

SMOS Neural Network Soil Moisture Data Assimilation

***N. Rodríguez-Fernández¹, P. de Rosnay², F. Aires³,
C. Albergel⁴, M. Drusch⁵, Y. Kerr¹, C. Prigent³, S. Mecklenburg⁶,
J. Muñoz Sabater², P. Richaume¹***

(1) Centre d'Etudes Spatiales de la Biosphère (CESBIO), Toulouse, France

(2) European Centre for Medium Range Weather Forecasts (ECMWF), Reading, UK

(3) Observatoire de Paris – LERMA, Paris, France

(4) Centre National de Recherches Météorologiques (CNRM), Toulouse, France

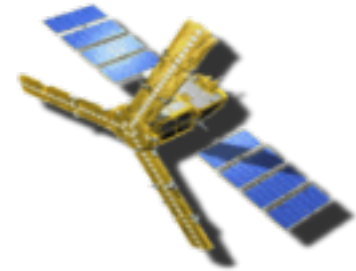
(5) European Space Agency, ESTEC, Noordwijk, Netherlands

(6) European Space Agency, ESRIN, Frascati, Italy

Soil Moisture and Ocean Salinity (SMOS)

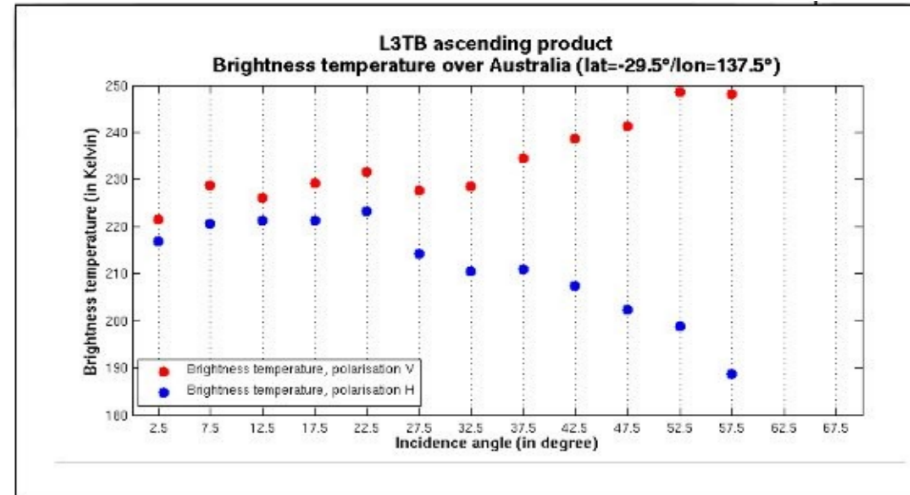


- **Passive radiometer at L-band (1.4 GHz, 21 cm)**
 - Full polarimetric and multi incidence angle capabilities (0°-60°)
- **Aperture synthesis**
 - 69 antennas, 4 meters arms -> resolution of a ~ 7 m antenna ~43 km (FWHM)
- Global coverage. Maximum revisit time of 3 days (equator). Overpasses 6 AM/6PM (Ascending/descending).



L-Band thermal emission

- Negligible attenuation by atmosphere
- Sensitivity to changes of surface temperature and roughness, soil moisture and ocean salinity
- Low attenuation due to vegetation
- Probes larger depth of the surface soil layer than shorter wavelengths
- Absolute values of soil moisture





Measured brightness temperature



Comparison and new modeling step if needed for SM retrievals or bias-correction for assimilation

Modeled brightness temperature



Radiation transfer:
CMEN, L-Meb...

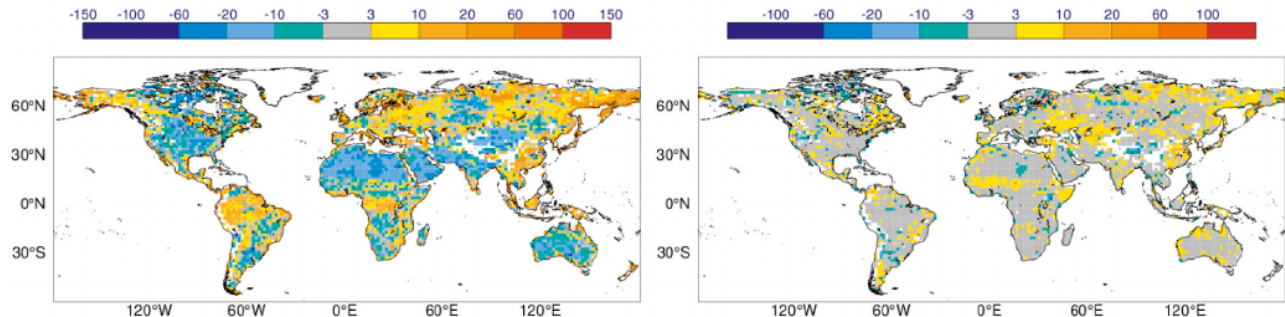
**Soil parameters:
moisture, temperature,
roughness land cover...**

