	Changes in Observed Daily Precipitation over the United States
	Between 1950-1979 and 1980-2009
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	R. W. Higgins <sup>1</sup> and V. E. Kousky <sup>2</sup>
	<sup>1</sup> Climate Prediction Center, NOAA/NWS/NCEP, Camp Springs, MD, 20746
	<sup>2</sup> University Corporation for Atmospheric Research, Boulder, CO, 80307
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(	Corresponding author address: Dr. R. W. Higgins,
	Director, Climate Prediction Center, NOAA/NWS/NCEP,
	Washington, DC, 20233, USA

#### Abstract

Changes in observed daily precipitation over the conterminous United States between two 30 24 year periods (1950-1979 and 1980-2009) are examined using a 60-year daily precipitation 25 analysis obtained from the CPC Unified Raingauge Database. Several simple measures are used 26 to characterize the changes, including mean, frequency, intensity, and return period. Seasonality 27 is accounted for by examining each measure for four non-overlapping seasons. The possible 28 role of the El Niño Southern Oscillation (ENSO) cycle as an explanation for differences between 29 the two periods is also examined. 30 There have been more light (1 mm  $\le$  P < 10 mm), moderate (10 mm  $\le$  P < 25 mm) and heavy 31  $(P \ge 25 \text{ mm})$  daily precipitation events (P) in many regions of the country during the more recent 32 30-year period, with some of the largest and most spatially coherent increases over the Great 33 34 Plains and lower Mississippi Valley during autumn and winter. Some regions, such as portions of the Southeast and the Pacific Northwest have seen decreases, especially during the winter. 35 Increases in multi-day heavy precipitation events have been observed in the more recent period, 36 especially over portions of the Great Plains, Great Lakes, and Northeast. These changes are 37 associated with changes in the mean and frequency of daily precipitation during the more recent 38 30-year period. Difference patterns are strongly related to the ENSO cycle, and are consistent 39 with the stronger El Niño events during the more recent 30-year period. Return periods for both 40 heavy and light daily precipitation events during 1950-1979 are shorter during 1980-2009 at 41 42 most locations, with some notable regional exceptions.

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# 46 **1.0 Introduction**

This study focuses on changes in observed daily precipitation statistics over the conterminous 47 United States during a 60 year period (1950-2009). Emphasis is placed on the differences 48 between two 30-year sub-periods (1950-1979 and 1980-2009). The analysis is carried out using 49 gridded station data for the conterminous United States, where the spatial coverage and temporal 50 continuity of the data are relatively good. Several simple measures are used to characterize 51 changes in daily precipitation between the two 30-year periods, including mean, frequency, 52 intensity, return period, spatial extent and seasonality. Seasonality is accounted for by 53 54 examining each measure for four non-overlapping seasons (January-March, April-June, July-September and October-December, hereafter JFM, AMJ, JAS, and OND, respectively), using 55 daily data in each case. 56

57 Many approaches have been used for estimation and extrapolation of trends in climate time series (e.g. Livezey et al. 2007 discuss four approaches), but the results are often heavily 58 dependent on the endpoints. In this study the emphasis is on changes in the average statistics for 59 two successive 30-year periods (1950-1979 and 1980-2009) in order to minimize the effects of 60 the choice of endpoint on the results. This approach is used to avoid fitting trend lines which are 61 sensitive to the choice of endpoints. Because the focus is on changes in average statistics 62 between the two 30 year periods, the results are unlikely to be very sensitive to small shifts in the 63 specific years that define each period (e.g. shifts of a year or two), though this is not explicitly 64 65 tested. However, issues related to the choice of change points and whether similar statistics are obtained using different 30 year periods are not addressed. 66

Return periods (also referred to as recurrence intervals) are often used as an alternative toestimate intervals of time between climate events. There are various methods to calculate them,

69 and quite often the periods for extreme events are much longer than the length of the historical record (e.g. 500 years). The robustness of return period estimates increases for lighter events 70 away from the tails of the distribution. As an example of a traditional application, Wehner 71 (2005) used IPCC AR4 climate model projections to show how currently rare extremes (1-in-20-72 year events) are projected to become more commonplace by the end of this century. Climate 73 74 model projections such as these often show more coherent patterns than those in the observations, often due (at least in part) to the decreased variability in the climate models 75 compared to observations. 76

