



# Modifications to Three-Dimensional Turbulence Parameterization for Tropical Cyclone Simulation at Convection-Permitting Resolution

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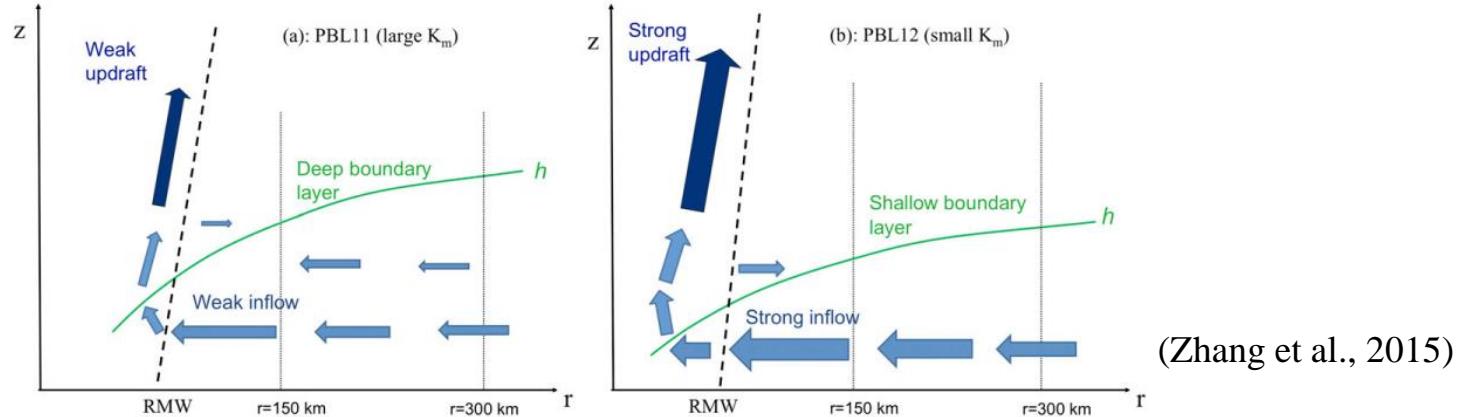
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6 May, 2024

# 1. Background

- To accurately represent the subgrid-scale (SGS) turbulent fluxes in numerical weather prediction models (NWP) is important for simulating the dynamic and thermal structure of the TC.



- Drawbacks for the turbulence parameterization at convection-permitting resolution (CPR: 1~4 km)
  - 1D PBL schemes are still mainly used (excessive turbulent transport)
  - 1D and 3D schemes are based on the **Eddy-diffusivity closure** (downgradient)
  - All the schemes were developed within PBL (unable to accurately describe the turbulence above PBL)

# 1. Background

- A new SGS model, **H-gradient closure**, was proposed by Moeng et al. (2010)

$$\overline{u'_i u'_j}^\Delta = C_s \frac{\Delta^2}{12} \left( \frac{\partial \bar{u}_i^\Delta}{\partial x} \frac{\partial \bar{u}_j^\Delta}{\partial x} + \frac{\partial \bar{u}_i^\Delta}{\partial y} \frac{\partial \bar{u}_j^\Delta}{\partial y} \right) \quad \overline{u'_i s'}^\Delta = C_s \frac{\Delta^2}{12} \left( \frac{\partial \bar{u}_i^\Delta}{\partial x} \frac{\partial \bar{s}^\Delta}{\partial x} + \frac{\partial \bar{u}_i^\Delta}{\partial y} \frac{\partial \bar{s}^\Delta}{\partial y} \right) \quad \begin{array}{l} 1) \text{ scale aware} \\ 2) \text{ countergradient} \end{array}$$

- The H-gradient closure was already shown good performance in the simulation of near-equilibrium tropical convection and supercell convection systems (Verrelle et al., 2017; Hanley et al., 2019; Strauss et al., 2019; Sun et al., 2021).

This work aims to:

- 1) Evaluate the performance of H-gradient closure in TC at CPR
- 2) Improve the scale-adaptive three-dimension turbulent parameterization

SMS-3DTKE scheme (Zhang et al., 2018)

## 2. Performance of H-gradient closure in TC at CPR

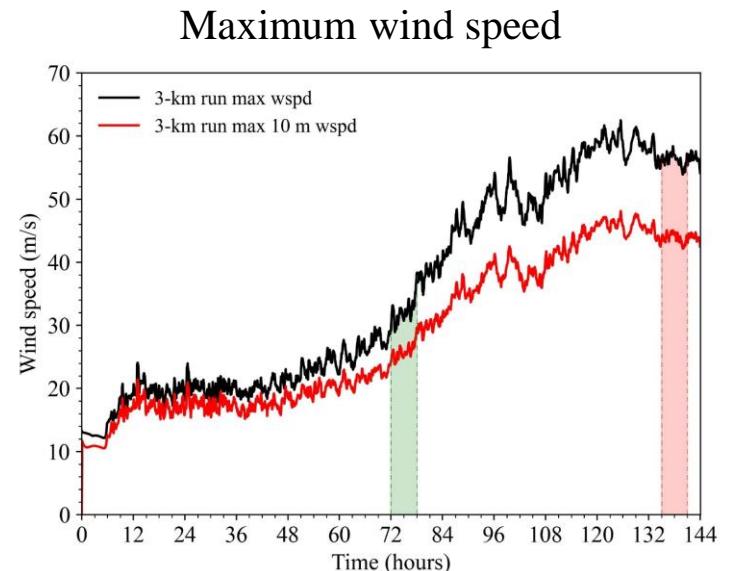
- Benchmark: 3 km results coarse-grained from idealized TC LES (200 m)

$$\overline{\dot{u}_i \varphi}^\Delta = \overline{(u_i - \bar{u}_i^\Delta)(\varphi - \bar{\varphi}^\Delta)}^\Delta$$

