NCAR | EARTH OBSERVING LABORATORY

# Calculating higher-order moments from Doppler spectra collected by an airborne cloud radar

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# HIAPER Cloud Radar (HCR)

- Deployed on NSF/NCAR HIAPER aircraft in an under-wing pod
- W-band (3 mm, 94 GHz)
- Beam stabilization when staring at zenith or nadir

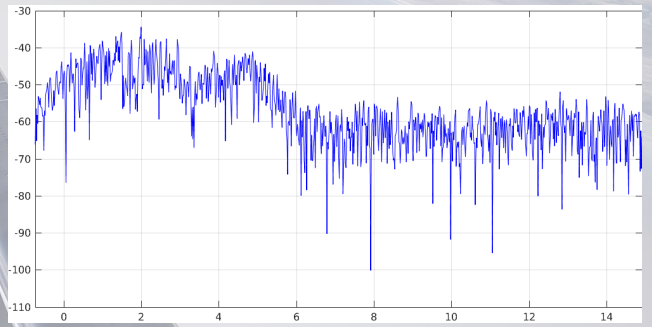




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# Doppler spectra

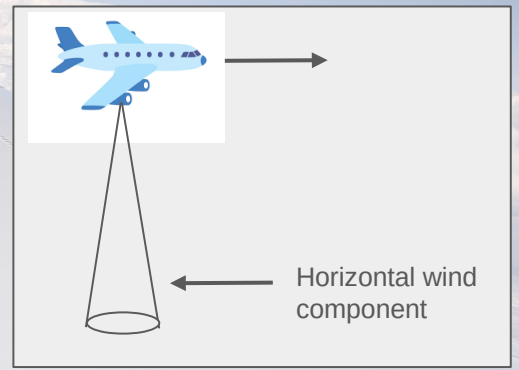
- Doppler spectra from vertical pointing radars can provide additional information to moments processed with the pulse-pair technique.
- Doppler spectra provide the opportunity to calculate higher-order moments, such as skewness, kurtosis, and other spectral parameters.
- Higher-order moments are under-utilized.
  - Difficult to obtain high-quality estimates.
  - Large data volume.




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# Objectives

- Develop processing technique that produces high-quality Doppler spectra
  - Correct spectra for broadening caused by aircraft motion due to finite beam width
- Calculate higher order moments
  - Improved spectrum width
  - Skewness, kurtosis
- Calculate other spectral parameters
- Distribute to data users




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# Correct for broadening caused by aircraft motion

Theoretical beam broadening spectrum (BBS)

**Beam broadening effect on Doppler spectral width of wind profiler**

Meng-Yuan Chen[1] and Yen-Hsyang Chu[1,2]

Received 9 March 2011; revised 18 May 2011; accepted 30 June 2011; published 30 September 2011.

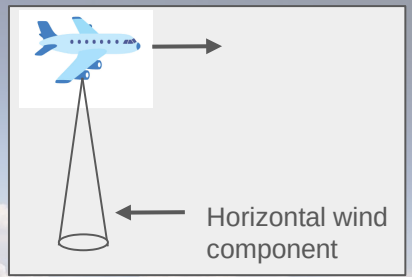
$$BBS = 0.3 vel_{aircraft} sin(el)\ beamWidth$$

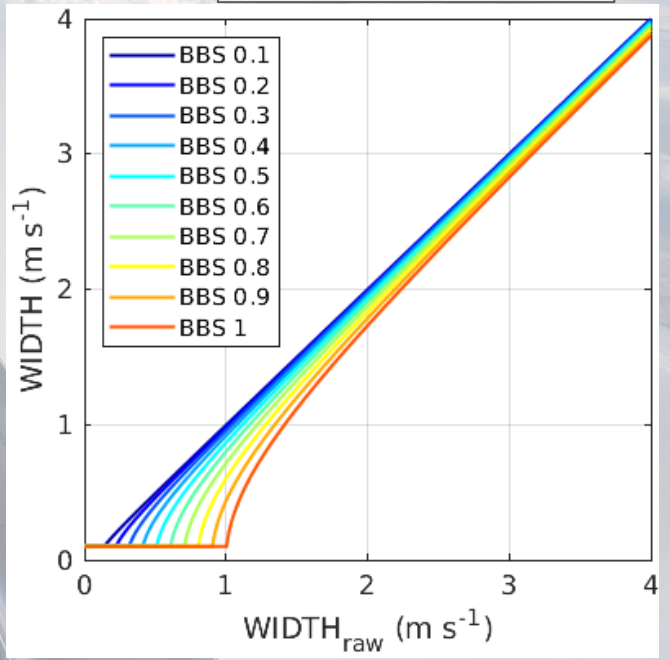
Legacy method

$$WIDTH = \sqrt{WIDTH_{raw}^2 - BBS^2}$$

Issues

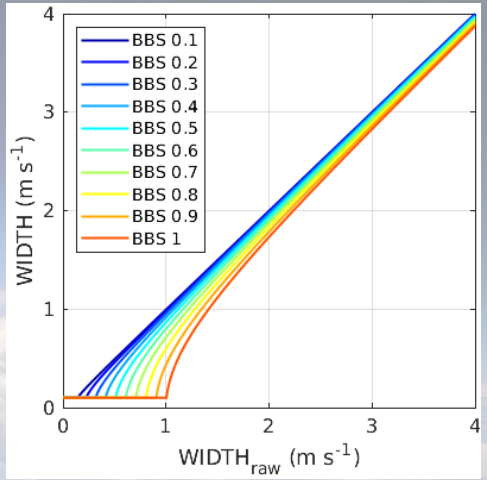
- Artificial lower bound
- Tendency to over-correct
- Does not correct spectra