77 Increases in heavy precipitation events have been documented in many regions around the world for at least the last 60 years (e.g. IPCC 2011) and in some cases for the 20<sup>th</sup> century 78 (Kunkel et al. 2003, 2008; Groisman et al. 2005, 2012). Notably, Groisman et al (2012) 79 80 documented significant increases in the frequency of "very heavy" rain events (defined as daily events above 3 inches) and "extreme" precipitation events (defined as daily and multi-day rain 81 events with totals above 6 inches) over the central United States during a recent 31-year period 82 (1979-2009) when compared to the previous 31-year period (1948-1978). The present study 83 builds on the work of Groisman et al (2012) to consider changes in the frequency and intensity of 84 85 all daily and multi-day precipitation events over the United States.

In this study return periods are also used to estimate intervals of time between daily precipitation events in the two 30-year periods. The analysis is restricted to return periods that are no longer than one-third the length of a sub-period (i.e. 10 years), and intervals for both heavy events and light events (away from the tail of the daily precipitation distribution) are considered.

91 In other studies Probable Maximum Precipitation (PMP), defined as "the greatest depth of precipitation for a given duration meteorologically possible for a given size storm area at a 92 particular location at a particular time of the year" (WMO, 1986) has been used. For example, 93 the possible effects of climate change on return periods and PMP were investigated in Kunkel et 94 al. (2012). The study involved improved understanding of linkages between the radiative energy 95 96 balance, ocean heat storage, sea surface temperatures, and atmospheric water vapor content. The extent to which increases in atmospheric water vapor content tied to increases in greenhouse gas 97 concentrations may have led to changes in daily precipitation over the conterminous United 98 99 States during the past several decades is not examined here.

Our study builds on previous work on daily precipitation statistics over the United States 100 (e.g. Higgins et al. 2008) which uncovered significant biases in the observations due to 101 102 inhomogeneities in station coverage (particularly in the western United States) and inadequate quality control of the station observations. The present study benefits from recent work at the 103 Climate Prediction Center (CPC) to develop an observed daily precipitation analysis for the 104 105 period (1950-present) from the CPC Unified Raingauge Database (Higgins et al. 2008; Higgins et al. 2000), including a state-of-the-art quality control system and Optimal Interpolation (OI) 106 107 analysis scheme (Chen et al. 2008).

All gridded analyses have inherent limitations, so it is important to carefully document these before drawing conclusions. Higgins et al. (2010) examined time series of the total number of stations used in a gridded analysis (Optimal Interpolation) for the conterminous United States, which included a substantial increase in station counts in the early 1990's (particularly in the western United States) due to the addition of the SNOwpack TELemetry (SNOTEL) real-time data from the National Resources Conservation Service (http://www.wcc.nrcs.usda.gov/snow/) and the Hydrometeorological Automated Data System (HADS) real-time data from the National
Weather Service Office of Hydrologic Development (see http://www.nws.noaa.gov/ohd/hads/).
In this study the effects of changes in station data in the western United States during 1980-2009
on changes in daily precipitation between the two 30-year periods are considered by comparing
area means for the conterminous United States to area means for the eastern United States (i.e.
area means in which the western United States is excluded).

Many studies have examined relationships between daily precipitation and climate 120 variability, including ENSO (e.g. Gershunov and Barnett 1998; Gershunov and Cayan 2003; 121 122 Groisman et al. 1999; Higgins et al. 2007; Karl and Knight 1998; Kiladis and Diaz 1989; Mo and Higgins 1998; Ropelewski and Halpert 1986, 1996; Trenberth et al. 2003). When these studies 123 are considered together, it is fair to conclude that there is not a consensus on the local and 124 125 regional impacts of interannual climate variability on daily precipitation over the United States. There are many reasons for this, including the relatively low-resolution of the datasets employed 126 in many of the earlier studies and the limited number of realizations of the leading patterns of 127 128 climate variability (e.g. ENSO) in the historical record. The high resolution daily precipitation analysis used here (horizontal resolution is roughly 25 km) offers an opportunity to re-examine 129 130 these linkages in more detail than was possible in many of the earlier studies.