Retrievals

- SMOS L2 SM, Kerr et al. (2012, TGARS)
- SMOS L3 SM, Al Bitar et al. (2017, ESSD)
- SMOS INRA-CESBIO Fernandez-Moran et al. (2017)

SMOS Tb monitoring and data assimilation experiments

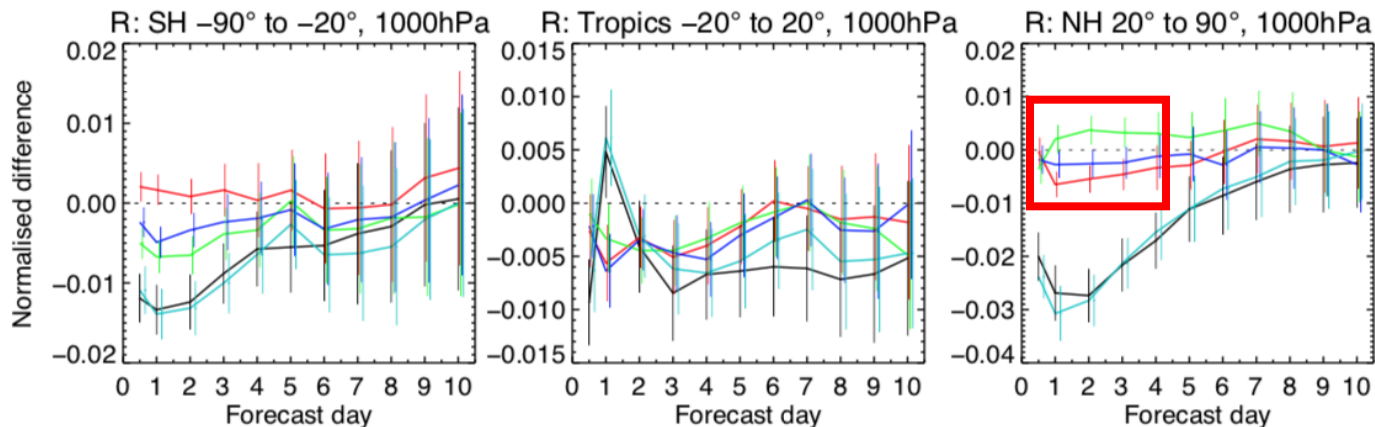
- Munoz Sabater et al. (2019, QJ RMS)
- De Rosnay et al. (2020, RSE)



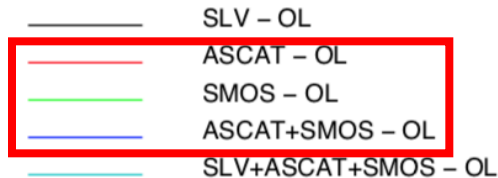
(b) Bias (Observation - Model) (K)

De Rosnay et al. (2020, RSE)

Assimilation of SMOS TB (atmospheric impact)



15 May-30 Sept 2012&2013



- Mostly neutral impact on atmospheric states
- Slight degradation of air humidity in NH with SMOS TB assimilation only: pattern in the Great Plains where SM was improved → model inconsistency between SM and air humidity