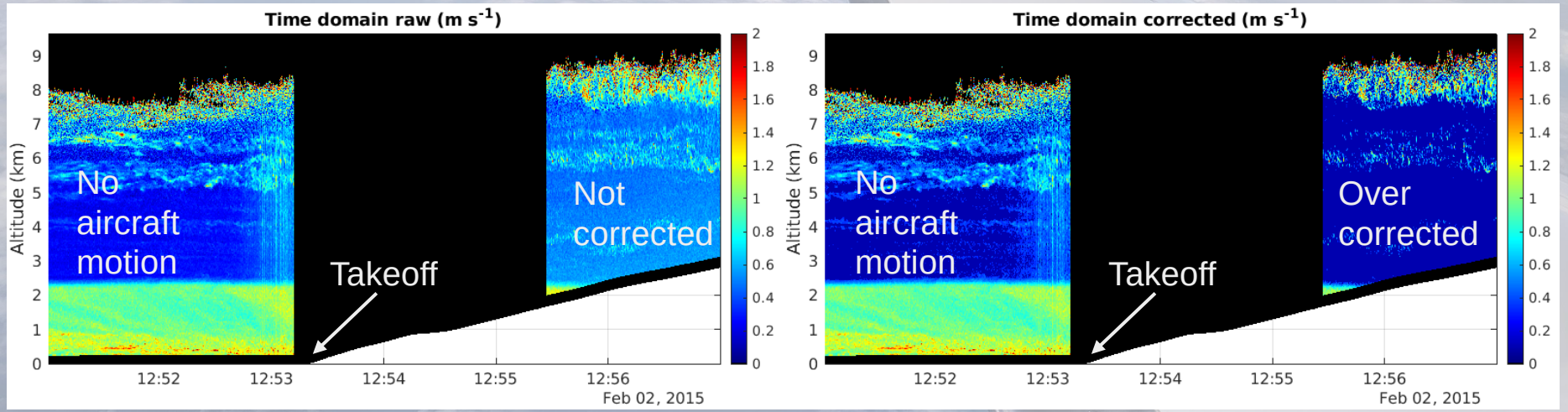



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# Correct for broadening caused by aircraft motion – Legacy method

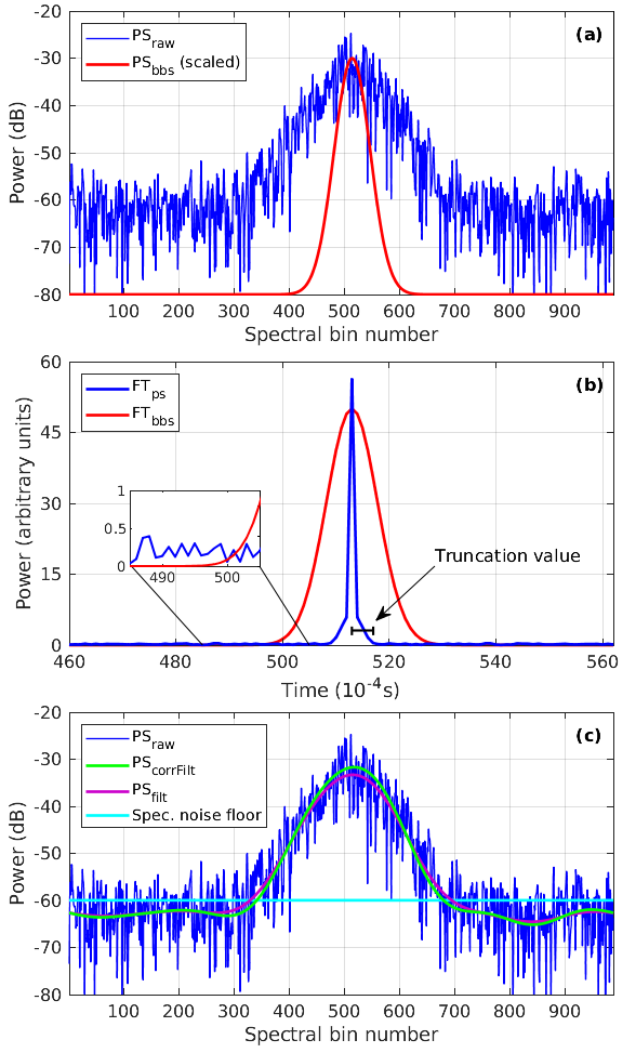



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# Correct for broadening caused by aircraft motion – New method