In this study the focus is on the extent to which changes in daily precipitation between the two 30-year periods are associated with changes in the intensity of the ENSO events between the periods. The ENSO analysis is based on NOAA's Oceanic Niño Index (ONI) that measures the sea surface temperature (SST) anomalies for the Niño 3.4 region. An implicit assumption in this choice is that the ENSO patterns did not change substantially between the two periods, except for their intensity. In fact, ENSO variability may manifest in different structures between the two periods, but this is not accounted for in the present analysis. In addition, the extent to which any
changes are forced by factors such as greenhouse gases, land use – land cover changes, and
aerosols is not examined.

In the future, results from this study will be used to investigate daily precipitation statistics in
the operational NCEP Climate Forecast System (CFS) version 2, with the purpose of identifying
and correcting model biases within a season to improve the CPC operational climate forecast
products. The investigation will necessarily include bias correction of the CFS version 2
reanalysis data (Saha et al. 2010) and CFS Version 2 reforecasts (Saha et al. 2012).
A brief summary of the data sets and methodology (section 2) is followed by the

examination of changes in daily and multi-day precipitation events between the two 30-year

periods (section 3). A discussion of the results and some considerations for future studiesfollows (section 4).

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# 150 2.0 Data Sets and Methodology

### 151 **2.1 Observed Precipitation**

The observed daily precipitation analysis was obtained from the CPC Unified Raingauge 152 Database (Dr. Pingping Xie, personal communication, 2011; Higgins et al. 2008; Higgins et al. 153 2000). The database averages roughly 17000 daily station reports around the globe, with 154 excellent coverage over the United States (roughly 8000 daily station reports). The database was 155 used to produce a multi-year (1950-present) daily precipitation analysis (12Z-12Z) for the 156 conterminous United States. The daily data were gridded at a horizontal resolution of (lat, lon) = 157 (0.25°, 0.25°) using an Optimal Interpolation scheme. Several types of quality control (QC) 158 159 were applied including a "duplicate station" check, a "buddy" check, a "standard deviation"

160 check (which compares the daily data against a gridded daily climatology), and when possible -161 a radar QC step (in which station reports with erroneous zero values are detected), and a satellite QC step (in which satellite based estimates of precipitation are used to screen erroneously heavy 162 hourly radar precipitation estimates). Previous assessments of objective techniques for gauge-163 based analyses of global daily precipitation (e.g. Chen et al. 2008) have shown that Optimal 164 Interpolation-based schemes are among the best over the complex terrain of the western United 165 States, though we acknowledge that our particular choice of analysis scheme is a source of 166 uncertainty. 167

168 Gauge-based precipitation analyses have other inherent uncertainties that are related to the gauge network density and to gauge network changes over time. Higgins et al (2010) 169 documented variations in the station coverage for the Optimal Interpolation analysis applied in 170 171 this study. An examination of the distribution of the average number of stations per grid box for the two periods 1950-1979 and 1980-2006 (Fig. 1, top panels) and the difference (1980-2006 172 minus 1950-1979) (Fig. 1, bottom panel) shows increases in station density in the western United 173 174 States as well as many parts of the eastern United States in the more recent period. Much of the increase in station count in the more recent period in the western United States is due to the 175 176 addition of SNOTEL data while increases in the eastern United States are largely due to the addition of HADS data (see official SNOTEL and HADS websites mentioned earlier). The 177 station archive used to produce Fig. 1 only extends to 2006 despite the fact that the analysis 178 179 extends to 2010 (Pingping Xie, personal communication, 2012). Figure 1 shows that the station coverage is much greater in the eastern United States than in the western United States 180 throughout the record. For this reason, caution will be applied especially when interpreting 181

results for the western United States, and in particular results for the conterminous United Statesare compared to results for the eastern United States in area mean plots (Figs. 6 and Figs 11-13).

