Sounder cost to 0.5 times the already assigned VIS IR GEO
359 Imager cost.

360 To extend these costs to the TC and MC instruments we multiply by 0.5 and 2.0 respectively.
361 These multiplication factors are roughly consistent with the average ratio of Study Threshold to
362 Expected and Maximum Effective to Expected costs seen in the estimated costs of the NSOSA
363 instrument catalog (Zuffada and Beatty 2016).

364 **4. Design ensembles of constellations**

365 Before optimizing a constellation, we must specify the design ensemble, an enumeration of
366 all possible constellations under consideration. In this study we consider two design ensembles—
367 a simple design ensemble (SDE) made up of only EC sensors in fixed orbital configurations and
368 an enhanced design ensemble (EDE) that allows choices from all classes of sensors and several
369 LEO orbital configurations. A cost must be assigned to each constellation under consideration. In
370 the present study the constellation cost is simply the sum of the costs of all the sensors in the
371 constellation.

372 In the SDE, all LEO sensors included in a constellation are included in a 2-orbit
373 configuration. The VIS IR GEO Imager, the LM, and the OCS included in a constellation are
374 always on both the East and West platforms. The IR GEO Sounder and ACS included in a
375 constellation are always on the Central platform. This configuration of GEO sensors follows
376 GeoXO plans (Adkins 2022). In the SDE we also specify that every constellation includes the
377 MW LEO Sounder and VIS IR GEO Imager sensors. The other 7 EC sensors are each either
378 included or not, giving a total of $2^7=128$ individual constellations.

379 In the EDE all sensors listed in Table 1 might be chosen for a constellation but only a single
380 choice, i.e., a single class and a single orbital configuration is allowed for any sensor type. The
381 GEO orbital configurations are the same as in the SDE, but the LEO orbital configurations allow
382 for 1, 2, or 4 sensors in each case. For example, the sensor options for the VIS IR GEO Imager
383 are Null, EC/2, TC/2, and MC/2. Here Null indicates the constellation does not include a VIS IR
384 GEO Imager. Besides Null, the sensor options are composed of the sensor class (EC, TC, or
385 MC), followed by the number of sensors in the orbital configuration (always 2 for East and West
386 GEO platforms in the VIS IR GEO Imager case). For the MW LEO Sounder there are a total of

387 10 sensor options—Null plus three orbital configurations times three classes. The right-hand
388 columns of Table 1 give the number of sensor options for the SDE and EDE. The product of
389 number of sensor options for the EDE is 768000, but we must reduce this by 1 to eliminate the
390 constellation containing no sensors to give the total number of EDE constellations.

391 To keep track of the constellations in an ensemble we need to be able to determine the
392 sensors in the constellation from the constellation number and vice versa. For the SDE where the
393 choices are binary the constellation number displayed as a binary number reveals the sensors
394 included by the locations of the 1's in this binary number. A generalization of this approach used
395 for the general case makes use of the sensor options, always including Null as the first sensor
396 option. Any constellation is defined by one sensor option choice for each sensor type, or
397 equivalently by the indices of these choices. The indices are combined into a unique
398 constellation number. In the case of the SDE the constellation number is a binary number
399 because the indices are all either 0 or 1.

400 **5. Constellation optimization prototype results**

401 We calculated the cost and benefit for all constellations in the design ensembles of Section 4,
402 which make use of the sensors described in Section 3.2 for the Global NWP application and the
403 nowcasting applications described in Section 3.1. This gives four cases: Global NWP for the
404 SDE and EDE and nowcasting for the SDE and EDE. Visualization of these results as cost vs.
405 benefit scatterplots defines an efficient frontier, a small list of the most efficient constellations
406 for the cost. Constellations on and close to the efficient frontier are candidates for the optimal
407 constellation. A simple decision rule applied below determines the most beneficial constellation
408 of all constellations within a given budget constraint. In practice such guidance must be carefully
409 considered in the context of neighboring constellations in the efficient frontier scatterplot.

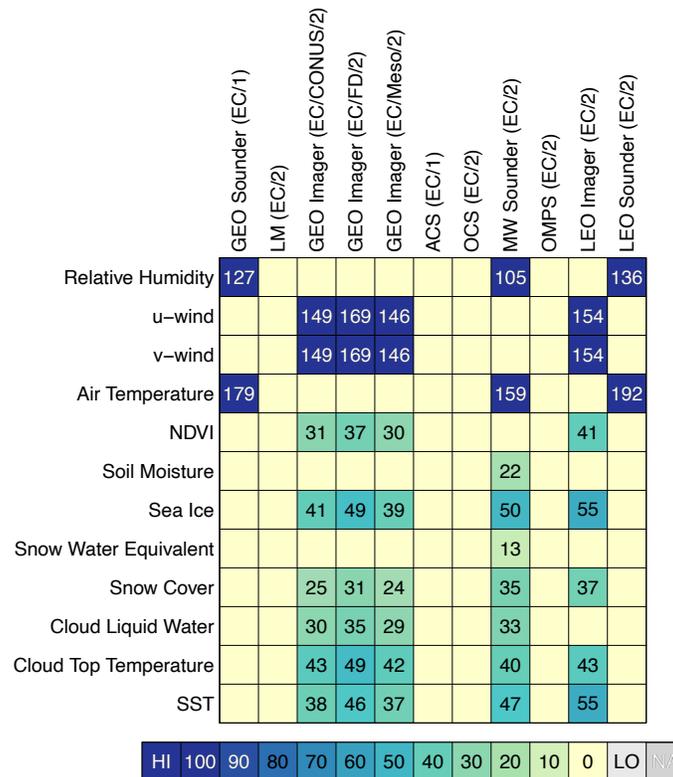
410 *5.1. Global NWP simple design ensemble*

411 Figure 2 shows the benefit contribution to the application (b^A) provided by each sensor in the
412 SDE for each variable that Global NWP requires. For example, air temperature information is
413 provided by the IR GEO Sounder ($b^A=0.143$), the MW LEO Sounder ($b^A=0.127$), and the VIS IR
414 LEO Sounder ($b^A=0.153$). Several sensors included in this study provide little or no useful

415 information for the Global NWP or nowcasting applications. The four sensors that provide no
416 useful information for Global NWP (indicated by columns of all zeros in Fig. 2) are the LM, the
417 ACS, the OCS, and the OMPS. First, we note that OCS is not expected to contribute to Global
418 NWP or nowcasting, but is included here as part of GeoXO. This results in superfluous
419 constellations—for any constellation without OCS there is another (what we term “superfluous”)
420 constellation that adds OCS but does not provide any additional benefit to Global NWP or
421 nowcasting. Second, in addition to the OCS, three other sensors provide no useful information to
422 Global NWP in this study and only limited useful information to the nowcasting applications.
423 This is a result of limitations in the requirements specified in the prototype version of ASPEN
424 being used. Ozone information is assimilated by Global NWP models, but the current ASPEN
425 Global NWP (and nowcasting) requirements do not include ozone. Thus, in the current study
426 OMPS and OCS do not provide any benefit to Global NWP or nowcasting. Also, for Global
427 NWP the current ASPEN requirements do not include any variables observed by the LM or the
428 ACS. But ACS observes ozone and lightning observations have been shown to provide useful
429 information for NWP in some forecast systems. Also, for the nowcasting applications, useful
430 information from the LM and the ACS is restricted to Total Lightning from the LM for the
431 Thunderstorms Nowcasting application and to Aerosol Layer Height for the Fire Monitoring
432 Nowcasting application.

433 With more complete requirements specifications for lightning and ozone variables, sensors
434 like the LM, the OCS, and the OMPS would provide benefit to Global NWP, but that benefit
435 would be small compared to the benefit due to temperature, wind, and humidity observations
436 which have high application priorities for Global NWP.

437 Figure 3 decomposes the benefits for temperature cited above into contributions for each
438 attribute. The IR GEO Sounder has the best performance in terms of data latency, horizontal
439 resolution, and temporal refresh, but located at the GOES East and West locations only covers a
440 fraction of the globe. Compared to the VIS IR LEO Sounder, the MW LEO Sounder appears to
441 be less capable in terms of latency, horizontal resolution, and precision. However, exclusions due
442 to cloud cover, which would decrease the capabilities of the IR sounders, are not included in this
443 version of ASPEN.