### LES: Initial and boundary conditions (3 km-run)

Simulated period	144 hours
Domain	1
Horizontal grid spacing	3 km
Horizontal grid number	201*201
Domain area (km*km)	600*600
Vertical layer number	81 (25 km)
Turbulence scheme	YSU
Microphysics	Thompson
wrfout (time interval)	5 min

Setup of LES		
Restart (LES)	RI 72 -78 h	Mature 135-141 h
Horizontal grid spacing		<b>200 m</b>
Horizontal grid number		3000*3000
Turbulence scheme		<b>SMS-3DTKE</b>



## 2. Performance of H-gradient closure in TC at CPR

- H-gradient:

$$\overline{u'_i u'_j}^\Delta = C_s \frac{\Delta^2}{12} \left( \frac{\partial \bar{u}_i^\Delta}{\partial x} \frac{\partial \bar{u}_j^\Delta}{\partial x} + \frac{\partial \bar{u}_i^\Delta}{\partial y} \frac{\partial \bar{u}_j^\Delta}{\partial y} \right)$$

$$\overline{u'_i s'}^\Delta = C_s \frac{\Delta^2}{12} \left( \frac{\partial \bar{u}_i^\Delta}{\partial x} \frac{\partial \bar{s}^\Delta}{\partial x} + \frac{\partial \bar{u}_i^\Delta}{\partial y} \frac{\partial \bar{s}^\Delta}{\partial y} \right)$$

- Transport direction of turbulent flux:

$$\overline{u'_i u'_j}^\Delta \frac{\partial \bar{u}^\Delta}{\partial x_i} \quad \overline{u'_i s'}^\Delta \frac{\partial \bar{s}^\Delta}{\partial x_i}$$

Negative: along the gradient  
Positive: countergradient

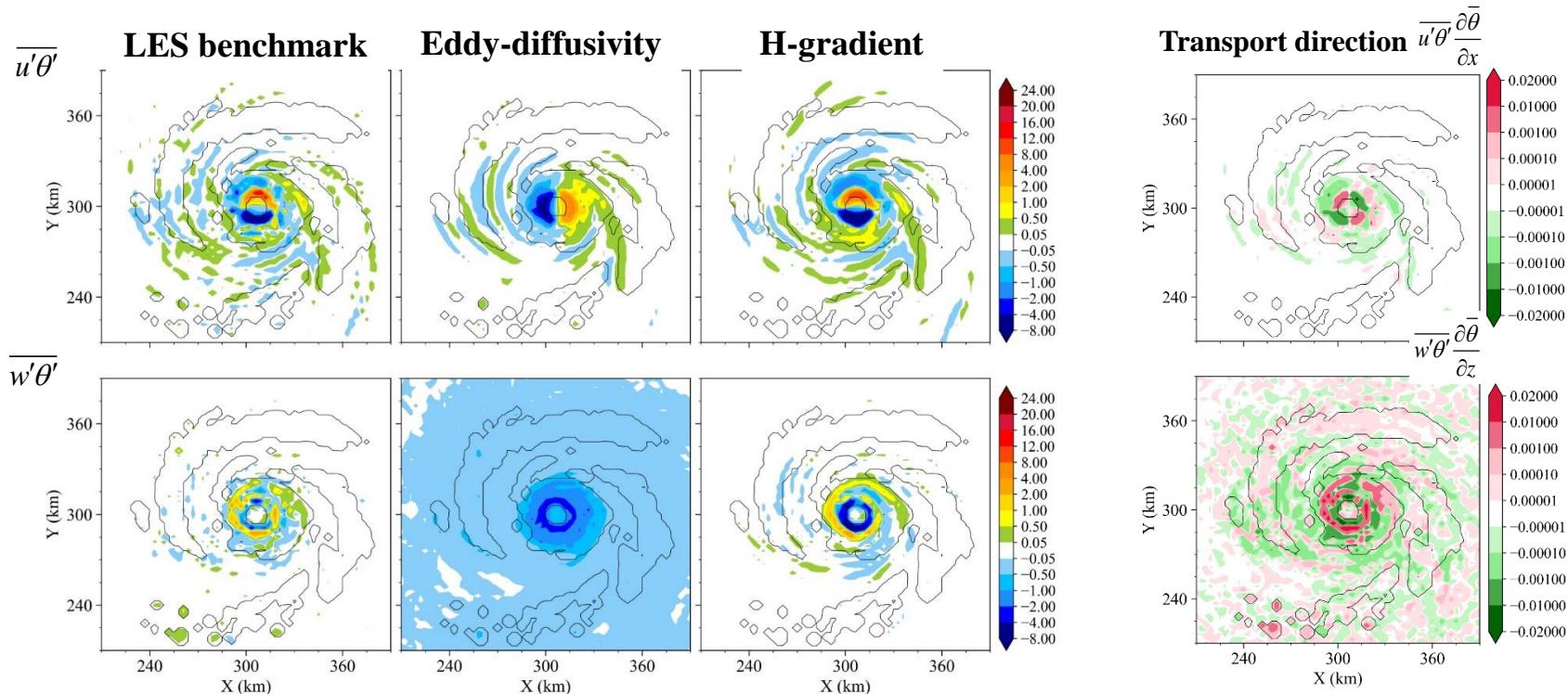
- Eddy-diffusivity:

$$\overline{u'_i u'_j}^\Delta = -K_M \left( \frac{\partial \bar{u}_i^\Delta}{\partial x_j} + \frac{\partial \bar{u}_j^\Delta}{\partial x_i} \right)$$

$$\overline{u'_i s'}^\Delta = -K_H \frac{\partial \bar{s}^\Delta}{\partial x_i}$$