1. Use BBS to create a correction Gaussian
2. Fourier transform the Doppler spectrum and the correction Gaussian
3. Divide the Doppler spectrum by the Gaussian
4. Filter corrected spectrum with a low-pass filter (truncation of the autocovariance function)
5. Inverse Fourier transform the corrected Doppler spectrum
6. Scale to the original power
7. Find spectral noise floor (Hildebrand and Sekhon, 1974) and remove noise

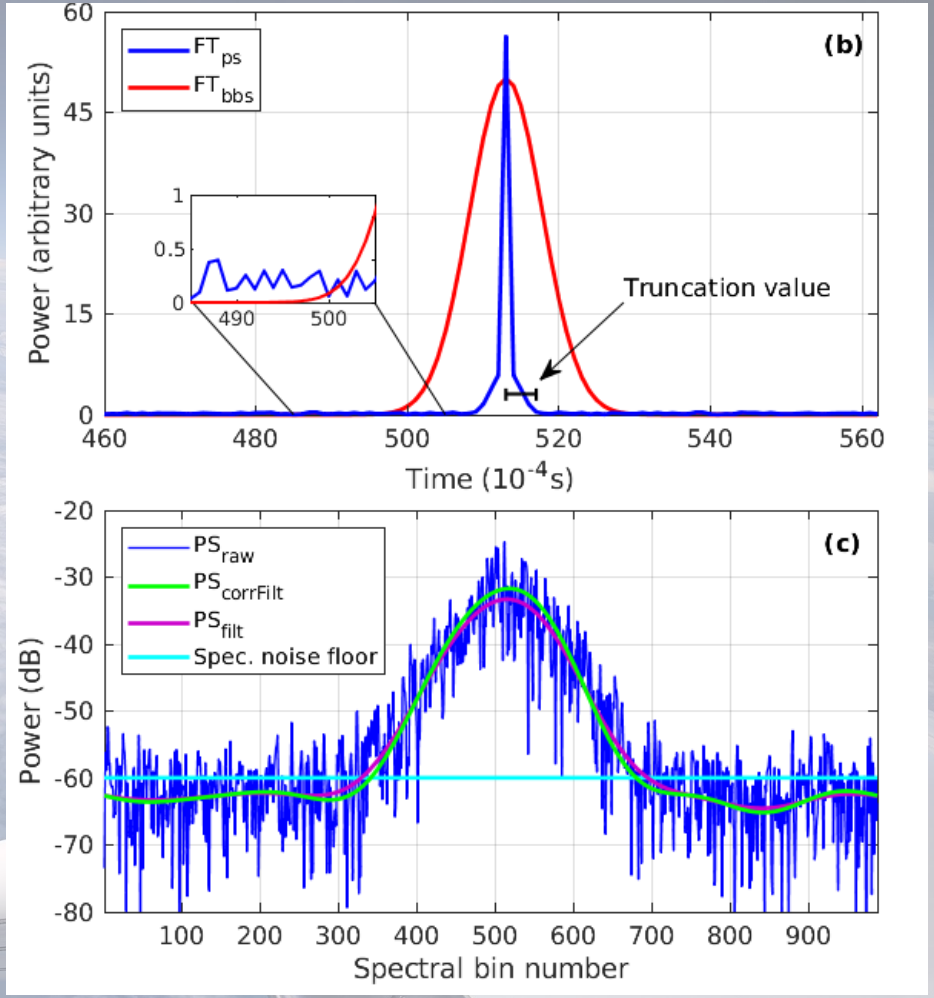



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# Filter optimization

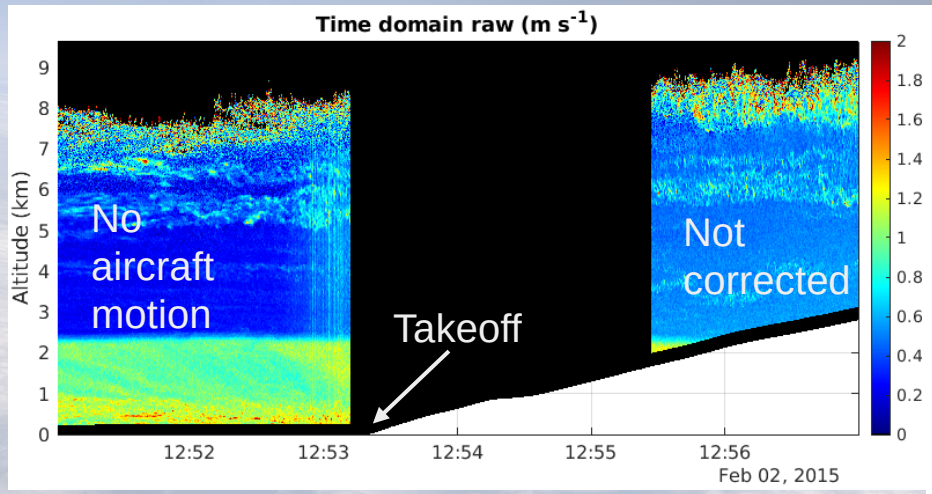
How much filtering is justified?
What is the optimum truncation value?