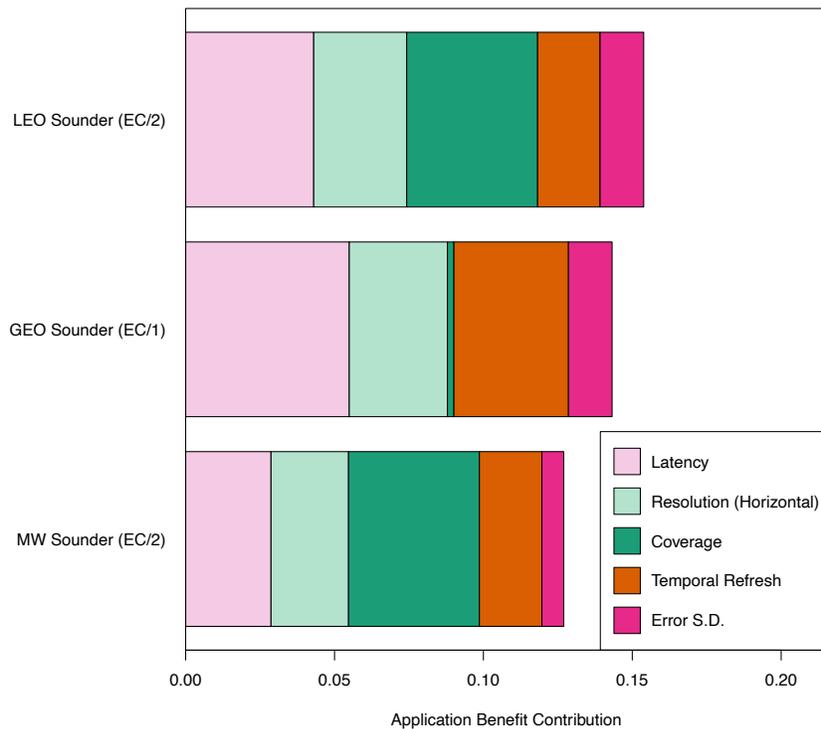


444

445 *Figure 2. The benefit contribution to Global NWP for each required variable for each sensor*
 446 *in the SDE. Benefit contributions are summed over the attributes. The benefit contributions have*
 447 *been scaled for the purpose of the plot so that a value plotted of 100 is equivalent to an actual*
 448 *value of 0.80. Note that each mode of the VIS IR GEO Imager is treated separately in this plot.*

449 In Fig. 4 the benefit for Global NWP is plotted vs. cost for all constellations in the SDE.
 450 Note that the baseline configuration of the two required sensors, the MW LEO Sounder and the
 451 VIS IR GEO Imager, provide a large benefit (0.71) that is hard to improve upon. All
 452 constellations are contained in the gray area, which is the area within the convex hull of all the
 453 points representing the constellations in the cost-benefit plane. By definition, all lines connecting
 454 points on the convex hull are contained in the convex hull area. The upper boundary of the
 455 convex hull (plotted in magenta) is the efficient frontier. A point along the efficient frontier has
 456 the maximum benefit for its cost, i.e., all other constellations having this cost or less have less
 457 benefit. Constellations along a horizontal line in this plot except for the leftmost one (i.e., least
 458 expensive one) have higher cost but the same benefit as the constellations along this line to the
 459 left. We refer to these constellations, plotted in dark gray, as superfluous. A superfluous
 460 constellation adds one or more sensors to a less expensive constellation but does not provide

461 additional benefit. These additional sensors may provide some useful benefit on their own but
 462 are not chosen by the MBV method and do not add benefit in these superfluous constellations.
 463 That is for any of the applications under consideration some other sensor provides greater benefit
 464 for each variable. In the SDE for Global NWP the superfluous constellations are almost all due
 465 to the fact that the LM, OCS, ACS, and OMPS don't observe any of the Global NWP required
 466 variables. We could eliminate them from this analysis, leaving a design ensemble that includes
 467 the baseline sensors and either includes or not the IR GEO Sounder, VIS IR LEO Sounder and
 468 VIS IR LEO Imager for a total of $8=2^3$ constellations. Of these 8 interesting constellations it
 469 turns out that 2 are superfluous. This occurs because these 2 constellations contain both IR
 470 Sounders and the VIS IR LEO Sounder outperforms the IR GEO Sounder for air temperature and
 471 relative humidity, the variables providing benefit to Global NWP for these 2 sensors. Thus Fig. 4
 472 highlights the remaining 6 constellations plus the most expensive constellation, the rightmost
 473 point on the efficient frontier, which is superfluous but is nonetheless included in the count of
 474 optimal constellations.



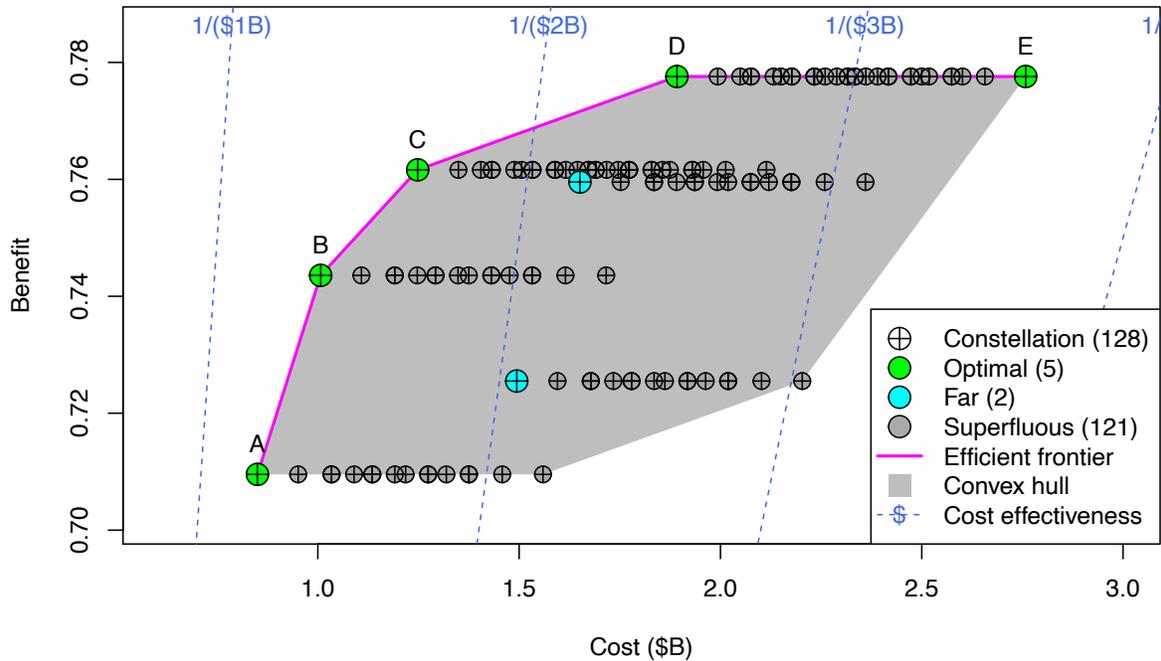
475
 476 *Figure 3. The benefit contribution by attribute to Global NWP for Air Temperature for the*
 477 *sensors in the SDE providing temperature information.*

478

479 The constellations on the efficient frontier are labeled sequentially with letters in the
480 scatterplot and in the table in Fig. 4. This ordering is by cost, equivalently by position along the
481 *x*-axis in the scatterplot of Fig. 4. (Additional letter labels are assigned sequentially by cost for
482 other constellations discussed in the text. In the text, the letter X by itself will refer to
483 constellation X in the figure being discussed.) Along the efficient frontier, both cost and benefit
484 increase monotonically, with benefit asymptoting towards its maximum value. These trends are
485 required along the efficient frontier. The definition of the convex hull guarantees that the slope of
486 the efficient frontier line segments must decrease as cost increases. (If there were two
487 consecutive efficient frontier line segments for which this did not hold, then a line connecting the
488 distal points would pass through the area above the efficient frontier and outside of the convex
489 hull.) Cost effectiveness decreases monotonically along the efficient frontier as well. This
490 follows because the blue short-dashed lines of constant cost effectiveness plotted in Fig. 4 are
491 steeper than the efficient frontier line segments. The most cost effective point along the efficient
492 frontier must lie on a line of constant cost effectiveness that touches but does not intersect the
493 efficient frontier. If the lines of constant cost effectiveness were less steep than point C might be
494 the most cost effective.

495 The table reveals the following progression along the efficient frontier:

- 496 • A is the baseline constellation composed of the two required sensors, the MW LEO
497 Sounder and the VIS IR GEO Imager;
- 498 • B adds the IR GEO Sounder;
- 499 • C replaces the IR GEO Sounder with the VIS IR LEO Sounder because the VIS IR LEO
500 Sounder provides more benefit, but at higher cost;
- 501 • D adds the VIS IR LEO Imager;
- 502 • E adds back the IR GEO Sounder and adds the remaining sensors—OMPS, OCS, ACS,
503 and LM—but these do not provide any benefit for Global NWP. (However, the IR GEO
504 Sounder that is added back should improve the benefit over D. Why doesn't it?)