## 2. Performance of H-gradient closure in TC at CPR

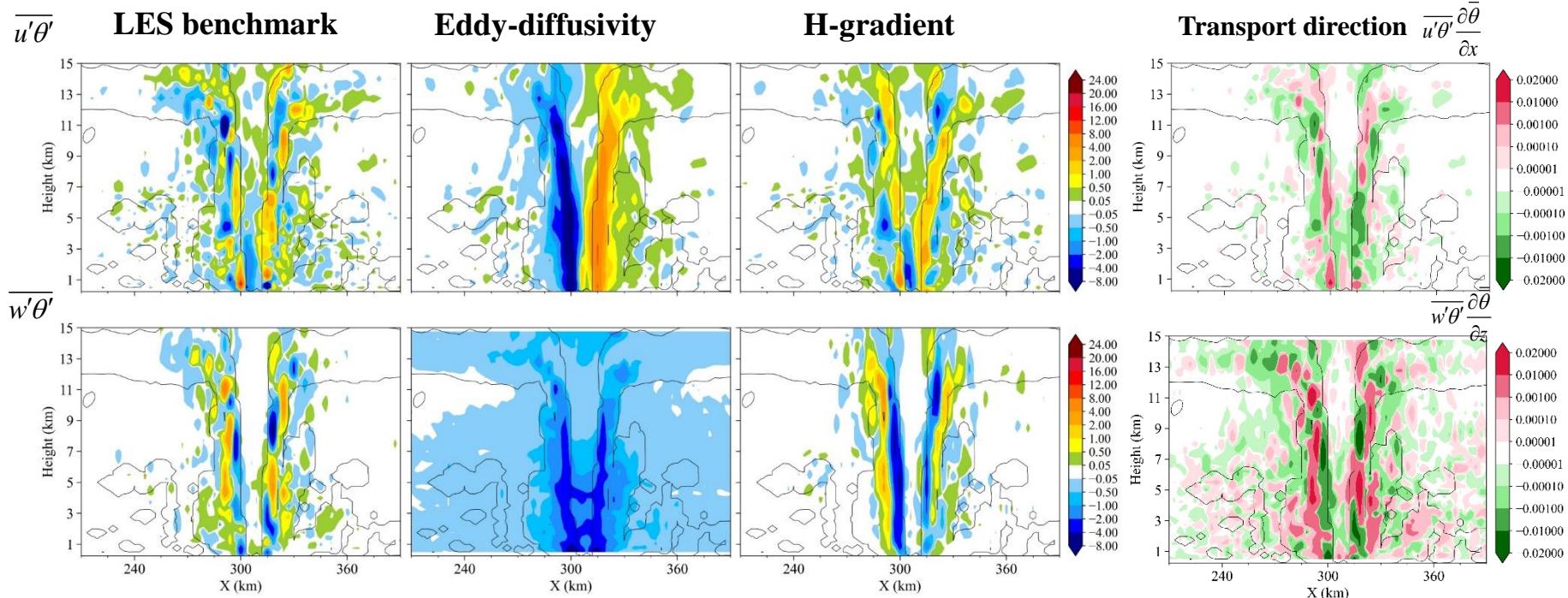
### Heat fluxes at mature stage ( $t = 141$ )



- H-gradient closure is capable of accurately representing the countergradient fluxes in TC

## 2. Performance of H-gradient closure in TC at CPR

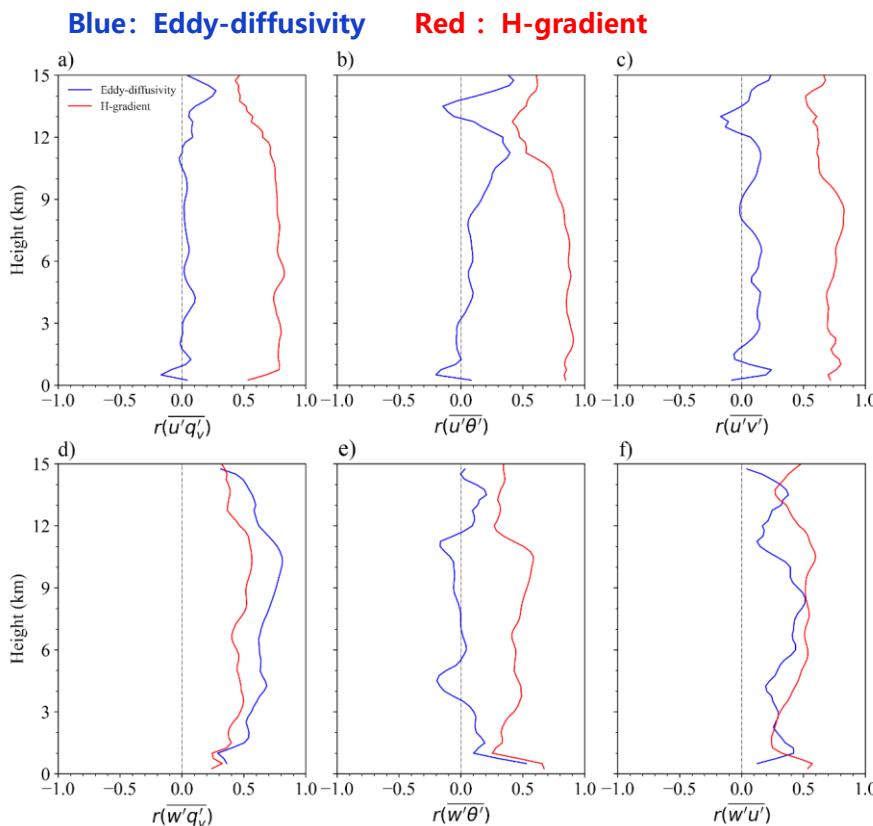
### Heat fluxes at mature stage ( $t = 141$ )



- The H-gradient closure can capture the significant upward heat transports in the eyewall throughout the troposphere.