### 184 **2.2 El Nino Southern Oscillation (ENSO)**

185 A classification of historical warm (El Niño) and cold (La Niña) episodes developed by the

186 CPC is used to identify changes in interannual variations in daily precipitation over the United

- 187 States between the two 30-year periods. El Niño and La Niña episodes were identified using
- the Oceanic Niño Index or ONI (Kousky and Higgins 2007). The ONI was computed from

three-month running-mean values of Sea Surface Temperature (SST) departures from average in

the Niño 3.4 region using a set of homogeneous historical SST analyses (Extended

191 Reconstructed SST – ERSST version 3 of Smith et al. 2008). The ONI can be found on the

192 Climate Prediction Center website

193 http://www.cpc.ncep.noaa.gov/products/analysis\_monitoring/ensostuff/ensoyears.shtml.

194 The NOAA operational definitions of El Niño and La Niña conditions based on the ONI (single

three-month season value) are as follows:

196	El Niño:	$ONI \ge 0.5$
197	La Niña:	$ONI \leq -0.5$
198	ENSO-neutral:	-0.5 < ONI < 0.5

The number of El Niño, La Niña and neutral events in each 30-year period are shown in Table 1.
Results are shown for non-overlapping 3-month seasons. In section 3.4 the changes in daily
precipitation are linked to changes in the intensity of El Niño and La Niña events during the two
30-year periods. The average intensity of the events, again based on the ONI, is shown in Table
203 2. Again the results are shown for non-overlapping 3-month seasons. Based on the ONI, the
average El Niño event during 1980-2009 is stronger than the average El Niño event during 1950-

1979 in OND, JFM and AMJ. The average La Niña event during 1980-2009 is weaker than the
average La Niña event during 1950-1979 throughout the annual cycle, especially in JFM and
AMJ.

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### 209 2.3 Methodology

The daily precipitation data (section 2.1) were ranked at each grid point and for each season 210 (JFM, AMJ, JAS, OND) for each 30-year period. The percent change (1980-2009 minus 1950-211 1979) was computed for 1) average daily precipitation, 2) the number of daily precipitation 212 213 events exceeding selected thresholds, and 3) the number of events in selected precipitation intensity bands. The number of daily precipitation events for successive 1 mm precipitation 214 bands are obtained by subtracting the number of events exceeding adjacent thresholds. For 215 216 example, the counts for the precipitation band 1 mm  $\leq P \leq 2$  mm is obtained by subtracting the count for  $P \ge 1$  mm from the count for  $P \ge 2$  mm, etc. 217

Changes in the annual number of daily precipitation events between the two 30-year periods 218 219 (Fig. 5) are examined by first defining light (1 mm  $\le$  P < 10 mm), moderate (10 mm  $\le$  P < 25 mm) and heavy ( $P \ge 25$  mm) precipitation bands. It is important to note that defining these 220 bands is somewhat qualitative and depends on the frequency of daily precipitation which is 221 region specific. Stratification by ENSO phase is based on the ONI (section 2.2). In order to 222 account for seasonality, yet minimize the number of multi-panel plots in the manuscript, spatial 223 maps are shown for four non-overlapping seasons (JFM, AMJ, JAS, OND), referred to as winter, 224 spring, summer and autumn respectively. 225

For the results in section 3 (Figs. 2-5, and 7-10), locations where daily precipitation is less
than 0.5 mm day<sup>-1</sup> (based on a climatology for 1950-1979) are masked to avoid large differences

over areas (such as portions of the West during winter) where the spatial variability is large and average daily precipitation is small. In Fig. 5 we introduce two additional thresholds (1.0 mm  $day^{-1}$  and 1.5 mm  $day^{-1}$ ) for moderate and heavy precipitation bands.