⊕	ID	Benefit	Cost	Cost Effectiveness	Distance to EF	GEO Sounder	LM	GEO Imager	ACS	OCS	MW Sounder	OMPS	LEO Imager	LEO Sounder
A	EF.128	0.710	0.850	0.835	0.0000			<u>EC/2</u>			<u>EC/2</u>			
B	EF.1	0.744	1.007	0.738	0.0000	<u>EC/1</u>		<u>EC/2</u>			<u>EC/2</u>			
C	EF.64	0.762	1.248	0.610	0.0000			<u>EC/2</u>			<u>EC/2</u>			<u>EC/2</u>
D	EF.96	0.778	1.892	0.411	0.0000			<u>EC/2</u>			<u>EC/2</u>		<u>EC/2</u>	<u>EC/2</u>
E	EF.127	0.778	2.758	0.282	0.0000	<u>EC/1</u>	<u>EC/2</u>	<u>EC/2</u>	<u>EC/1</u>	<u>EC/2</u>	<u>EC/2</u>	<u>EC/2</u>	<u>EC/2</u>	<u>EC/2</u>

505

506 *Figure 4. Benefit vs. cost (\$B) for constellations in the SDE for the Global NWP application.*
 507 *Plots of this type are organized as follows: In the scatterplot (top), the convex hull surrounding*
 508 *all constellations is shaded light gray, the efficient frontier is the magenta line, and individual*
 509 *constellations are plotted as circled-plus symbols. Colors under the circled-plus symbols*
 510 *indicate different categories with constellations defining the efficient frontier in green,*
 511 *constellations close to the efficient frontier (i.e., with a benefit within 0.01 of the benefit of the*
 512 *efficient frontier) in gold, constellations far from the efficient frontier in cyan, and superfluous*
 513 *constellations in dark gray. Selected constellations are annotated with letters, which are*
 514 *repeated in the first column of the table (bottom). The legend gives the number of constellations*
 515 *in each of these categories present. Lines of constant cost effectiveness are overplotted. The table*
 516 *(bottom) gives details for selected points ordered by increasing cost (i.e., along the x-axis of the*
 517 *scatterplot). These constellations are identified (in column ID) by either EF for efficient frontier,*
 518 *CN for constellation near to the efficient frontier, or CF for constellations far from the efficient*
 519 *frontier, followed by the constellation number. The next four columns give numerical values*
 520 *from the scatterplot. The rightmost columns describe the sensors making up the constellations.*
 521 *All the cells indicating the presence of a sensor contain the sensor option in the form xC/n,*
 522 *where xC is the sensor class and n is number of copies of the sensor. Cells are shaded in*
 523 *different colors for different classes, green for EC sensors, gold for TC sensors and blue for MC*
 524 *sensors. The text in these cells is bold and underlined for sensors added to the constellation*
 525 *compared to the previous constellation. In this case, for example, EF.1 contains the IR GEO*
 526 *Sounder, VIS IR GEO Imager and MW LEO Sounder and relative to the previous constellation*
 527 *the IR GEO Sounder has been added.*

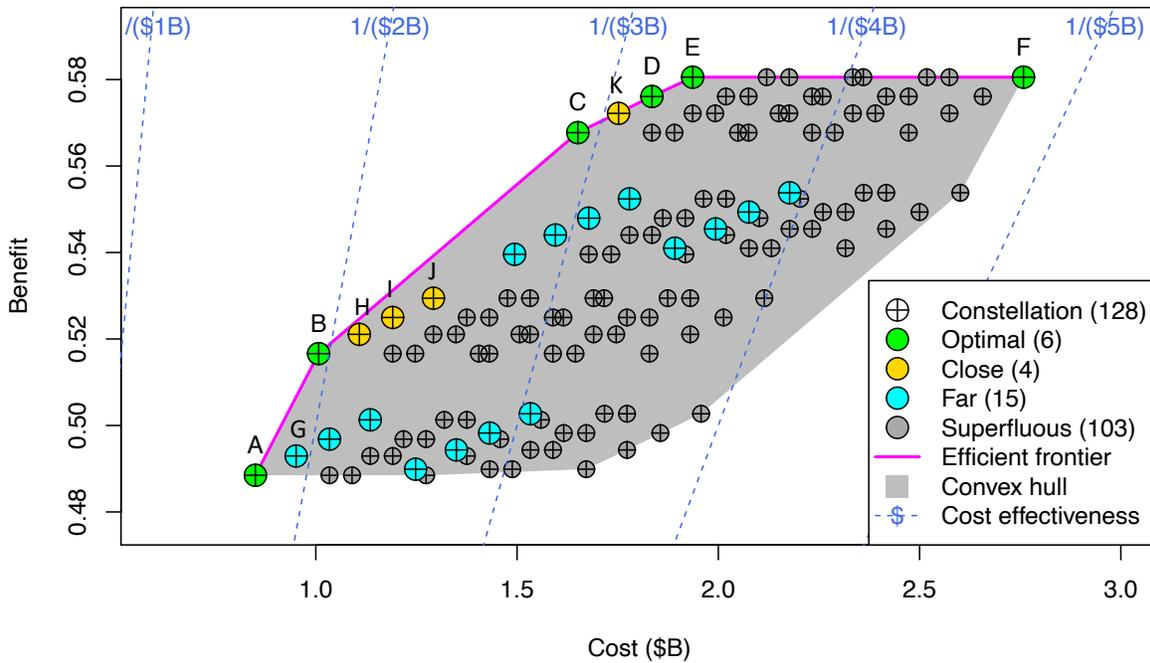
528 While Fig. 4 shows which sensor contribute to each constellation in the lower table, Fig. 5
 529 shows which sensors provide information for which variables in each of these constellations. For
 530 example, compared to C, D adds the VIS IR LEO Imager (sensor 10) improving the performance
 531 for four surface variables—NDVI, Sea Ice Concentration, Snow Cover, and SST—but leaves the
 532 performance for the other variables unchanged. Since the VIS IR GEO Imager is by design
 533 included in all constellations in the SDE, the VIS IR LEO Imager can be added but cannot
 534 replace the VIS IR GEO Imager. And the potential additional benefit of adding a VIS IR LEO
 535 Imager is limited (0.016, comparing D to C). This is because, as seen in Fig. 5, the VIS IR LEO
 536 Imager provides more benefit than the VIS IR GEO Imager only for these four surface variables,
 537 variables that do not have large priorities for Global NWP (Fig. 1a). Except for these four
 538 variables, and for Air Temperature and Relative Humidity, the other variables requirements are
 539 all best satisfied by the baseline constellation sensors—the VIS IR GEO Imager (FD mode, 4)
 540 and the MW LEO Sounder (8). For Air Temperature and Relative Humidity, each of the three
 541 sensors discussed earlier in relation to Fig. 3 are used depending on which are included in the
 542 constellations.

	EF:128	EF:1	EF:64	EF:96	EF:127	
Relative Humidity	8	1	11	11	11	1 GEO Sounder (EC/1)
u-wind	4	4	4	4	4	2 LM (EC/2)
v-wind	4	4	4	4	4	3 GEO Imager (EC/CONUS/2)
Air Temperature	8	1	11	11	11	4 GEO Imager (EC/FD/2)
NDVI	4	4	4	10	10	5 GEO Imager (EC/Meso/2)
Soil Moisture	8	8	8	8	8	6 ACS (EC/1)
Sea Ice	8	8	8	10	10	7 OCS (EC/2)
Snow Water Equivalent	8	8	8	8	8	8 MW Sounder (EC/2)
Snow Cover	8	8	8	10	10	9 OMPS (EC/2)
Cloud Liquid Water	4	4	4	4	4	10 LEO Imager (EC/2)
Cloud Top Temperature	4	4	4	4	4	11 LEO Sounder (EC/2)
SST	8	8	8	10	10	
	A	B	C	D	E	

543
 544 *Figure 5. The sensor contribution table for the constellations listed in the table in Fig. 4 for*
 545 *the Global NWP application. Each cell in the plot identifies the sensor in the constellation that*
 546 *provided the information for each variable according to the MBV calculation (Section 2.2). E.g.,*
 547 *snow cover is provided by the MW LEO Sounder in the first three constellations and by the VIS*
 548 *IR LEO Imager in the last two constellations.*

549 5.2. Nowcasting simple design ensemble

550 The general shape of the convex hull in Fig. 6 for the nowcasting applications is similar to
 551 that in Fig. 4 for the Global NWP application. However, the range of benefit for the nowcasting
 552 applications is 0.48 to 0.57, while it is 0.71 to 0.78 for the Global NWP applications. While some
 553 of the constellations defining the efficient frontier for the Global NWP case appear here as well
 554 (EF.128, EF.1, and EF.127) others are different.



⊕	ID	Benefit	Cost	Cost Effectiveness	Distance to EF	GEO Sounder	LM	GEO Imager	ACS	OCS	MW Sounder	OMPS	LEO Imager	LEO Sounder
A	EF.128	0.488	0.850	0.575	0.0000			EC/2			EC/2			
G	CF.4	0.493	0.951	0.518	0.0134			EC/2	EC/1		EC/2			
B	EF.1	0.517	1.007	0.513	0.0000	EC/1		EC/2			EC/2			
H	CN.5	0.521	1.108	0.470	0.0036	EC/1		EC/2	EC/1		EC/2			
I	CN.3	0.525	1.191	0.441	0.0062	EC/1	EC/2	EC/2			EC/2			
J	CN.7	0.529	1.292	0.410	0.0098	EC/1	EC/2	EC/2	EC/1		EC/2			
C	EF.33	0.568	1.651	0.344	0.0000	EC/1		EC/2			EC/2		EC/2	
K	CN.37	0.572	1.752	0.327	0.0001	EC/1		EC/2	EC/1		EC/2		EC/2	EC/2
D	EF.35	0.576	1.835	0.314	0.0000	EC/1	EC/2	EC/2			EC/2		EC/2	EC/2
E	EF.39	0.581	1.936	0.300	0.0000	EC/1	EC/2	EC/2	EC/1		EC/2		EC/2	EC/2
F	EF.127	0.581	2.758	0.210	0.0000	EC/1	EC/2	EC/2	EC/1	EC/2	EC/2	EC/2	EC/2	EC/2

555
 556 *Figure 6. Benefit vs. cost (\$) for constellations in the SDE for the nowcasting applications.*
 557 *As in Fig. 4.*

558 The table in Fig. 6 reveals the following progression along the efficient frontier :

- 559 • A is the baseline constellation composed of the two required sensors, the MW LEO
 560 Sounder and the VIS IR GEO Imager;
- 561 • B adds the IR GEO Sounder;

- 562 • C adds the VIS IR LEO Imager;
- 563 • D adds the LM;
- 564 • E adds the ACS; and
- 565 • F adds the remaining sensors—OMPS, OCS, and VIS IR LEO Sounder—that do not
- 566 provide any additional benefit for the nowcasting applications.