## 2. Performance of H-gradient closure in TC at CPR

### Spatial correlation coefficients with height



### Mean spatial correlation coefficient

Turbulent flux	Eddy-diffusivity	H-gradient	
$u'q'_v$	0.047	0.713	↑
$u'\theta'$	0.112	0.753	↑
$u'v'$	0.078	0.701	↑
$w'q'_v$	0.618	0.442	↓
$w'\theta'$	0.027	0.427	↑
$w'u'$	0.316	0.445	↑

## 2. Performance of H-gradient closure in TC at CPR

**Turbulent kinetic energy (TKE/e): Subgrid scale**

$$\frac{\partial e}{\partial t} + \bar{u}_j \frac{\partial e}{\partial x_j} = -\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} + \delta_{i3} \frac{g}{\theta_v} \overline{u'_i \theta'_v} - \frac{\partial \overline{u'_i (e + p' / \bar{\rho})}}{\partial x_i} - \nu \frac{\partial \bar{u}'_i}{\partial x_j} \frac{\partial \bar{u}'_i}{\partial x_j}$$

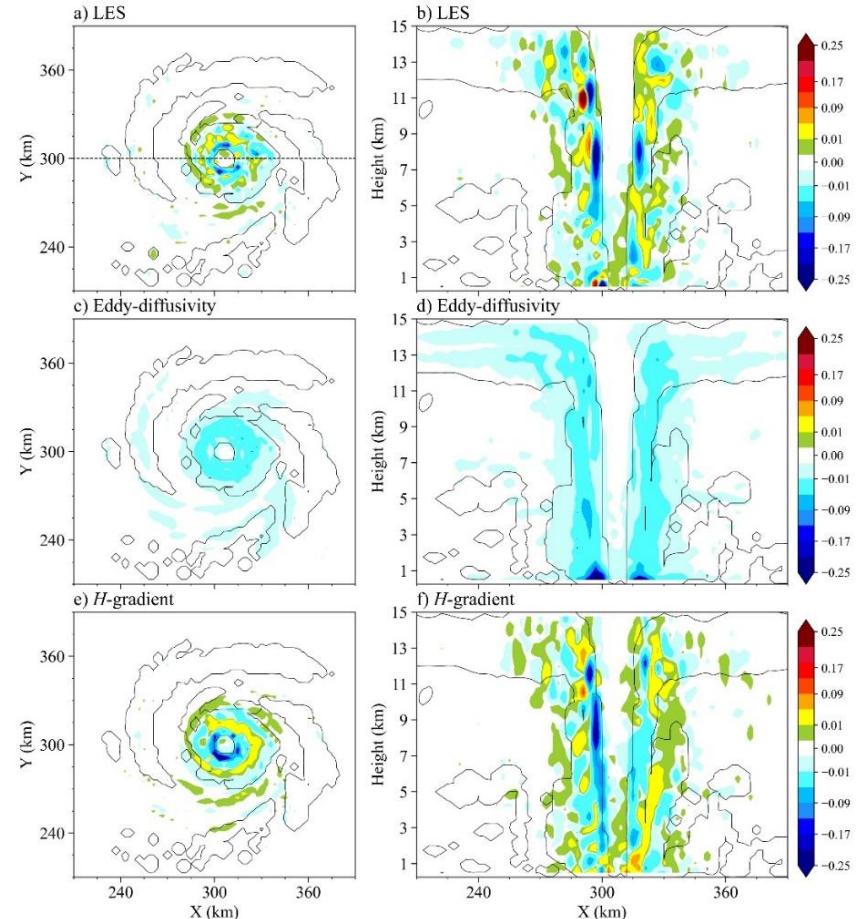
**Mean kinetic energy (MKE/E): Resolved scale**

$$\frac{\partial E}{\partial t} + \bar{u}_j \frac{\partial E}{\partial x_j} = \overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j} - \frac{\partial \bar{u}_i \overline{u'_i u'_j}}{\partial x_j} + \delta_{i3} \bar{u}_i g - \frac{\bar{u}_i}{\bar{\rho}} \frac{\partial \bar{p}}{\partial x_i} - \nu \frac{\partial \bar{u}_i}{\partial x_j} \frac{\partial \bar{u}_i}{\partial x_j}$$

**Energy transfer:**  $\overline{u'_i u'_j} \frac{\partial \bar{u}_i}{\partial x_j}$

**Negative: Resolved scale (e) → Subgrid scale (E) Scatter**

**Positive: Subgrid scale (E) → Resolved scale (e) Backscatter**



- H-gradient closure is capable of capturing both the scatter and backscatter of energy

### 3. Modification to SMS-3DTKE scheme



$$\frac{\partial \bar{u}}{\partial t} = -\frac{\partial \bar{u}'u'}{\partial x} - \frac{\partial \bar{u}'v'}{\partial y} - \frac{\partial \bar{u}'w'}{\partial z}$$

$$\frac{\partial \bar{v}}{\partial t} = -\frac{\partial \bar{u}'v'}{\partial x} - \frac{\partial \bar{v}'v'}{\partial y} - \frac{\partial \bar{v}'w'}{\partial z}$$

$$\frac{\partial \bar{w}}{\partial t} = -\frac{\partial \bar{w}'u'}{\partial x} - \frac{\partial \bar{w}'v'}{\partial y} - \frac{\partial \bar{w}'w'}{\partial z}$$

$$\frac{\partial \bar{\theta}}{\partial t} = -\frac{\partial \bar{\theta}'u'}{\partial x} - \frac{\partial \bar{\theta}'v'}{\partial y} - \frac{\partial \bar{\theta}'w'}{\partial z}$$

$$\frac{\partial \bar{q}_{vci}}{\partial t} = -\frac{\partial \bar{q}'_{vci}u'}{\partial x} - \frac{\partial \bar{q}'_{vci}v'}{\partial y} - \frac{\partial \bar{q}'_{vci}w'}{\partial z}$$