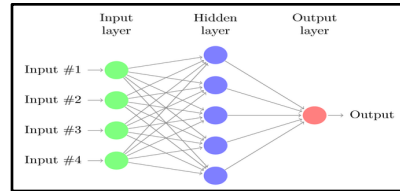
Muñoz-Sabater et al., 2019 QJRM

Global retrieval of soil moisture using neural networks

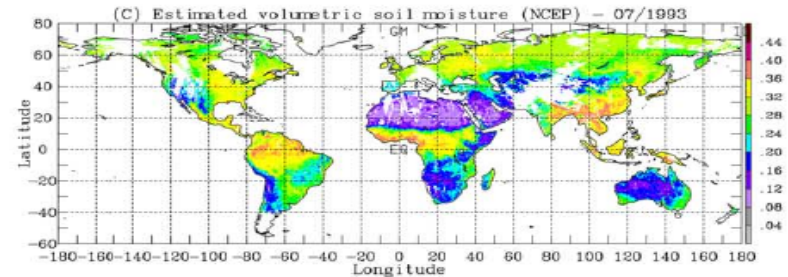
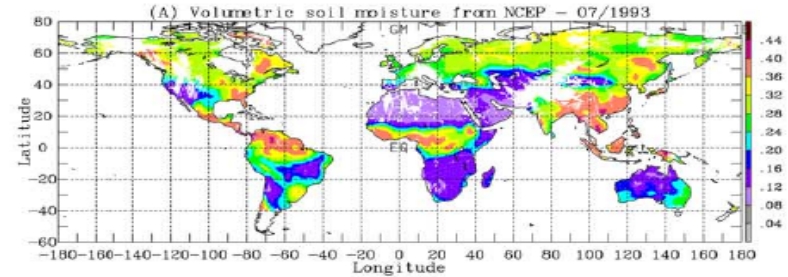


Neural networks can also be used to develop a new retrieval algorithm linking remote sensing observables to global soil moisture simulated fields from NWP models.

Monthly means of: ERS, SSM/I, NDVI (AVHRR), Tskin (ISCCP)



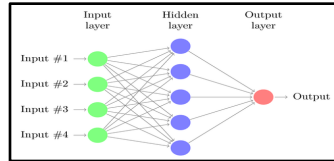
Soil moisture



*Prigent, Aires, et al. 2005, JGR
Aires, Prigent, Rossow 2005, JGR*

Training with NCEP or ECMWF models

Input data: SMOS TBs,...



NN soil moisture



Training: comparison and new modeling step if needed

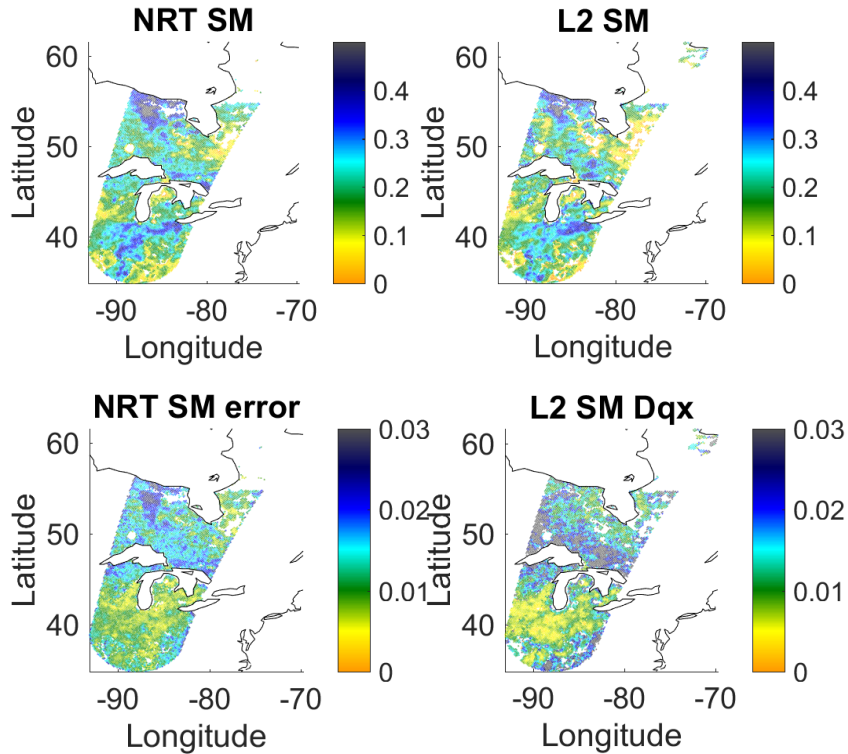
Soil moisture reference (depends on the goal)

- Surface models (Rodriguez-Fernandez et al. 2015, TGARS)
- Radiation transfer simulations (Rodriguez-Fernandez et al. IGARSS 2017a)
- In situ measurements (Rodriguez-Fernandez et al. IGARSS 2017b)
- SMOS Level 2 SM (Rodriguez-Fernandez et al. 2017, HESS)