567 The series H, I, J are all fairly close to B and add the ACS, the LM, and both the ACS and the
568 LM to B. Constellation K is very nearly midway between C and D and is different from C only
569 in adding the ACS.

570 Figure 7 displays which nowcasting requirements are met by which sensors for which
571 variables for two constellations along the efficient frontier (constellations B and E in Fig. 6).
572 Constellations E (EF.39) is the constellation that provides the maximum overall benefit. In Fig. 7
573 we see that the baseline sensors (sensors 3 and 4 for the VIS IR GEO Imager and 8 for the MW
574 LEO Sounder) provide the benefit for most variables. Notable exceptions are that the IR GEO
575 Sounder (1) provides the benefit for Relative Humidity and Air Temperature and the VIS IR
576 LEO Imager (10) provides information for Smoke, Hydrometeor Size, Fire Power, Flood Extent,
577 Fire Size/Location, Surface Type, and Cloud Base Height for several of the nowcasting
578 applications. In addition, the LM provides benefit for Total Lightning for the Thunderstorms
579 Nowcasting application, and the ACS provides benefit for Aerosol Layer Height for the Fire
580 Monitoring Nowcasting application. In Fig. 7a, there are no contributions from the VIS IR LEO
581 Imager, the LM, and the ACS and most of the cells identified with these sensors are blank,
582 except for the two fire variables observed by the VIS IR GEO Imager (3). It is not necessary but
583 in Fig. 7 the same sensor provides the benefit across those applications requiring a particular
584 variable. There is a single exception in that cloud and moisture imagery (CMI, labeled Imagery)
585 is provided by the CONUS mode of the VIS IR GEO Imager for Fire Monitoring Nowcasting,
586 while it is provided by the FD mode in all other cases. This is because for Imagery the Fire
587 Monitoring Nowcasting application has more stringent requirements for temporal refresh (15
588 minutes is minimally useful and 30 seconds is maximally useful) than do the other nowcasting
589 applications (1 hour is minimally useful and 1 minute is maximally useful) and the temporal
590 refresh performance is 5 minutes for CONUS and 10 minutes for FD.

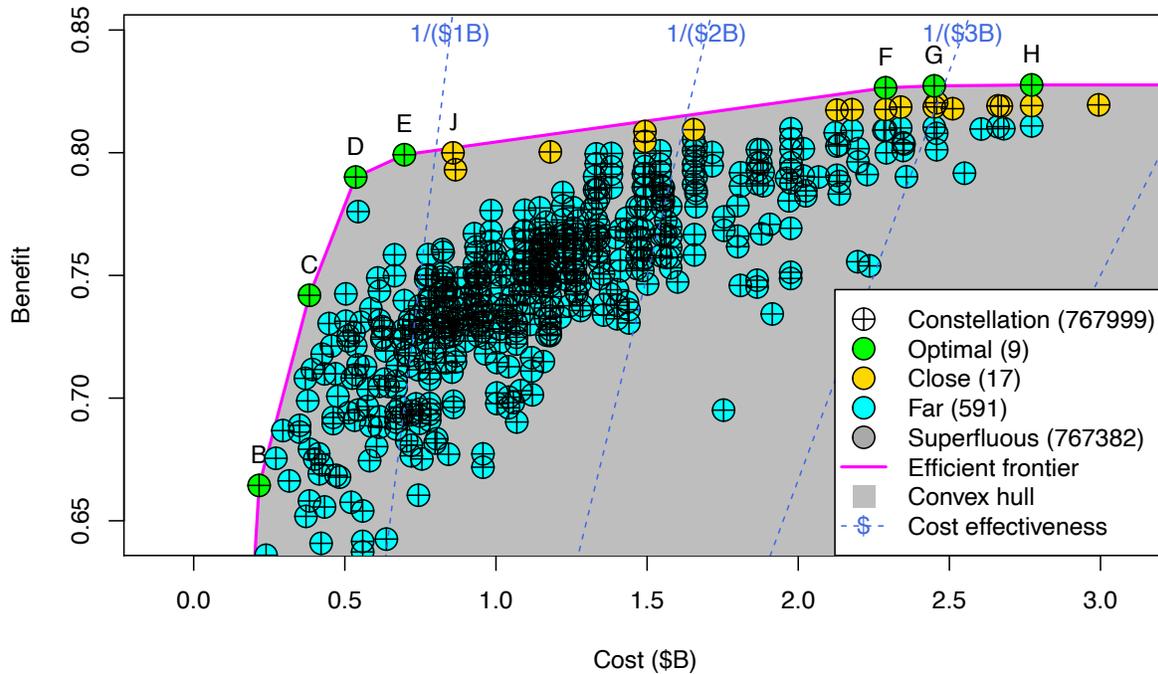
600 5.3. *Global NWP enhanced design ensemble*

601 The EDE allows for many more choices with substantial changes to the efficient frontier for
602 Global NWP compared to the SDE. The convex hull in Fig. 8 is steep for the first 4
603 constellations and then is quite flat starting with the fifth constellation (E). Note that the first and
604 last constellations on the efficient frontier are outside the range of the scatterplot in Fig. 8. The
605 range of benefits and costs is expanded compared to the case of the SDE. Now benefits range
606 from 0.34 to 0.83 (compared to 0.71 to 0.78) and the costs excluding the last “uninteresting”
607 point on the efficient frontier range from \$56M to \$2.8B (compared to \$0.85B to \$1.9B). It turns
608 out that in the EDE case the optimal constellations are more cost effective by making use of the
609 advanced maximal class sounders, but the less expensive threshold class imagers.

610 The table in Fig. 8 reveals the following progression along the efficient frontier:

- 611 • A contains only a single copy of the threshold class MW LEO Sounder and is both
612 cheapest and most cost effective (see discussion of cost effectiveness given for Fig. 4);
- 613 • B adds the threshold class VIS IR LEO Imager;
- 614 • C changes the class of the MW LEO Sounder from threshold to maximal;
- 615 • D replaces the threshold class VIS IR LEO Imager (at GOES Central) in favor of the
616 threshold class VIS IR GEO Imager (at GOES East and West);
- 617 • E adds back the threshold class VIS IR LEO Imager;
- 618 • F adds 4 copies of the maximal class VIS IR LEO Sounder are added;
- 619 • G and H increase the count of the threshold class VIS IR LEO Imager from 1 to 2 to 4;
620 and
- 621 • I adds the most expensive versions of the remaining sensors—OMPS, OCS, ACS, and IR
622 GEO Sounder—sensors that do not provide any additional benefit for the Global NWP
623 application.

624 Constellations close to the efficient frontier with costs between \$0.7B and \$2.3B (i.e., between E
625 and F) are for the most part similar but vary the number of TC VIS IR LEO Imagers and MC
626 VIS IR LEO Sounders.



⊕	ID	Benefit	Cost	Cost Effectiveness	Distance to EF	GEO Sounder	LM	GEO Imager	ACS	OCS	MW Sounder	OMPS	LEO Imager	LEO Sounder
A	EF.768	0.340	0.056	6.135	0.0000						TC/1			
B	EF.31488	0.664	0.217	3.069	0.0000						TC/1		TC/1	
C	EF.32064	0.742	0.383	1.937	0.0000						MC/1		TC/1	
D	EF.1368	0.790	0.536	1.474	0.0000			TC/2			MC/1			
E	EF.32088	0.799	0.697	1.147	0.0000			TC/2			MC/1		TC/1	
J	CN.39768	0.800	0.858	0.932	0.0020			TC/2			MC/1		TC/2	
	CN.47448	0.800	1.180	0.678	0.0071			TC/2			MC/1		TC/4	
	CN.646488	0.809	1.493	0.542	0.0042			TC/2			MC/1		TC/1	MC/2
	CN.262488	0.805	1.493	0.539	0.0082			TC/2			MC/1		TC/1	EC/4
	CN.654168	0.809	1.654	0.489	0.0062			TC/2			MC/1		TC/2	MC/2
	CN.692568	0.817	2.128	0.384	0.0063			TC/2			MC/1			MC/4
	CN.722136	0.818	2.178	0.375	0.0070			TC/2			EC/1		TC/1	MC/4
F	EF.723288	0.826	2.289	0.361	0.0000			TC/2			MC/1		TC/1	MC/4
	CN.729816	0.819	2.339	0.350	0.0081			TC/2			EC/1		TC/2	MC/4
G	EF.730968	0.827	2.450	0.338	0.0000			TC/2			MC/1		TC/2	MC/4
	CN.738624	0.820	2.458	0.334	0.0069			TC/2			MC/1		TC/4	MC/4
H	EF.738648	0.828	2.772	0.299	0.0000			TC/2			MC/1		TC/4	MC/4
	CN.737880	0.820	2.994	0.274	0.0081			TC/2			EC/4		TC/4	MC/4
I	EF.767999	0.828	7.759	0.107	0.0000	MC/1	MC/2	MC/2	EC/1	EC/2	MC/4	EC/4	MC/4	MC/4