Horizontal fluxes: replaced by H-gradient

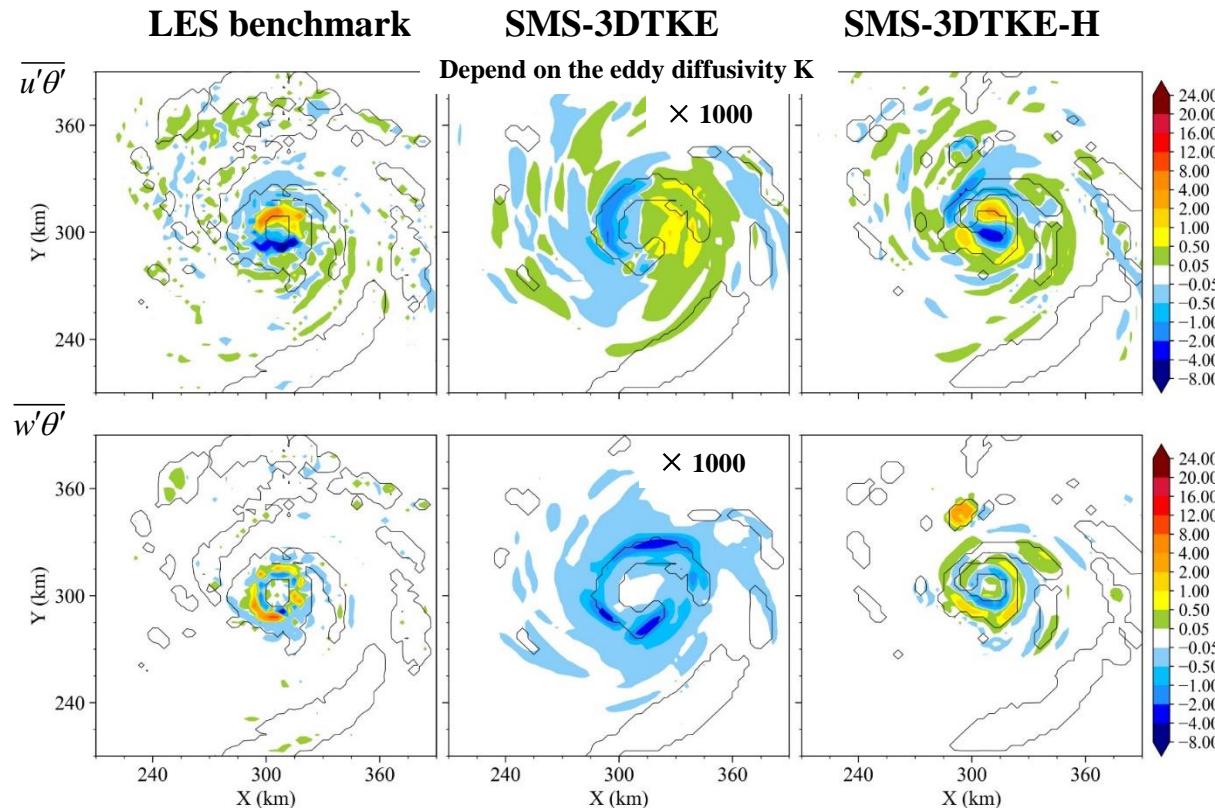
Vertical fluxes: within PBL, original SMS-3DTKE

above PBL, SMS-3DTKE +H-gradient

$$\bar{w'\theta'} = -K_H \frac{\partial \bar{\theta}}{\partial z} + \bar{w'\theta'}_{PBL}^{NL} + C_s \frac{\Delta^2}{12} \left( \frac{\partial \bar{w}}{\partial x} \frac{\partial \bar{\theta}}{\partial x} + \frac{\partial \bar{w}}{\partial y} \frac{\partial \bar{\theta}}{\partial y} \right)_{\text{above PBL}}$$