Statistical significance is assessed at the 90% level for changes in average precipitation, 231 changes in the number of daily precipitation events, and changes in the number of multi-day 232 precipitation events (Fig. 2-5 and 7) using the Monte Carlo technique. Differences were 233 computed for 1000 random sample 30-yr periods. Statistical significance for the ENSO results 234 (Figs. 9-10) was not assessed due to the different number of events in the two periods. However, 235 the patterns in the difference maps by ENSO phase have many of the characteristics of those for 236 the straight differences (Figs. 2 and 3) which were subjected to a significance test. All spatial 237 plots in section 3 have been lightly smoothed using a 9-point smoother (GrADS smth9 function). 238

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# 240 **3.0 Results**

# 241 **3.1 Changes in Daily Precipitation Events**

The percent change in average daily precipitation between the two 30-year periods (1980-242 2009 minus 1950-1979) by season is shown in Fig. 2. Significant increases are evident in many 243 areas of the country, with some of the largest and most spatially coherent increases over the 244 Great Plains and lower Mississippi Valley during JFM and OND. Significant decreases are also 245 evident, particularly over portions of the Southeast and along the Pacific Northwest Coast during 246 247 JFM. As we will show later, the patterns in Fig. 2 are consistent with changes in the average intensity of ENSO between the two 30-year periods. That is, there have been stronger El Nino's 248 and weaker La Nina's, on average, during the more recent 30-year period, especially during the 249 250 fall and winter seasons.

The percent change in annual precipitation (Fig. 4, top) captures the coherent areas of increase in the central United States and decrease in the Tennessee Valley and along the Pacific Northwest Coast that are evident in the seasonal results (Fig. 2). The results also reflect the fact that in some areas the changes are opposite for different seasons and that the annual values are not just a simple addition of the four panels in Fig. 2.

The percent change in the number of daily precipitation events ( $P \ge 1$ mm) between the two 30-256 year periods (Fig. 3) also shows that there have been significant increases in daily precipitation 257 frequency at many locations in the United States throughout the annual cycle, with some notable 258 259 exceptions again centered on the Tennessee Valley in JFM and in the lower Mississippi Valley in JAS. The changes in the number of daily precipitation events for other selected thresholds (e.g. 5 260 mm, 10 mm, 15 mm, 20 mm, and 25 mm) were also examined (not shown). Overall, the spatial 261 262 patterns were quite similar to those shown in Fig. 2, except in areas of the country where the counts for the heavier precipitation thresholds are small or zero (e.g. the Desert Southwest and 263 portions of the Intermountain West). The percent change in the annual number of daily 264 precipitation events (Fig. 4, bottom) reveals a pattern similar to that for the percent change in the 265 annual average daily precipitation (Fig. 4, top), except that the areas experiencing decreases are 266 267 less evident, especially along the Pacific Northwest Coast.

The percent change in the annual number of daily precipitation events between the two 30year periods for light (1 mm  $\le$  P < 10 mm), moderate (10 mm  $\le$  P < 25 mm) and heavy (P  $\ge$  25 mm) precipitation bands is shown in Fig. 5. Locations where the climatology is less than 0.5 mm day<sup>-1</sup>, 1.0 mm day<sup>-1</sup> and 1.5 mm day<sup>-1</sup> (based on a climatology for the period 1950-1979) are masked for the light, moderate and heavy precipitation bands, respectively. These thresholds are 273 used to avoid large differences over areas (such as portions of the interior West during the 274 winter) where the spatial variability is large and the average daily precipitation is small. In general, the number of daily precipitation events has increased in all 3 bands, except in 275 portions of the Southeast and in scattered areas of the West for the moderate band (Fig. 5, 276 middle) and in portions of the Southeast and along the Pacific Northwest Coast for the heaviest 277 278 band (Fig. 5, bottom). Changes in the seasonal number of daily precipitation events for the 279 same bands (not shown) reveal that the decreases in the Southeast for moderate and heavy events are largest during JFM and smallest during OND, while the decreases in areas of the western 280 281 United States have been observed fairly consistently throughout the annual cycle. Some of the increases in the lightest band in the vicinity of Wyoming may be due to inhomogeneities in the 282 station distribution between the two 30-year periods (e.g. Higgins et al 2008) though this is not 283 284 explicitly investigated here.