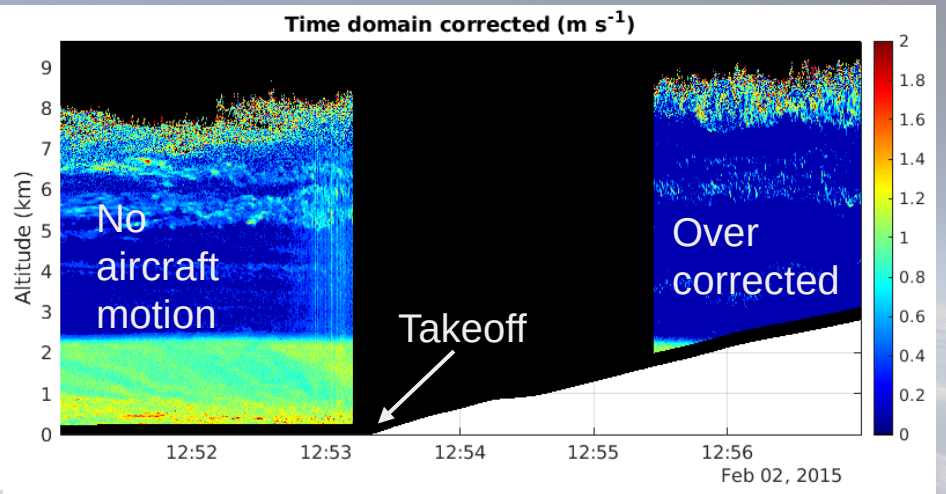
→ Filter optimization using holdout cross-validation

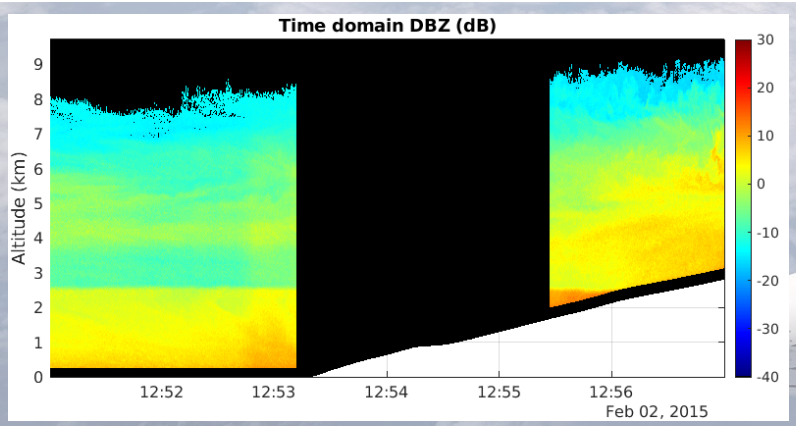


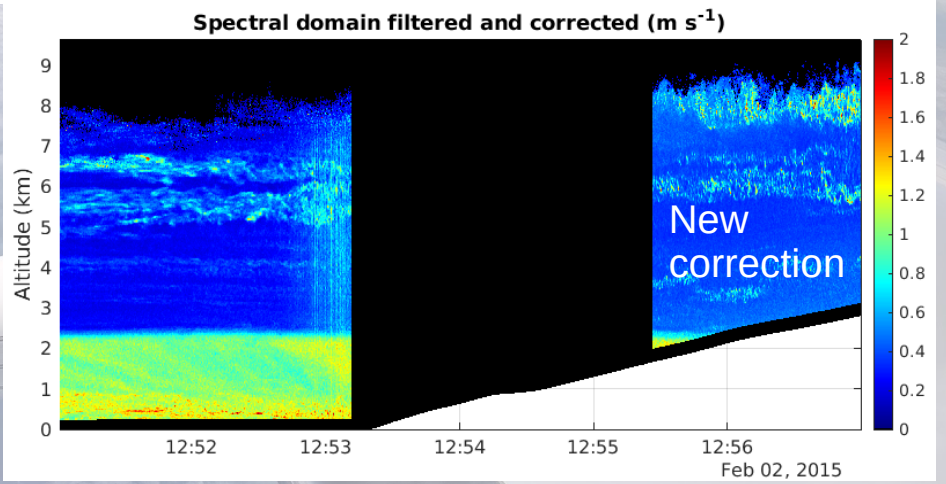

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# Correct for broadening caused by aircraft motion