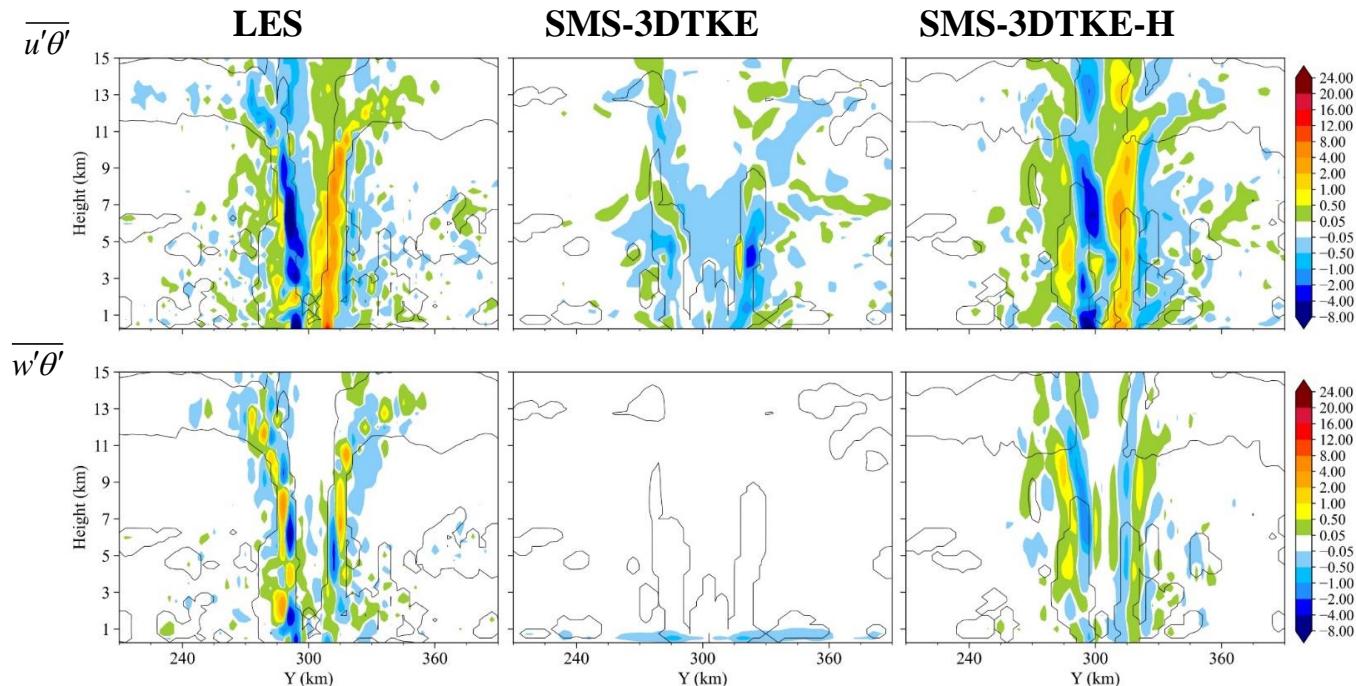
## 4. Evaluation of SMS-3DTKE-H scheme

### Idealized TC: Heat fluxes at mature stage ( $t = 138$ )



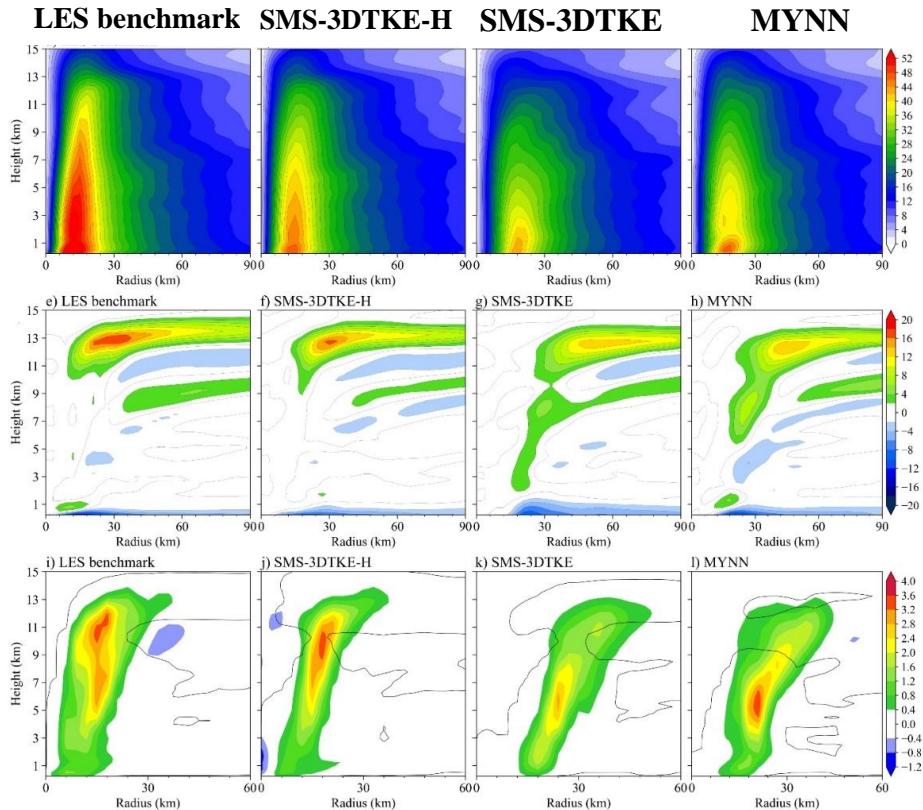
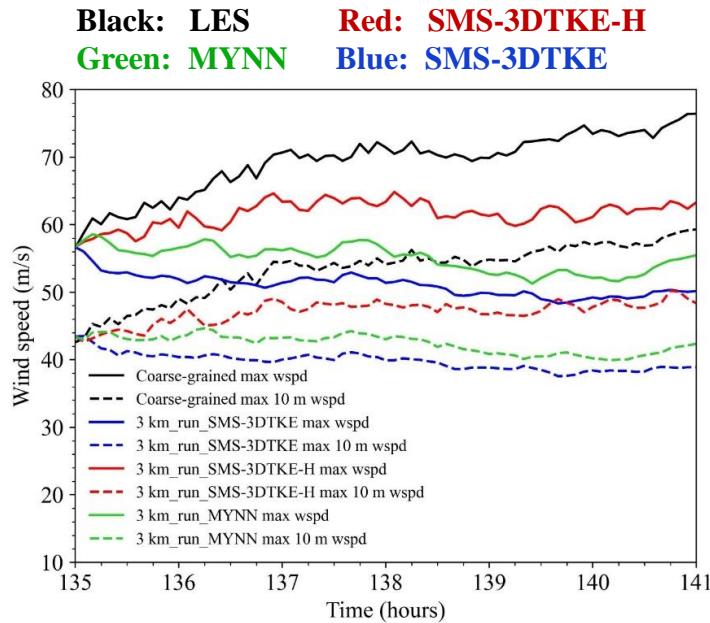
## 4. Evaluation of SMS-3DTKE-H scheme