Test different
input data

Adapt NN
weights

No global bias with
respect to the
reference data



SMOS ESA NRT SM

- Training on SMOS Level 2 SM
- Includes error estimation
- Disseminated by ESA and EUMETCast since 2016
- Maximum latency: 3.5 hours

(Rodríguez-Fernandez et al. 2017, HESS)

- Similar to SMOS L2 SM but available in NRT for your operations applications

An offline SMOS NN SM DA experiment

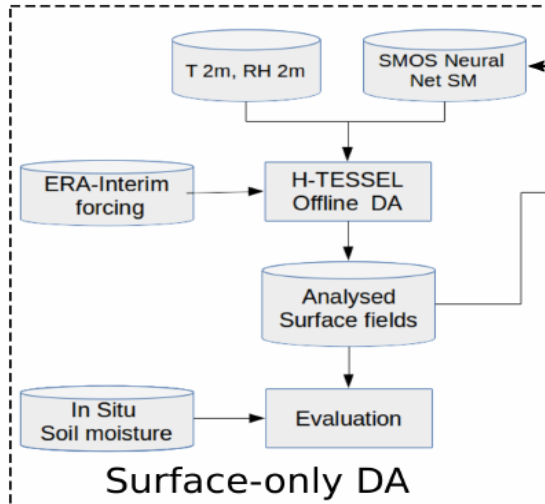
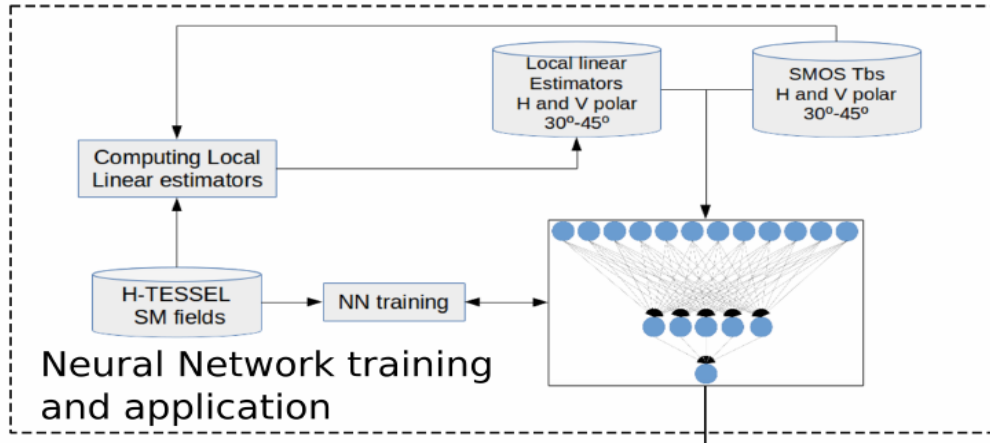
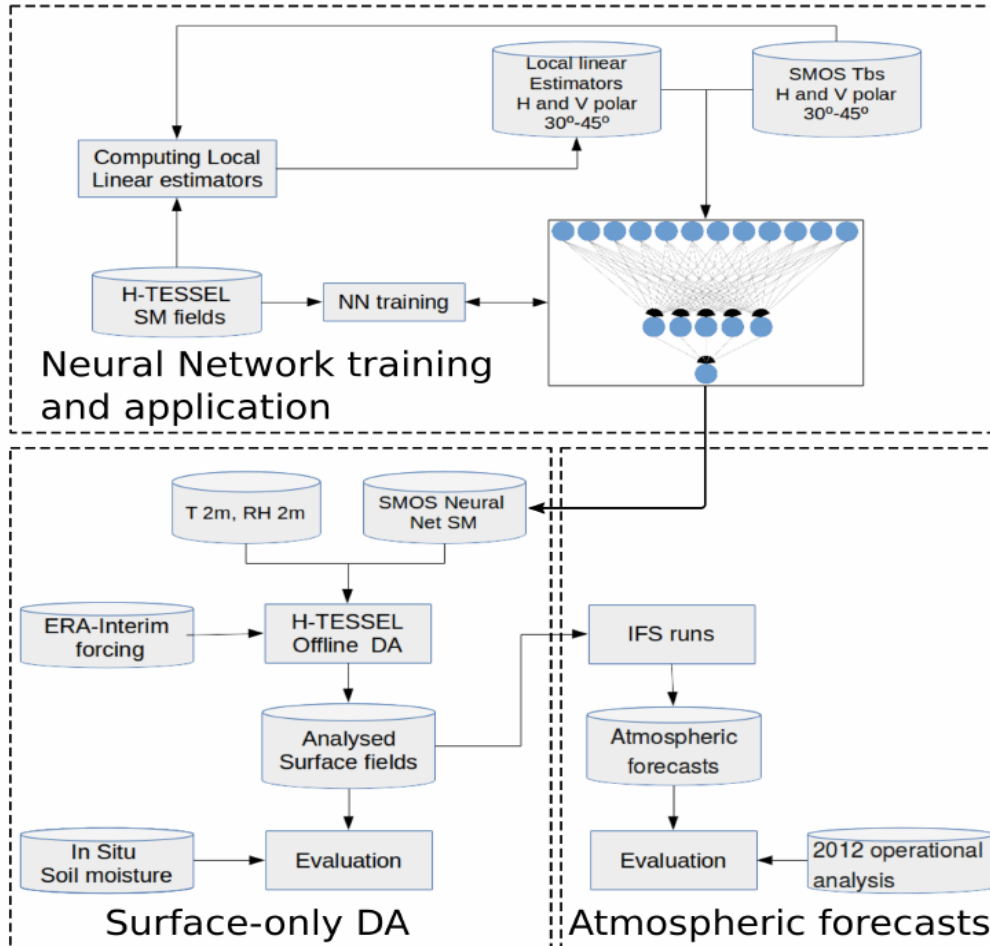