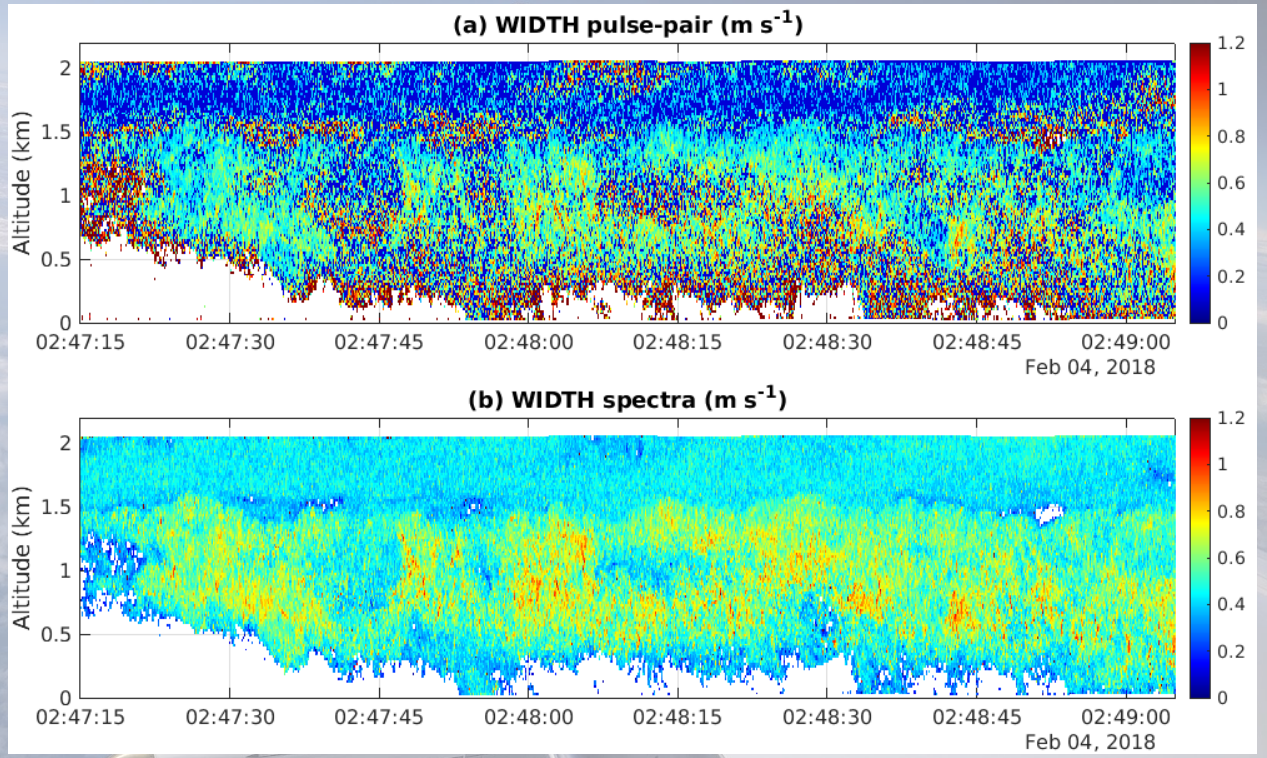



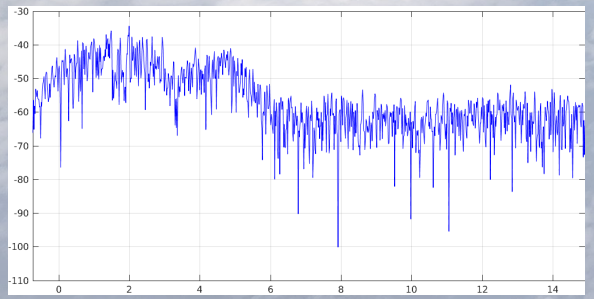

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# Improved spectrum width