Idealized TC: Heat fluxes at mature stage ( $t = 138$ )



## 4. Evaluation of SMS-3DTKE-H scheme

### Idealized TC:

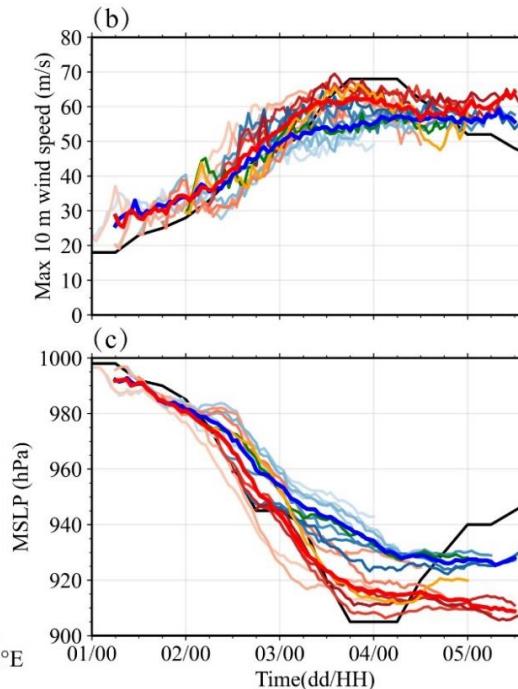
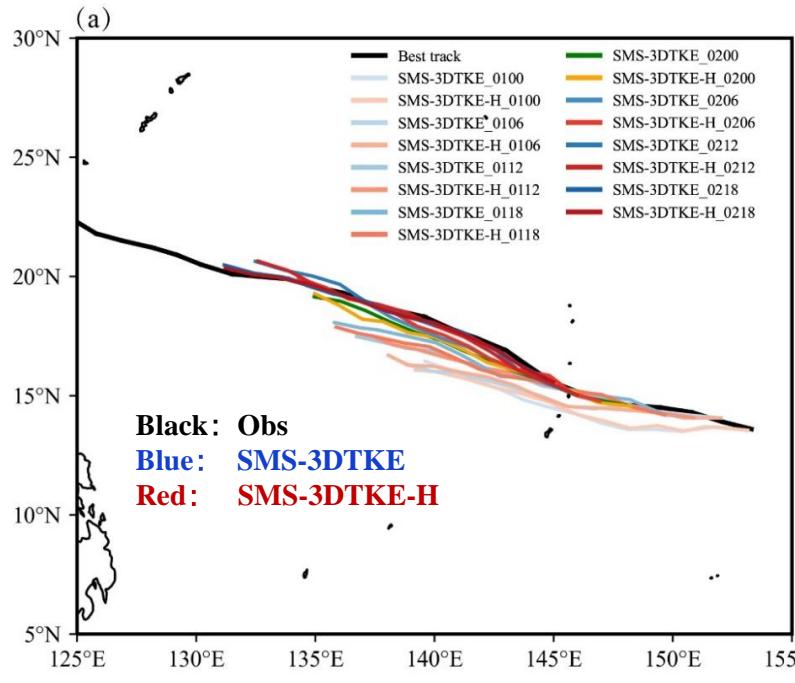


- SMS-3DTKE-H scheme significantly improves the simulation of intensity and structure of idealized TC.

## 4. Evaluation of SMS-3DTKE-H scheme

### Real-time TC: Soudelor (2015)

- SMS-3DTKE-H scheme improves the TC intensity more significantly.



RMSE:

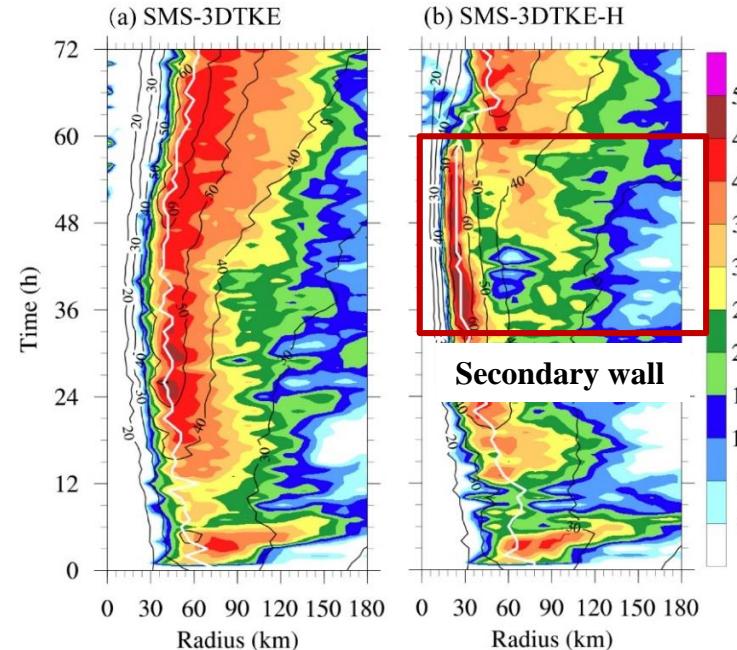
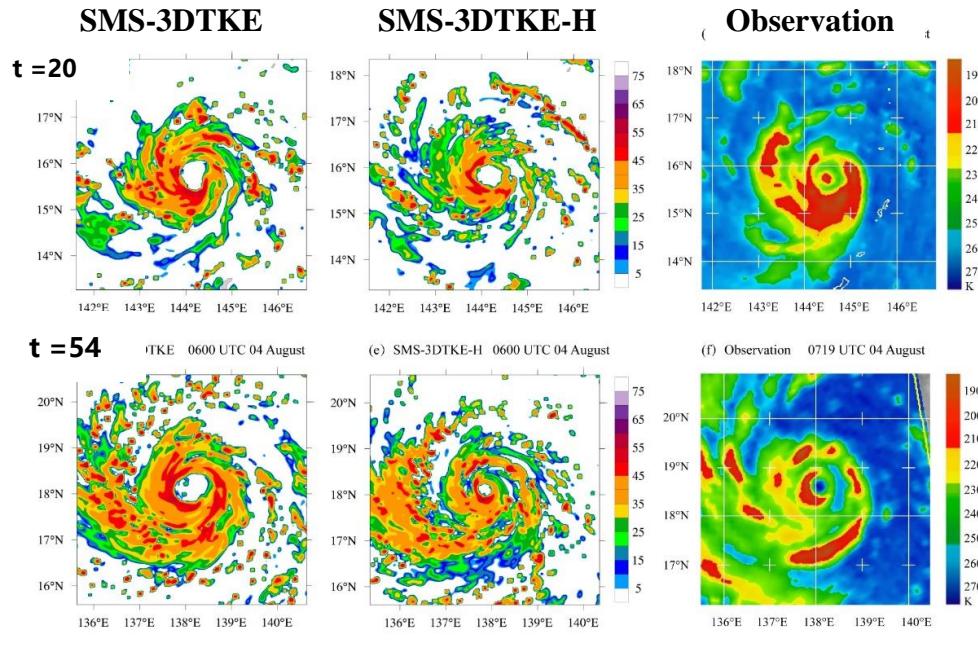
$V_{max10}$   6%