Table 2. Comparison of the IFS Land Data Assimilation System (LDAS) and the surface-only LDAS.

	IFS-LDAS	so-LDAS
Assimilation technique	SEKF	SEKF
Assimilation window	12 h	24 h
Surface-atmosphere coupling	fully coupled	uncoupled, surface forced by ERA-Interim
Observation input grid	independent of the model grid	Same grid as the model grid
Analysis	RH _{2m} , T _{2m} , SM, soil temperature, snow cover and snow temperature	SM
Observation input	RH _{2m} , T _{2m} , ASCAT SM, LST, snow cover and snow temperature, SMOS TBs (in development)	RH _{2m} and T _{2m} analysed with IFS-LDAS, ASCAT SM, SMOS SM
Increment applied	analysis time	Initial time step and additional trajectory
Background error	0.01 m ³ m ⁻³	5% of water holding capacity

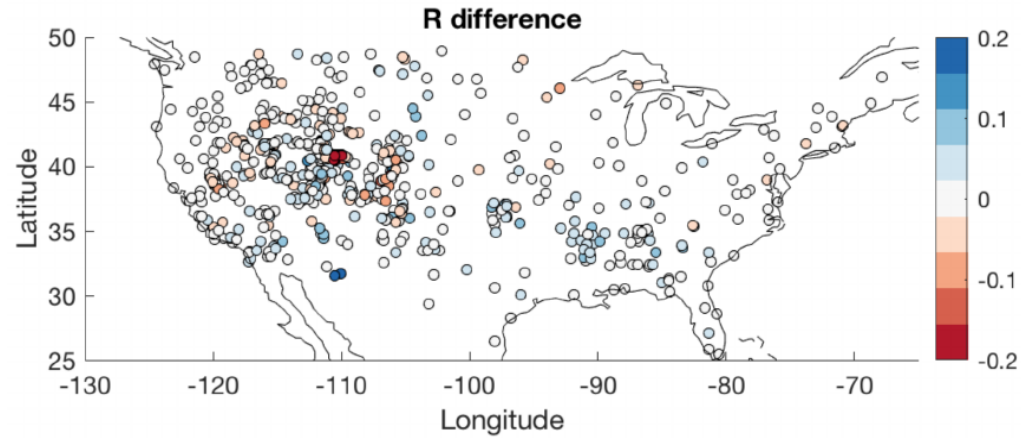
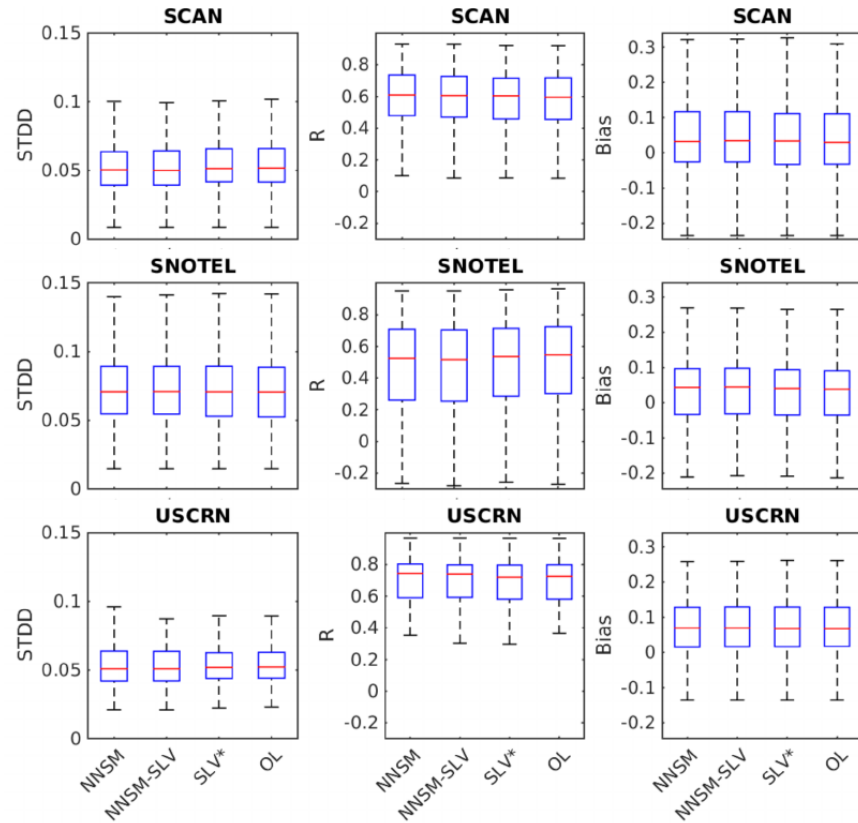
An offline SMOS NN SM DA experiment



• Experiments

Label	SM	σ_{SM}	SLV
OL	no	...	no
NNSM	yes	$3 \times \sigma_{NN}$	no
NNSM-SLV	yes	$3 \times \sigma_{NN}$	yes
NNSM _{LOW}	yes	$9 \times \sigma_{NN}$	no
SLV*	yes	$9 \times \sigma_{NN}$	yes

NNSM DA: evaluation against in situ SM



- **Small impact on surface SM at the positions with in situ measurements ... where models are already strongly constrained by conventional observations**

NNSM DA: evaluation of atmospheric forecasts using the surface analysis



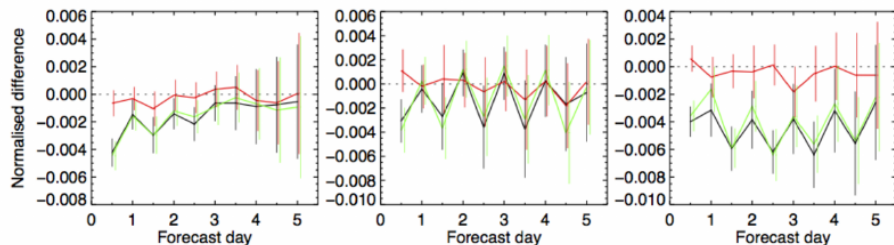
• T2m

Apr-Jun

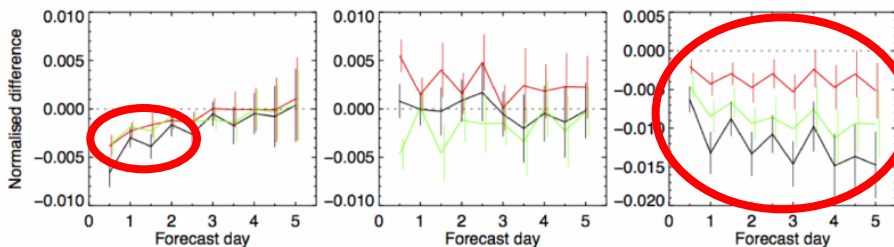
South Hemi.

Tropics

North Hemi.