New correction method

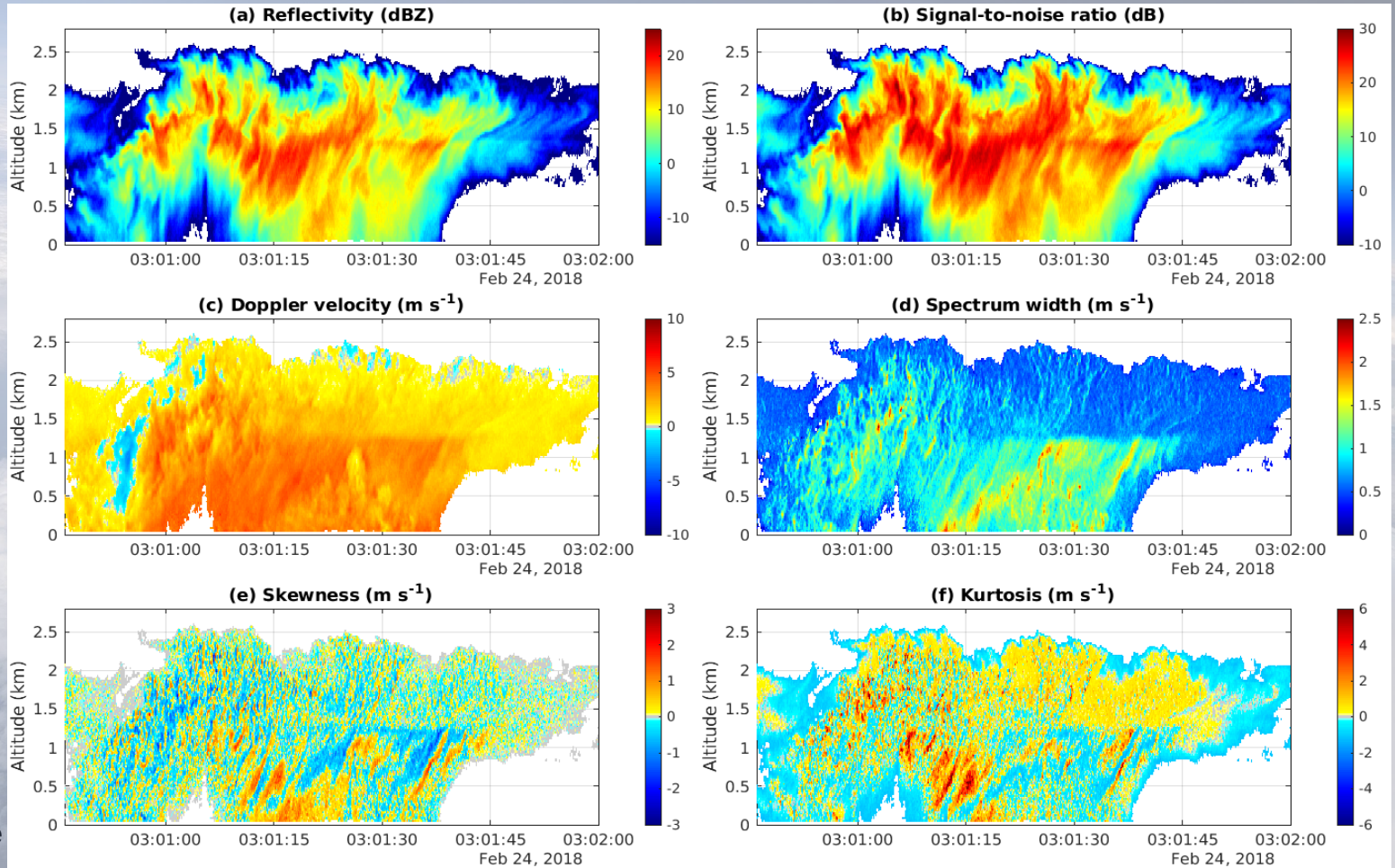
- De-noised.
- Spectral processing does not assume Gaussian shape of spectrum.