627

628 *Figure 8. Benefit vs. cost (\$B) for constellations in the EDE for the Global NWP application.*
 629 *As in Fig. 4, but only for part of the range of cost and benefit and without plotting the*
 630 *superfluous constellations. The total number of constellations in the EDE is 767999 but only 617*
 631 *(0.08%) are not superfluous in the case of the Global NWP application. In the table all the*
 632 *efficient frontier constellations but only the 10 closest of the CN constellations are listed.*

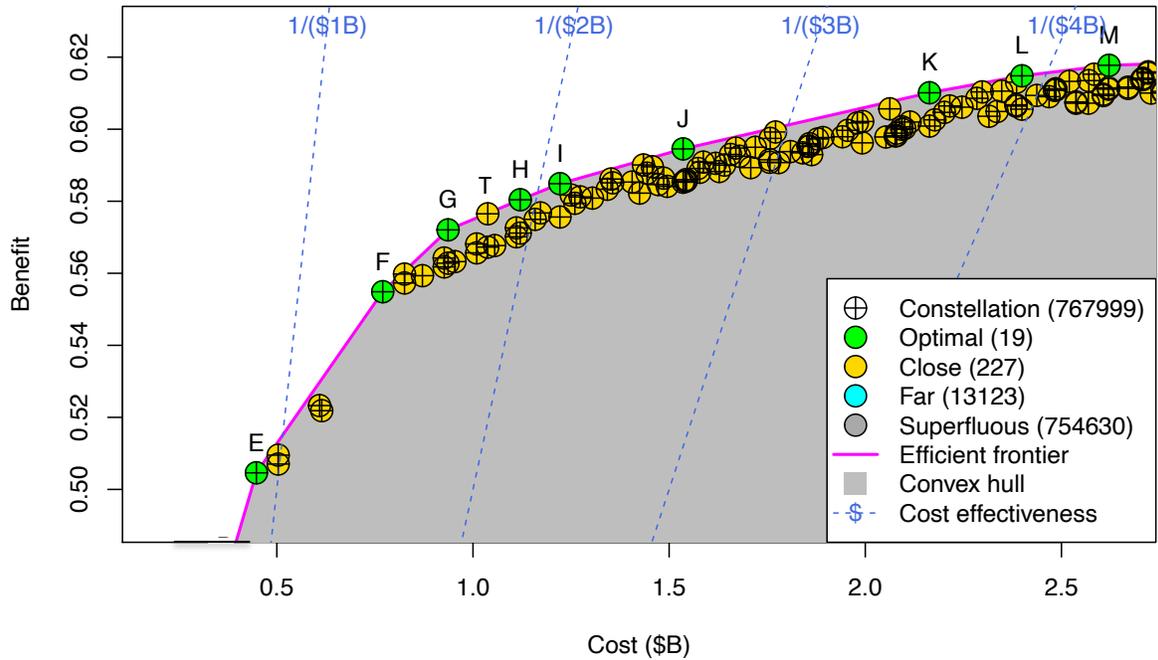
633 *5.4. Nowcasting enhanced design ensemble*

634 The convex hull for the nowcasting EDE case in Fig. 9 is like that for the Global NWP
 635 case—steep for the first several constellations and then quite flat. The first 4 and last 6 points of
 636 the efficient frontier are outside the bounds of the scatterplot in Fig. 9. Compared to the
 637 nowcasting SDE case, the range of benefits along the efficient frontier is now 0.11 to 0.62

638 (compared to 0.49 to 0.58) and costs range from \$56M to \$5.5B (compared to \$0.85B to
639 \$1.94B).

640 The table in Fig. 9 reveals the following progression along the efficient frontier :

- 641 • A contains only a single copy of the TC MW LEO Sounder (as in the Global NWP case);
- 642 • B contains only a single copy of the TC VIS IR LEO Imager (at GOES Central);
- 643 • C combines these two sensors (and is the same as the second efficient frontier
644 constellation for Global NWP);
- 645 • D is the baseline case of a MW LEO Sounder and 2 VIS IR GEO Imagers, choosing TC
646 sensors for both;
- 647 • E adds the TC IR GEO Sounder;
- 648 • F adds the EC VIS IR LEO Imager;
- 649 • G replaces the TC MW LEO Sounder with the MC MW LEO Sounder;
- 650 • H adds the EC LM;
- 651 • I adds the EC ACS;
- 652 • J replaces the TC VIS IR GEO Imager with the EC VIS IR GEO Imager;
- 653 • K replaces the EC VIS IR GEO Imager with the MC VIS IR GEO Imager;
- 654 • L replaces the TC IR GEO Sounder with the MC IR GEO Sounder;
- 655 • M increases the count from 1 to 2 for the MC MW LEO Sounder;
- 656 • N replaces the EC VIS IR LEO Imager with the MC VIS IR LEO Imager;
- 657 • O increases the count from 2 to 4 for the MC MW LEO Sounder;
- 658 • P replaces the EC LM with the MC LM;
- 659 • Q replaces the MC VIS IR LEO Imager with 4 EC VIS IR LEO Imagers;
- 660 • R replaces the 4 EC VIS IR LEO Imagers with 4 MC VIS IR LEO Imagers;
- 661 • S adds the most expensive versions of the remaining sensors—OMPS, OCS, and VIS IR
662 LEO Sounder—sensors that do not provide any additional benefit for the nowcasting
663 applications.



⊕	ID	Benefit	Cost	Cost Effectiveness	Distance to EF	GEO Sounder	LM	GEO Imager	ACS	OCS	MW Sounder	OMPS	LEO Imager	LEO Sounder
A	EF.768	0.105	0.056	1.885	0.0000						TC/1			
B	EF.30720	0.312	0.161	1.937	0.0000								TC/1	
C	EF.31488	0.384	0.217	1.776	0.0000						TC/1		TC/1	
D	EF.792	0.476	0.370	1.287	0.0000			TC/2			TC/1			
E	EF.794	0.505	0.448	1.126	0.0000	TC/1		TC/2			TC/1			
F	EF.8474	0.555	0.770	0.721	0.0000	TC/1		TC/2			TC/1		EC/1	
G	EF.9050	0.572	0.937	0.611	0.0000	TC/1		TC/2			MC/1		EC/1	
T	CN.9098	0.577	1.038	0.556	0.0001	TC/1		TC/2	EC/1		MC/1		EC/1	
H	EF.9054	0.580	1.121	0.518	0.0000	TC/1	EC/2	TC/2			MC/1		EC/1	
I	EF.9102	0.585	1.222	0.479	0.0000	TC/1	EC/2	TC/2	EC/1		MC/1		EC/1	
J	EF.9090	0.595	1.536	0.387	0.0000	TC/1	EC/2	EC/2	EC/1		MC/1		EC/1	
K	EF.9114	0.610	2.164	0.282	0.0000	TC/1	EC/2	MC/2	EC/1		MC/1		EC/1	
L	EF.9115	0.615	2.399	0.256	0.0000	MC/1	EC/2	MC/2	EC/1		MC/1		EC/1	
M	EF.9307	0.618	2.621	0.236	0.0000	MC/1	EC/2	MC/2	EC/1		MC/2		EC/1	
	CN.9311	0.618	2.805	0.220	0.0002	MC/1	MC/2	MC/2	EC/1		MC/2		EC/1	
N	EF.55387	0.619	2.943	0.210	0.0000	MC/1	EC/2	MC/2	EC/1		MC/2		MC/1	
	CN.16987	0.618	2.943	0.210	0.0004	MC/1	EC/2	MC/2	EC/1		MC/2		EC/2	
	CN.9499	0.619	3.065	0.202	0.0001	MC/1	EC/2	MC/2	EC/1		MC/4		EC/1	
	CN.55391	0.619	3.127	0.198	0.0001	MC/1	MC/2	MC/2	EC/1		MC/2		MC/1	
	CN.9503	0.620	3.249	0.191	0.0002	MC/1	MC/2	MC/2	EC/1		MC/4		EC/1	
O	EF.55579	0.620	3.387	0.183	0.0000	MC/1	EC/2	MC/2	EC/1		MC/4		MC/1	
P	EF.55583	0.621	3.571	0.174	0.0000	MC/1	MC/2	MC/2	EC/1		MC/4		MC/1	
	CN.24859	0.621	4.031	0.154	0.0002	MC/1	EC/2	MC/2	EC/1		MC/4		EC/4	
	CN.63259	0.621	4.031	0.154	0.0003	MC/1	EC/2	MC/2	EC/1		MC/4		MC/2	
Q	EF.24863	0.622	4.215	0.147	0.0000	MC/1	MC/2	MC/2	EC/1		MC/4		EC/4	
	CN.63263	0.622	4.215	0.147	0.0001	MC/1	MC/2	MC/2	EC/1		MC/4		MC/2	
	CN.70939	0.622	5.319	0.117	0.0003	MC/1	EC/2	MC/2	EC/1		MC/4		MC/4	
R	EF.70943	0.623	5.503	0.113	0.0000	MC/1	MC/2	MC/2	EC/1		MC/4		MC/4	
S	EF.767999	0.623	7.759	0.080	0.0000	MC/1	MC/2	MC/2	EC/1	EC/2	MC/4	EC/4	MC/4	MC/4