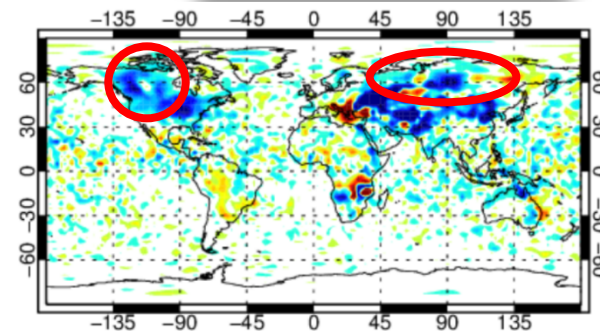


Jul-Sep

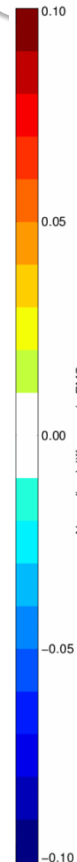
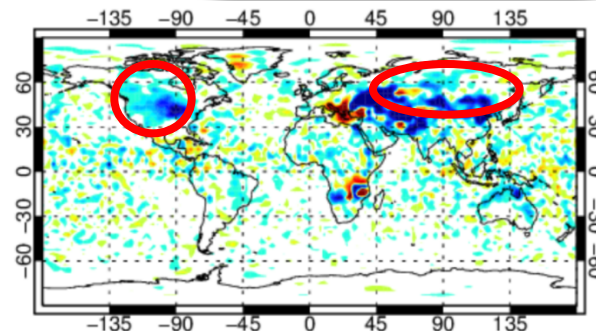


NNSM-SLV - OL ———
 NNSM - OL ———
 SLV* - OL ———

NNSM+SLV T+36h



SLV T+36h



A specific NRT SMOS SM for DA



- **Polarizations: H and V**
- **Incidence angles: three bins 30-35, 35-40, 40-45**
- **Brightness temperatures (BTs)**
- **Local linear estimators, index I_2 , computed from extreme BTs**

$$I_{1\lambda\phi}(t) = \frac{T_{b\lambda\phi}(t) - T_{b\lambda\phi}^{\min}}{T_{b\lambda\phi}^{\max} - T_{b\lambda\phi}^{\min}} \quad I_{\lambda\phi}(t) = SM_{\lambda\phi}^{T_b^{\min}} + \left[SM_{\lambda\phi}^{T_b^{\max}} - SM_{\lambda\phi}^{T_b^{\min}} \right] \times I_{1\lambda\phi}(t)$$

- **In contrast to ESA NRT SM product**
 - **no soil temperature is used for the DA-specific NN**
 - **the training is done using ECMWF SM (0-7 cm) from AUXEC files, instead of Level 2 SM**

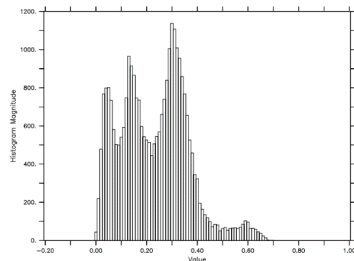
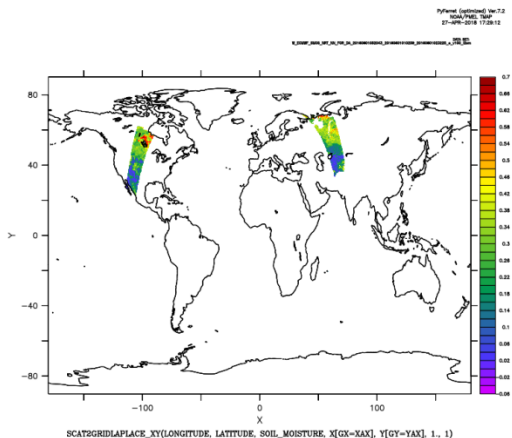
- **Designed at CESBIO/Obs. Paris**
- **Implemented and running at ECMWF**
- **ESA funded**

- **Operationally assimilated at ECMWF since June 2019**

SMOS neural network: Implementation in the ECMWF Integrated Forecasting System (IFS)

de Rosnay et al., 2020, in prep

New SMOS-EC neural network
 → Operational SMOS NN SM for assimilation



EUROPEAN CENTRE FOR MEDIUM-RANGE WEATHER FORECASTS

Initial Conditions

**NWP Forecast
Coupled Land-Atmosphere**



background

Soil Analysis (SEKF)
SM1, SM2, SM3

$$\begin{aligned} \sigma^o_{T2m} &= 1K & \sigma^b &= 0.01 \text{ m}^3\text{m}^3 \\ \sigma^o_{RH2m} &= 4\% & \sigma^o_{ASCAT} &= 0.05 \text{ m}^3\text{m}^3 \\ \sigma^o_{SMOS} &= 0.02 + a \text{ SM}_{\downarrow} \text{ERR} \text{ m}^3\text{m}^3 \end{aligned}$$