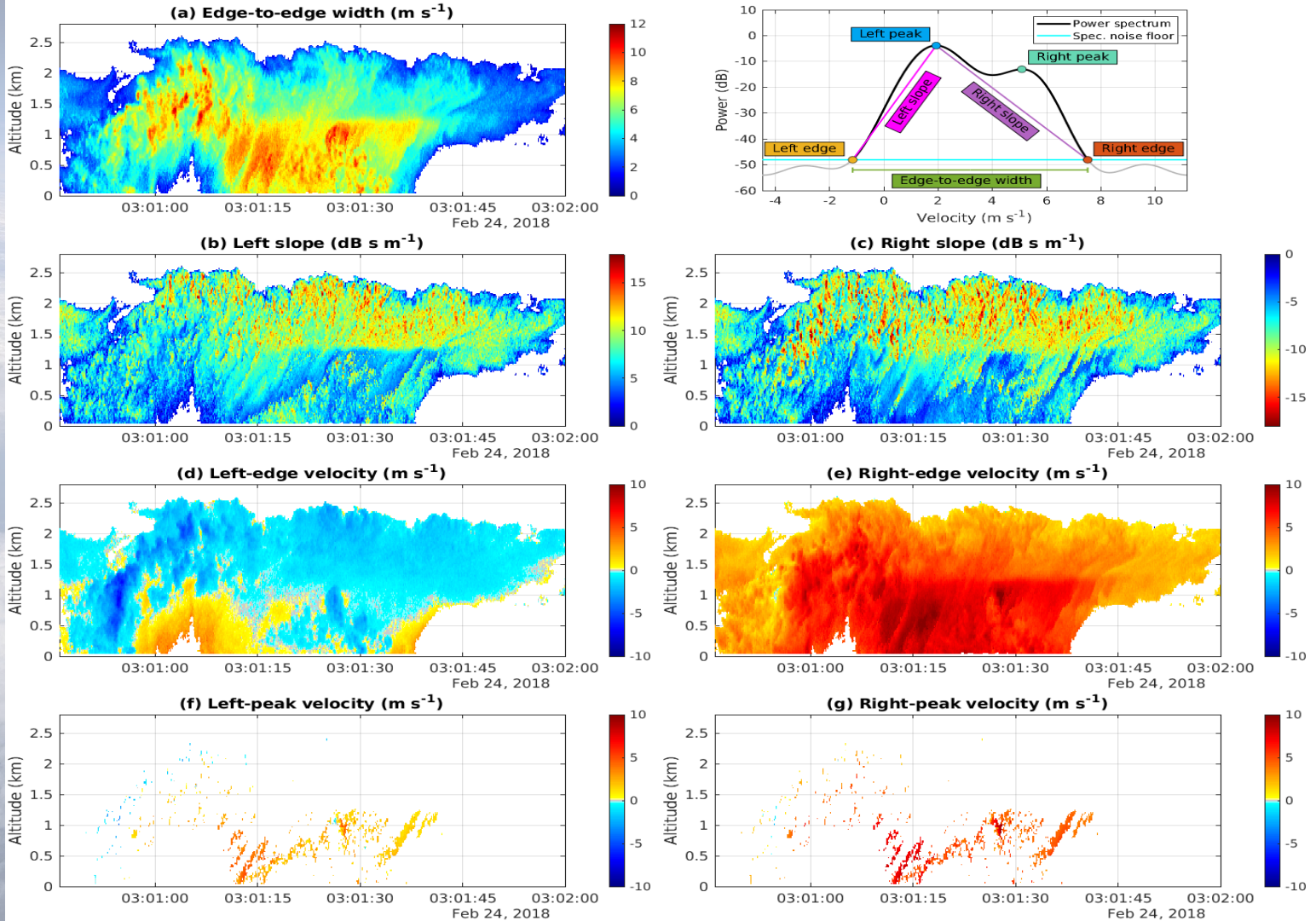



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# Higher-order moments



# Spectral parameters




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# Provide higher-order moments and spectral parameters for all HCR field campaigns

- NOR'EASTER - 1 research flight over the **US East Coast**
- CSET - 16 research flights **between California and Hawaii**
- SOCRATES - 15 research flights over the **Southern Ocean** south of Australia
- OTREC - 22 research flights over the **East Pacific and SW Caribbean Ocean**
- SPICULE - 10 research flights over the **US Great Plains**

**Data is freely available in the EOL Field Data Archive https://data.eol.ucar.edu/**

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# Conclusions

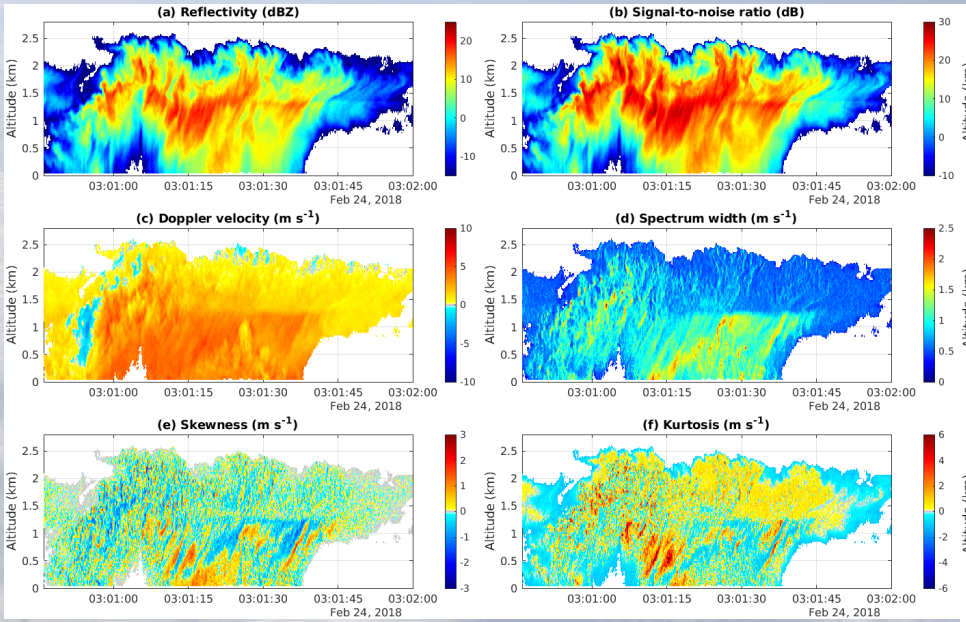
- We developed a processing technique for airborne radar Doppler spectra
  - Corrects spectra for aircraft motion broadening
- Processed Doppler spectra are used to calculate
  - Improved spectrum width
  - Skewness and kurtosis
  - Spectral parameters