664

665 *Figure 9. Benefit vs. cost (\$) for constellations in the EDE for the nowcasting applications.*
 666 *As in Fig. 8, but only optimal and closest constellations are plotted. For the nowcasting*
 667 *applications only 13369 (1.74%) of all constellations are not superfluous.*

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671 5.5. Satisfying a budget constraint

672 Given a hypothetical budget of \$1B, the most beneficial choice is the constellation with the
 673 maximum benefit among all those with costs less than or equal to the budget of \$1B. The choice
 674 is the baseline constellation (EF.128 or 4A for constellation A in Fig. 4) for the Global NWP
 675 SDE, and CF.4 (6G) for the nowcasting SDE. Constellation CF.4 (6G) adds the ACS to the
 676 baseline constellation. The choice is CN.39768 (8J) for the Global NWP EDE and EF.9050 (9G)
 677 for the nowcasting EDE. The actual costs and benefits for these constellations and some
 678 neighboring constellations are given in Fig. 10. It is interesting to consider nearby constellations,
 679 including some that break the budget by a small amount but provide additional benefit. For the
 680 Global NWP SDE, EF.1 (4B) adds the IR GEO Sounder to EF.128 (4A) for a total cost of only
 681 \$1.007B, increasing the benefit from 0.710 to 0.744 (i.e., by 4.8%). For the nowcasting SDE,
 682 CN.5 (6H) adds the IR GEO Sounder to CF.4 (6G) for a total cost of \$1.108B increasing the
 683 benefit from 0.493 to 0.521 (i.e., by 5.6%). For the Global NWP EDE, the choice CN.39768 (8J)
 684 could be replaced with EF.32088 (8E) with a savings of \$161M and a negligible decrease in
 685 benefit. These constellations are identical except that EF.32088 (8E) has 1 TC VIS IR LEO
 686 Imager and CN.39768 (8J) has two. For the nowcasting EDE, CN.9098 (9T) adds the ACS to
 687 the choice EF.9050 (9G) for a total cost of only \$1.038B marginally increasing the benefit from
 688 0.572 to 0.577 (i.e., by 0.8%).

DE	App.	⊕	ID	Benefit	Cost	Cost Effectiveness	Distance to EF	GEO Sounder	LM	GEO Imager	ACS	OCS	MW Sounder	OMPS	LEO Imager	LEO Sounder	
SDE	NWP	⊕	4A	EF.128	0.710	0.850	0.835	0.0000		EC/2			EC/2				
			4B	EF.1	0.744	1.007	0.738	0.0000	EC/1		EC/2			EC/2			
			6A	EF.128	0.488	0.850	0.575	0.0000			EC/2			EC/2			
	Now.	⊕	6G	CF.4	0.493	0.951	0.518	0.0134			EC/2	EC/1		EC/2			
			6B	EF.1	0.517	1.007	0.513	0.0000	EC/1		EC/2			EC/2			
			6H	CN.5	0.521	1.108	0.470	0.0036	EC/1		EC/2	EC/1		EC/2			
EDE	NWP	⊕	8E	EF.32088	0.799	0.697	1.147	0.0000		TC/2			MC/1		TC/1		
			8J	CN.39768	0.800	0.858	0.932	0.0020			TC/2			MC/1		TC/2	
	Now.	⊕	9G	EF.9050	0.572	0.937	0.611	0.0000	TC/1				MC/1		EC/1		
			9T	CN.9098	0.577	1.038	0.556	0.0001	TC/1		TC/2	EC/1		MC/1		EC/1	

690 Figure 10. Optimal and near optimal constellation satisfying or nearly satisfying a budget of
 691 \$1B. Similar to the table part of Fig. 4 but adding columns on the left listing the design ensemble
 692 (DE; column 1) and the application (App.; column 2) and adding figure number to the letters (in
 693 column 3).

694 Comparing the constellations in Fig. 10 for the SDE and EDE, all cases include the baseline
 695 sensors—two VIS IR GEO Imagers and one or two MW LEO Sounders. However, given its
 696 additional degrees of freedom, the EDE optimal constellations choose the less capable TC VIS
 697 IR GEO Imagers and 1 of the more capable MC MW LEO Sounder instead of the 2 EC MW

698 LEO Sounders. Similarly, the nowcasting EDE optimal constellations include a less capable (TC
699 rather than EC) IR GEO Sounder. With these budget savings on the GEO sensors, the EDE
700 constellations can afford adding a VIS IR LEO Imager.

701 **6. Summary and concluding remarks**

702 Many factors drive the design, evolution and planning of the observing systems of the future.
703 A goal of ASPEN is to influence this design in a way to maximize science benefit and cost
704 effectiveness to targeted applications. This maximization should be “democratic” in that all
705 relevant applications should have a voice, and that all observing systems candidates and
706 combinations should be considered. The merit of the observing systems should be judged based
707 on their abilities to maximize the ASPEN satisfaction metric (i.e., benefit). The ASPEN benefit
708 combines the degree to which the application requirements are fulfilled by the observing systems
709 performances, weighted by the application priorities. ASPEN comparisons of observing systems
710 performances to applications requirements are observing system neutral because the ASPEN
711 interface characterizes the Earth System in terms of geophysical observables and their attributes.

712 ASPEN is designed to be a science-based and efficient tool for comparative assessment of
713 observation systems. This comparative assessment can support sensor design, payload
714 optimization, and constellation planning. This could be ~~very useful for instance~~ in space
715 agencies’ future planning and in international global constellation optimization activities. It
716 could also be useful in supporting public/private or public/commercial sector partnerships. This
717 is especially true if the intent is to design observing systems and/or networks to optimize the
718 value to the applications targeted by these Earth-observations. ASPEN is designed to support the
719 decision process leading to the design, selection and ultimately deployment of new space-based
720 or ground-based assets or to the select acquisition of commercial data either as complement or as
721 a baseline component of the Global Observing System.

722 In the current proof of concept study, ASPEN is used to support constellation optimization
723 by calculating the efficient frontier in the space of constellation cost vs. benefit. This is done in
724 four cases—for Global NWP and 6 nowcasting applications, and for a simple and an enhanced
725 design ensemble containing 128 and 767999 potential constellations, respectively. Visualizing
726 the results as cost-benefit efficient frontier scatterplots identifies the most efficient

727 constellations—the constellations that maximize benefit for a given cost. The optimal
728 constellation depends strongly on the budget, the applications considered, and design ensemble.
729 In the SDE (simple design ensemble) the MW LEO Sounder and the VIS IR GEO Imager are
730 assumed to be in every constellation. These sensor types are also included in almost every
731 constellation on or near the efficient frontier in the EDE (enhanced design ensemble) cases.
732 Beyond these two sensors, the sensor adding the most benefit is an IR hyperspectral sounder,
733 usually the VIS IR LEO Sounder for Global NWP and always the IR GEO Sounder for the
734 nowcasting applications. Thus, the optimal constellations for Global NWP are (not surprisingly)
735 different from those for nowcasting. For illustration a simple decision rule is applied to select the
736 optimal constellation for a given budget (set at \$1B in annualized sensor costs for the purpose of
737 illustration in this study). In practice such guidance must be carefully considered in the context
738 of neighboring constellations in the efficient frontier scatterplot.

739 The characteristics, assumptions, limitations, applicability, and potential of ASPEN are all
740 summarized by Boukabara and Hoffman (2022). In the current study the following limitations
741 and caveats must be kept in mind:

- 742 • We only considered the Global NWP and nowcasting applications and only some of
743 the NOAA GEO and LEO sensors. We did not consider non-NOAA sensors such as
744 those provided in the current global observing system by EUMETSAT and others.
745 The constellations considered are limited to the SDE and the EDE (Section 4). A
746 more comprehensive list of applications is required to properly represent all of
747 NOAA’s interests. In particular, space weather sensors and applications are not
748 included in the current study. Near-future application inputs to ASPEN will include
749 atmospheric composition applications for air quality monitoring and forecasting,
750 stratospheric composition, and climate monitoring. Ultimately, one would want to
751 optimize the Next-Gen architecture using all observing systems that might potentially
752 contribute including LEO, GEO, space weather system, commercial data products,
753 and ground-based systems. For example, since we do not include ground-based
754 observing systems, the benefits to nowcasting due to weather radars is not included.
755 For some nowcasting applications and for some variables, weather radars would
756 likely displace the MW LEO Sounder in some constellations. However, given the

757 limitations of the current study, the MW LEO Sounder is present in many efficient
758 constellations. First, in the SDE cases the MW LEO Sounder is included in all
759 constellations considered. In addition, the TC MW LEO Sounder is the least
760 expensive sensors considered (Table 1), and of all these sensors the MW LEO
761 Sounder is the only source of information for some variables—Soil Moisture, Snow
762 Water Equivalent, Precipitation Rate—and is the best sensor for Total Precipitable
763 Water (Fig.1).

764 • We did not consider radio occultation in the NWP application, despite the fact that
765 radio occultation sounding data are one of the most cost-effective and impactful data
766 sources in NWP.

767 • We used the prototype version of ASPEN and available ASPEN data bases. The list
768 of variables and the definitions of attributes are under development for the next
769 version of ASPEN. The prototype version of ASPEN uses the maximum benefit by
770 variable (MBV) approach to combine sensors in a constellation. The effect of
771 exclusions, e.g., due to cloud cover, precipitation, surface types, and solar zenith
772 angle, are not included in the prototype version of ASPEN.