Observations

**T_2m RH_2m
ASCAT SM
SMOS NN SM**



SMOS EC Neural Network

**SMOS L1
NRT TB**

**SMOS
EC SM**

ECMWF

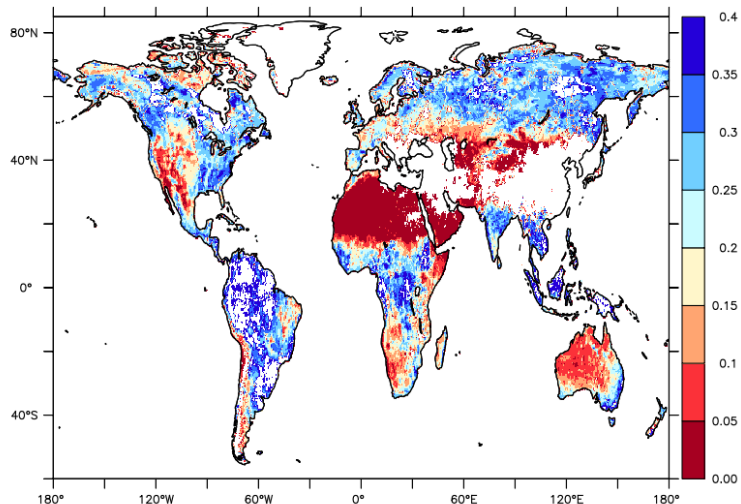
EDA SEKF and SMOS NN DA impact

➤ Enhanced coupling:

- Use the EDA to compute the SEKF Jacobian
- assimilate soil moisture from SMOS in coupled land-atmosphere forecasting system

➤ Improved efficiency:

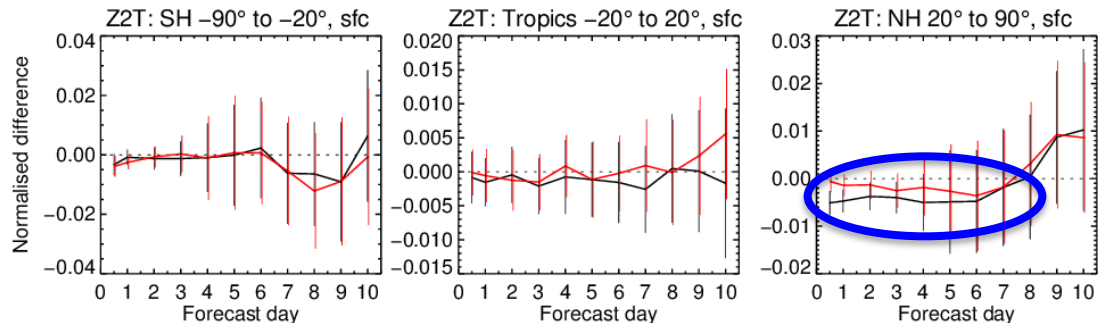
- CPU reduction (factor 3.6) from EDA SEKF, cost neutral for SMOS



SMOS NN SM (m³/m³) JJA 2017

1–Jun–2017 to 31–Aug–2017 from 164 to 183 samples. Verified against own-analysis.

Confidence range 95% with AR(2) inflation and Sidak correction for 8 independent tests



— gykr
— gykk

EDA&SMOS - CTRL
SMOS - CTRL

de Rosnay et al, 2020, in prep

- **The use of a neural network to link SMOS brightness temperatures to ECMWF SM field have given good results in and offline DA experiment**
- **A near-real time SMOS SM processing chain specific for DA at ECMWF has been implemented in parallel to the ESA SMOS NRT product**
- **This SMOS NRT is assimilated operationally by ECMWF with promising results**

- **Think of this alternative approach for your DA ... if you want to assimilate SMOS data we can provide support**

Thanks for your attention !



- **More information**

SMOS blog



@SMOS_satellite



Nemesio.rodriquez@cesbio.cnes.fr @NemesioRF