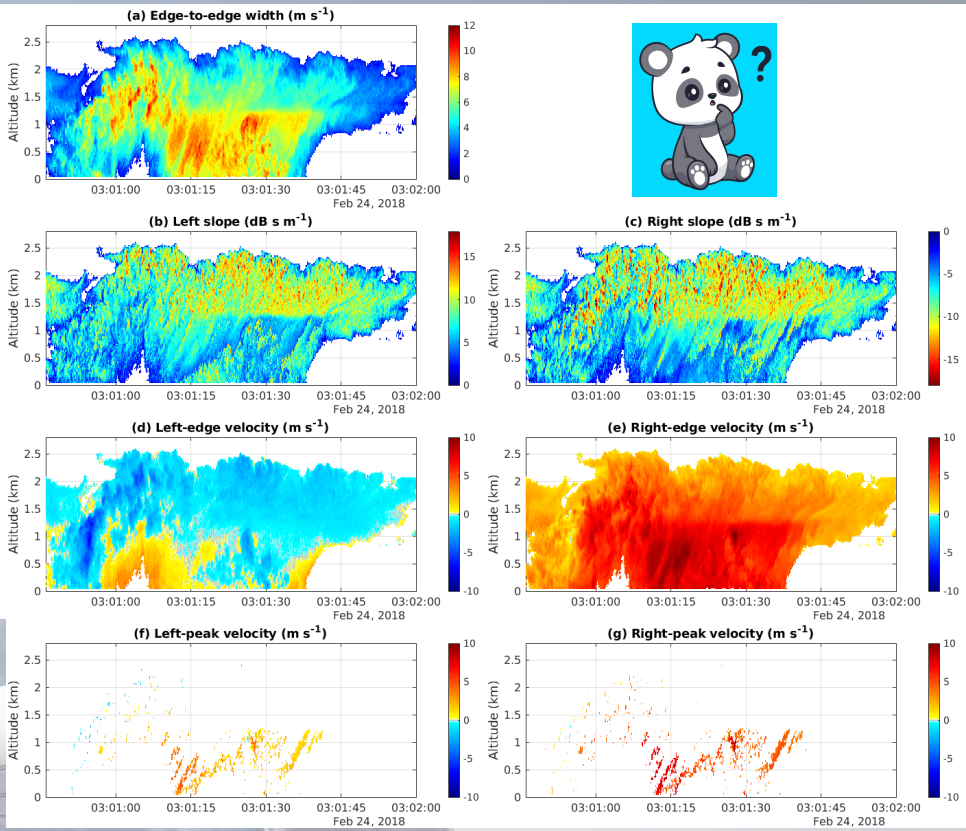
Future work

- Provide higher-order moments and spectral parameters to the community
- Derive microphysical and dynamic quantities

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# Thank you!



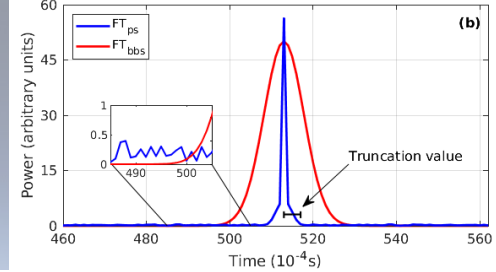


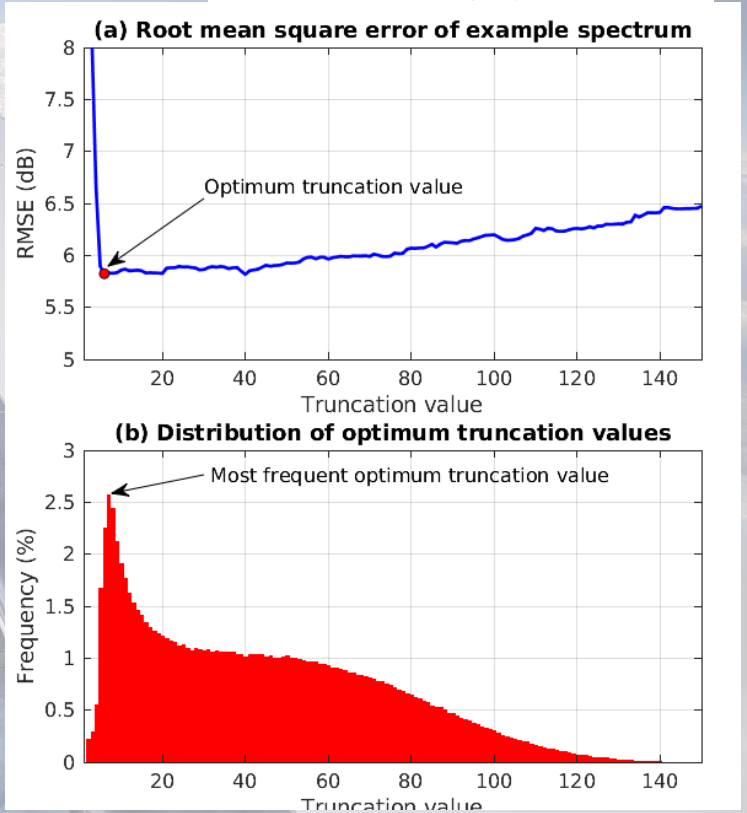

Romatschke, U., P. Romatschke, M. Hayman, and M. J. Dixon, 2025: **Processing of Doppler spectra collected by an airborne cloud radar for the calculation of skewness, kurtosis, and other spectral parameters.** Submitted to *Earth and Space Science*.

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# Filter optimization using holdout cross-validation

1. Split spectrum into two (Spectrum A and B) by taking every other data point.
2. Filter Spectrum A with a range of truncation values.
3. Calculate root mean square error (RMSE) between filtered Spectra A and unfiltered Spectrum B.
4. Repeat for many spectra.
5. Find most frequent optimum truncation value.




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