773 • ASPEN reliability depends on trustworthiness of its inputs (performances and costs of
774 the observing systems, and requirements ranges and priorities of the applications). In
775 this study the sensors performances were obtained using a combination of the
776 MIT/LL and JPL instrument catalogs developed for the NSOSA study. These sensors
777 are not exactly the sensors considered by the GeoXO and LEO programs but are
778 considered close. Costs are estimated from public sources and the JPL instrument
779 catalog. We used only the Global NWP and nowcasting applications in this study
780 because these are considered highly reliable. In the nowcasting calculations the 6
781 nowcasting applications are given equal weighting (i.e., equal strategic priorities).
782 The application requirement ranges and priorities used in this study are current. These
783 should be projected to account for advances in applications that are likely to take
784 place in the run up to the Next-Gen architecture deployment.

785 • Costs for EC sensors with legacy equivalents in the JPSS and GOES-R program are
786 those total program's costs allocated to each sensor based proportionally to each

787 sensor's build costs. Costs for other sensors are based on simple scaling arguments.
788 The constellation cost model simply sums the annualized per sensor allocation of the
789 total system costs. By construction, this method reproduces the JPSS and GOES-R
790 program costs for identical EC constellations. The costs of adoption are not included.
791 These costs might consider the time to actually use new data in operations. If the
792 mission lifetime is 4 years but it takes 2 years to operationalize the new data, then the
793 total mission cost should be divided by 2, not 4, to get the annual cost. Costs should
794 be more rigorously and consistently estimated.

795 It is critical that the ASPEN data bases uniformly and consistently reference common
796 definitions of the geophysical variables, their attributes, and how the attribute values should be
797 estimated. This is required for independent groups to describe observing system performances or
798 application requirements in a way that can be fairly compared. A major concern in this regard is
799 the definition of attributes that characterize error. A uniform definition and sampling approach
800 must be used for estimates of these attributes to be comparable. This is necessary on a per
801 variable basis since different users may have different interpretations of the variables which then
802 impact the estimated error statistics. For example, in the current study, we struggled with the
803 definition of precision for specific humidity and relative humidity. Are these in terms of the
804 original variables or normalized variables? If normalized, how normalized. In a more
805 complicated example, are there different definitions of “flood” in use by the algorithm developer,
806 nowcasting, disaster monitoring, and climate communities? What about clouds—are we talking
807 about cloud cover (%) or cloud mask (0/1)? And what is the definition of the edge of the cloud?
808 Similar questions occur for other variables (e.g., snow cover, fire). If these definitions cannot be
809 agreed upon, collaboration between diverse communities could result in incomparable values.

810 Traditionally, Earth observing systems are expensive and have long lifetimes. This paradigm
811 is shifting, with new sources of information from commercial data buys and fleets of small
812 refreshable satellites. Investment decisions in these systems are fraught but can be supported by
813 ASPEN. This is the case because ASPEN collects and validates information from multiple
814 sources and experts yet provides traceability from the ASPEN calculated benefits to the validated
815 information. Of course, ASPEN is a work in progress, and we welcome community collaboration
816 and coordination, which so far has been organized through the SAT. There are many possible

817 extensions and enhancements to ASPEN. A current focus of the ASPEN team is collecting and
818 validating the observing system capabilities, application requirements, and application priorities.
819 This is critical because ASPEN results are limited by the quality of the ASPEN inputs. With
820 further advances we expect ASPEN will become an increasingly valuable addition to the
821 observing systems assessment toolbox.

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837 of NOAA or the U.S. Department of Commerce.

838 *Data availability statement.*

839 Please contact the authors. We will be happy to explore ways to collaborate with members of
840 the science community. The inputs for the calculations shown in this paper are available as excel
841 files from the authors.

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APPENDICES

846 **7. Sensors**

847 The sensors are briefly described in this appendix, ordered as in Table 1. Sensor
 848 performances are summarized from the ASPEN data base used in this study. Other sensor
 849 characteristics are summarized from the NSOSA instrument catalog (Zuffada and Beatty 2016)
 850 and from the GeoXO website (<https://www.nesdis.noaa.gov/next-generation/geostationary-extended-observations-geoxo>).
 851

Sensor	N	Coverage	Density (Horizontal)	Resolution (Horizontal)	Temporal Refresh	Vertical Resolution	Latency
Units	count	logical	(100 km) ⁻²	km	min	km	min
GEO Sounder (TC)	4	CONUS	[0.04,39]	5	30	[5,25]	3
GEO Sounder (EC)	8	CONUS	[0.04,39]	5	30	[3,25]	3
GEO Sounder (MC)	8	CONUS	[0.04,39]	4	15	[3,25]	3
LM (EC)	3	Full Disk	1.5625	[8,500]	1.67E-05	1	0.5
LM (MC)	1	Full Disk	1.5625	4	1.67E-05	1	0.333
GEO Imager (TC/CONUS)	21	CONUS	[6.93,40000]	[1,4]	[1,5]	1	[1,210]
GEO Imager (EC/CONUS)	21	CONUS	[6.93,40000]	[1,2]	5	1	[1,210]
GEO Imager (MC/CONUS)	21	CONUS	[6.93,40000]	[0.3,1]	2.5	1	[1,210]
GEO Imager (TC/FD)	21	Full Disk	[6.93,40000]	[1,4]	15	1	[1,210]
GEO Imager (EC/FD)	21	Full Disk	[6.93,40000]	[1,2]	10	1	[1,210]
GEO Imager (MC/FD)	21	Full Disk	[6.93,40000]	[0.3,1]	5	1	[1,210]
GEO Imager (TC/Meso)	21	Meso	[6.93,40000]	[1,4]	0.167	1	[1,210]
GEO Imager (EC/Meso)	21	Meso	[6.93,40000]	[1,2]	0.5	1	[1,210]
GEO Imager (MC/Meso)	21	Meso	[6.93,40000]	[0.3,1]	0.25	1	[1,210]
ACS (EC)	8	CONUS+AK+HI	[49.87,371]	[5.192,14.16]	180	[1,2]	5
OCS (EC)	1	CONUS+AK+HI+US EEZ	40000	0.3	180	1	5
MW Sounder (TC)	10	Global	16	23	180	[1,5]	180
MW Sounder (EC)	14	Global	16	25	720	[1,8]	180
MW Sounder (MC)	14	Global	16	5	60	[1,8]	90
OMPS (EC)	4	Global	13	25	720	[1,3]	90
LEO Imager (TC)	23	Global	10000	1	720	[1,3]	90
LEO Imager (EC)	26	Global	[1,71111]	0.75	720	[1,3]	90
LEO Imager (MC)	25	Global	[1,71111]	0.5	720	[1,3]	90
LEO Sounder (TC)	5	Global	[0.04,39]	13.5	1440	[0.5,7]	90
LEO Sounder (EC)	8	Global	[0.04,39]	10	720	[1,10]	90
LEO Sounder (MC)	8	Global	[0.04,39]	2	720	[1,10]	15

852

853 *Figure 11. Selected attributes for different sensor classes and modes. The second column*
 854 *(labeled N) gives the number of variables observed by the sensor. In the following columns,*
 855 *intervals give the minimum and maximum taken over all variables for the attribute and sensor. If*
 856 *the minimum and maximum are the same only a single value is given.*

857 Of the 9 sensor types listed in Table 1, 3 are sounders and 2 are imagers. The sounders are
 858 used to derive vertical profiles of temperature and water vapor from multiple spectral channels
 859 that are sensitive to different vertical levels. The imagers make observations in multiple spectral
 860 bands including panchromatic day night bands (DNBs), which provide 24 h coverage.

861 *7.1. Sensor geophysical performances*

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862 Sensor performances extracted from the ASPEN data base used in this study are summarized
863 in the tables of Fig. 11 and Fig. 12.

864 *7.2. Sensor spectral characteristics and modes of operation*

865 The IR GEO Sounder is designed to provide regional real time vertical profiles of
866 temperature and water vapor. Spectral resolution is 1.0, 0.625 and 0.625 cm^{-1} for the TC, EC,
867 and MC sensors. The TC spectral range is restricted to the near IR (4.08 to 5.13 microns), while
868 the EC and MC spectral ranges cover the near and far IR (3.9 to 13.3 and 4.4 to 14.7 microns,
869 respectively).

870 The Lightning Mapper (LM) is designed to provide real time regional lightning observations.
871 Like the Geostationary Lightning Mapper (GLM), the LM is a single channel, high detection
872 efficiency, near IR, optical transient detector that is sensitive to all forms of lightning during both
873 day and night.

874 The VIS IR GEO Imager is designed to provide real time regional weather imagery with IR,
875 visible and DNB bands. All classes include Full Disk and CONUS coverage, and the EC and MC
876 class sensors include 2 and 5 respectively moveable 1000 km x 1000 km mesoscale regions.
877 Spectral range is 0.47 to 13.6 microns for the TC and EC sensors and 0.47 to 13.7 microns for
878 the MC sensor. Spectral resolution varies, with 17 bands (including 1 DNB) for the TC and EC
879 sensors and 157 (including 3 DNBs) for the MC sensor.

880 The Atmospheric Composition Sensor (ACS) is designed to provide real time regional
881 monitoring of air quality, stratospheric ozone, aerosols, and volcanic ash. ACS is a hyperspectral
882 ultraviolet to the visible spectrometer.