MSLP  22%

# 4. Evaluation of SMS-3DTKE-H scheme

## Real-time TC: Soudelor (2015)

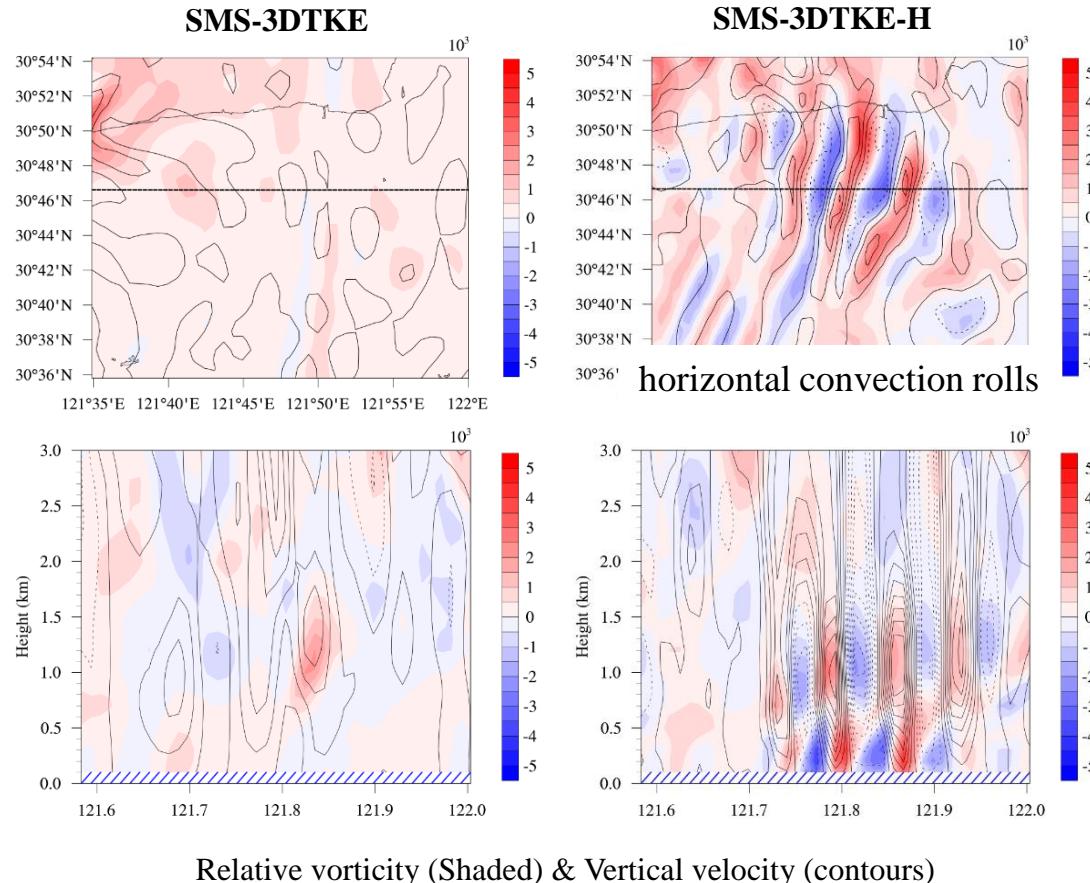
- SMS-3DTKE-H scheme improves the simulation of TC size, shape and location of eyewall, especially the secondary wall structure.



## 4. Evaluation of SMS-3DTKE-H scheme

### Real-time TC:

- SMS-3DTKE-H is able to simulate more refined coherent structures, such as horizontal convection rolls.



## 5. Summary

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- (1) Compared to the Eddy-diffusivity closure, the H-gradient closure is more appropriate for representing SGS fluxes in the TC at CPR.
- (2) H-gradient closure is capable of capturing both the scatter and backscatter of energy.
- (3) By implementing the H-gradient closure, the modified SMS-3DTKE (SMS-3DTKE-H) scheme improves the simulation of TC intensity and structure.

6 May, 2024

36th Conference on Hurricanes and Tropical Meteorology

Thank you for your attention!

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