883 The Ocean Color Sensor (OCS) is designed to provide real time regional observations of
884 ocean biology, chemistry, and ecology. OCS is a hyperspectral, ultraviolet through near-infrared
885 passive imaging radiometer.

886 The MW LEO Sounder is designed to provide global vertical profiles of temperature and
887 water vapor as well as several surface quantities (see Fig. 1b). Spectral bands that are exploited
888 are the 90, 118, and 183 GHz bands for the TC sensor, and the 24, 31, 50-60, 90, 165, and 183
889 GHz bands for the EC and MC sensors. As a result, the TC spectral range is restricted to the
890 shortwave (millimeter) MW spectrum (90 to 205 GHz), while the EC and MC spectral ranges

891 cover the mid-wave and shortwave part of the MW spectrum (23 to 183 and 18 to 183 GHz,
 892 respectively).

Variable	Error S. D.	Sensor(s)	Error S. D.	Sensor(s)	Error S. D.	Sensor(s)	Error S. D.	Sensor(s)
Relative Humidity (%)	10	GEO Sounder and LEO Sounder	25	MW Sounder (TC)	20	MW Sounder (EC/MC)		
u/v-wind (m/s)	6	GEO Imager	7.5	LEO Imager				
Aerosol (/m3)								
Cloud (Fraction)	0.87	GEO Imager	0.15	LEO Imager				
Ozone (DU)	10	GEO Sounder (EC/MC) and LEO Sounder (EC/MC)	9	ACS (EC)	1	OMPS (EC)		
Imagery (NA)								
Incoming SW (W/m2)	65	GEO Imager						
Air Temperature (K)	1	GEO Sounder and LEO Sounder (EC/MC)	2.5	MW Sounder (TC)	1.5	MW Sounder (EC/MC)	2	LEO Sounder (TC)
Carbon Dioxide (ppm)	4	GEO Sounder and LEO Sounder						
Total Lightning (%)	80	LM (EC/MC)						
Methane (ppmv)	80	GEO Sounder (EC/MC)	20	LEO Sounder (EC/MC)				
Carbon Monoxide (ppbv)	0.08	GEO Sounder	20	LEO Sounder				
Sulfur Dioxide (DU)	1	ACS						
Total Precip. Water (mm)	3	MW Sounder (TC) and LEO Sounder (EC/MC)	4	MW Sounder (EC/MC) and LEO Sounder (TC)				
Aerosol Optical Depth (unitless)	0.06	GEO Imager	0.2	LEO Imager				
Ozone profile (%)	10	GEO Sounder (EC/MC) and OMPS and LEO Sounder (EC/MC)	0	ACS				
Nitrogen Dioxide (ppb)	0.08	GEO Sounder (EC/MC)	1	ACS				
Smoke (unitless)	0.5	LEO Imager						
Hydrometer Size (%)	50	LEO Imager (EC/MC)						
Aerosol Refractive Index (TBD)								
Effective reflectivity (%)	3	LEO Imager						
Aerosol Layer Height (km)	0.8	ACS						
UV Aerosol Index (km)	2	ACS	10	OMPS				
Formaldehyde (molecules/m2)	1E+20	ACS						
Glyoxal (molecules/m2)	1E+19	ACS						
Fire Power (MW/km2)	110000	GEO Imager	2500	LEO Imager				
Flood Extent (%)	50	GEO Imager and LEO Imager	0.2	MW Sounder				
NDVI (unitless)	0.04	GEO Imager	0.05	LEO Imager				
Soil Moisture (m3/m3)	0.2	MW Sounder						
Land Surface Albedo (unitless)	0.08	GEO Imager and LEO Imager						
Fire Size/Location (km)	0.25	GEO Imager	0.2	LEO Imager				
LST (K)	2.5	GEO Imager	1.4	LEO Imager				
Surface Type (unitless)	0.78	LEO Imager						
Sea Ice Age (yr)	1	GEO Imager	0.5	LEO Imager				
Sea Ice (%)	10	GEO Imager	16	MW Sounder (EC/MC)	5	LEO Imager		
Snow Water Equivalent (cm)	3.5	MW Sounder (EC/MC)						
Ice Temperature (K)	2	GEO Imager	1	LEO Imager				
Snow Cover (%)	30	GEO Imager	20	MW Sounder (EC/MC) and LEO Imager				
Sea Ice Motion (m/s)	0.03	GEO Imager						
Cloud Liquid Water (g/m2)	17	GEO Imager	4	MW Sounder				
Rain Rate (mm/hr)	6	GEO Imager	0.7	MW Sounder				
Cloud Drop Size (micron)	10	LEO Imager						
Cloud Top Temperature (K)	2	GEO Imager and MW Sounder	6	LEO Imager				
Precipitation Rate (mm/hr)	0.7	MW Sounder						
Cloud Base Height (km)	2	LEO Imager (EC/MC)						
Ocean Color (mg/m3)	4	OCS and LEO Imager (EC/MC)	0.624	LEO Imager (TC)				
SST (K)	2	GEO Imager	3.2	MW Sounder	0.2	LEO Imager		

893
 894 *Figure 12. Precision values for different variables. For the sensor classes and modes used in*
 895 *this study there are up to 4 values of precision per variable. For each value of precision we list*
 896 *the associated sensors. For the VIS IR GEO Imager all modes have the same precision. In many*
 897 *cases all classes of a sensor type also have the same precision. Classes are indicated only for*
 898 *cases where precision is different for different classes.*

899 The Ozone Mapper Profile Suite (OMPS) used in this study is the same as the JPSS OMPS,
900 but without the limb sensor. OMPS includes nadir total column and a nadir profiler
901 spectrometers operating at 300-420 and 250-310 nm with 1.1 nm spectral resolution. See Flynn
902 et al. (2014) and references therein for details.

903 The VIS IR LEO Imager is designed to provide global weather imagery. Spectral resolution
904 varies, with 12 bands for the TC sensor and 28 for the EC sensor. The MC sensor is
905 hyperspectral with an additional DNB.

906 The VIS IR LEO Sounder is designed to provide global vertical profiles of temperature and
907 water vapor. Spectral resolution varies, with 625, 2208, and 1920 channels for the TC, EC, and
908 MC sensors. The TC spectral range is restricted to the near IR (4.08 to 5.13 microns), while the
909 EC and MC spectral ranges cover the near and far IR (3.9 to 15.4 and 3.76 to 15.4 microns,
910 respectively).

911 **8. Acronyms**

912 Acronyms used in the text are listed here. Acronyms used only in a table are defined in the
913 table caption. Common acronyms (e.g., NWP, MW, and VIS) and proper names (e.g., names of
914 specific institutions and systems such as NOAA and ATMS) are not expanded in the text when
915 first used.

916 ABI: Advanced Baseline Imager

917 ACX: GeoXO Atmospheric Composition Instrument

918 ASPEN: Advanced Systems Performance Evaluation tool for NOAA

919 ATMS: Advanced Technology Microwave Sounder

920 CISESS: Cooperative Institute for Satellite Earth System Studies (College Park, Maryland)

921 CMI: cloud and moisture imagery

922 CN: constellation near to the efficient frontier

923 CONUS: Continental U.S.

924 COURL: Consolidated Observing User Requirement List

925 CrIS: Cross-track Infrared Sounder

926 EC: expected class

927 EDE: enhanced design ensemble

928 EF: efficient frontier

929 FD: full disk

930 FSOI: Forecast Sensitivity to Observation Impact

931 GEO: geosynchronous equatorial orbit; geostationary Earth orbit
932 GeoXO: Geostationary Extended Observations (satellite system)
933 GLM: Geostationary Lightning Mapper
934 GOES: Geostationary Operational Environmental Satellite
935 GXI: GeoXO Imager
936 GXS: GeoXO Sounder
937 ID: Identification
938 IR: Infrared
939 JPSS: Joint Polar Satellite System
940 LEO: low Earth orbit
941 LM: Lightning Mapper
942 MBV: maximum benefit by variable
943 MC: maximal class
944 MSA: mission service area
945 MW: microwave
946 NA: not available
947 NASA: National Aeronautics and Space Administration
948 NDVI: normalized difference vegetation index
949 NESDIS: National Environmental Satellite, Data, and Information Service (NOAA)
950 NOAA: National Oceanic and Atmospheric Administration
951 NOSIA: NOAA Observing System Integrated Analysis
952 NSOSA: NOAA Satellite Observing Systems Architecture
953 NWP: numerical weather prediction
954 OCS: Ocean Color Sensor
955 OCX: GeoXO Ocean Color Instrument
956 OMPS: Ozone Mapper Profile Sensor
957 OSAAP: Office of Systems Architecture and Advanced Planning
958 OSCAR: Observing Systems Capability Analysis and Review (WMO)
959 OSSE: observing system simulation experiment
960 SAT: Systems performance Assessment Team
961 SDE: simple design ensemble
962 SPRWG: Space Platform Requirements Working Group
963 SST: sea surface temperature
964 STAR: (Center for) Satellite Applications and Research
965 TC: threshold class
966 VIIRS: Visible Infrared Imaging Radiometer Suite
967 VIS: visible
968 WMO: World Meteorological Organization (Geneva)
969 XORWG: GeoXO Requirements Working Group

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