Groisman et al (2012) defined and compared moderate precipitation events (12.7 mm  $\leq P \leq$ 285 25.4 mm) to heavy precipitation events (P > 25.4 mm or 1 in), very heavy precipitation events 286 (P>76.2 mm or 3 in) and extreme precipitation events (P>154.9 mm or 6 in) over the central 287 United States between two 31-year periods (1948-1978 and 1979-2009). They found a 288 statistically significant redistribution in the spectra of daily precipitation frequency in which the 289 moderate precipitation events became less frequent compared to the heavy, very heavy and 290 extreme precipitation events. In the present study we find increases in daily precipitation 291 292 frequency for light, moderate and heavy precipitation events in this region (Fig. 5), with the caveat that our definitions are somewhat different from those in Groisman et al. (2012). It is 293 important to note that these differences may also be due to differences in methodology. For 294 295 example, the results in Groisman et al (2012) are based on station data that have been corrected

to account for changes in measurement techniques whereas the results here are based on a
gridded analysis with quality control (section 2.1). A more thorough examination of changes in
the spectra of daily precipitation frequency by season follows.

Distributions of the percent change in the number of daily precipitation events versus daily 299 precipitation amount for the conterminous United States and for the eastern United States were 300 301 examined. Results were obtained by first determining the number of daily precipitation events for successive 1 mm precipitation intervals at each grid point as described in section 2.3. The 302 distributions shown in Fig. 6 were obtained by taking differences in the counts (1980-2009 303 304 minus 1950-1979) at each grid point and then by computing area averages for the conterminous United States ( $130^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) and the eastern United States ( $100^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ; 305  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ). 306

In general there have been increases in the number of daily precipitation events in the more 307 recent period throughout the annual cycle over the conterminous United States (Fig. 6, left 308 column), except for moderate rain events during JFM. Increases in the number of events are 309 310 relatively large for the lightest rain events throughout the annual cycle and for events of all precipitation intensities during OND. Changes were also examined for the eastern United States 311 312 (Fig. 6, right column) to separate out the possible influences of the introduction of the HADS and SNOTEL data in the western United States during the more recent period. Interestingly, both 313 sets of figures are quite similar, except during JFM when small decreases in the daily 314 315 precipitation counts for the eastern United States are shifted towards lighter rain events relative to the conterminous United States. This comparison suggests that the HADS and SNOTEL data 316 are not having a significant influence on the qualitative nature of the results. 317

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# 319 **3.2 Changes in Multi-day Precipitation Events**

320 An examination of the percent change (1980-2009 minus 1950-1979) of the annual number of multi-day events (constructed from daily precipitation events that are two or more consecutive 321 322 days in duration) for various precipitation thresholds shows that there have been increases in the number of multi-day events at many locations at all precipitation thresholds except over 323 significant portions of the Tennessee Valley and mid-Atlantic where there are decreases at all 324 thresholds (Fig. 7). For clarity, we note that all multi-day events that satisfy the threshold 325 indicated are included in the results. The spatial extent of areas with percent changes significant 326 327 at the 90% level is greatest for the lighter amounts and less for the heavier amounts. Areas shaded in white (particularly apparent at higher precipitation thresholds in the intermountain 328 west) indicate locations where no multi-day events occurred at the threshold indicated. 329 330 Substantial increases (exceeding 75% or more) in multi-day heavy precipitation events ( $P \ge 25$ mm) have been observed in the more recent period, especially over portions of the Great Plains 331 and Great Lakes regions. An examination of the percent change of the number of 2, 3, 4 and 5 332 333 day events (plotted separately and without double counting; not shown) reveal that the patterns, especially at the higher thresholds on Fig. 7, are dominated by changes in the number of 2 day 334 precipitation events. 335

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#### 337 **3.3 Return Periods**

Return periods are used to examine how the frequency of rare events may have changed between the two 30-year periods (i.e. 1950-1979 and 1980-2009). The specific issue under consideration is whether rare events, such as daily precipitation events that occurred once every 10 years during 1950-1979, occurred more or less frequently during the 1980-2009 period. 342 Daily precipitation values (mm) are ranked at each grid point for each month for the two 30year periods. The method used to calculate return periods is straight forward and easily applied 343 to the ranked daily precipitation data. In particular, 1950-1979 is used as the reference period. 344 The analysis is restricted to return periods that are no longer than one-third the length of a sub-345 period, and results for 10-yr, 5-yr and 3-yr return periods are explicitly shown. Return periods of 346 10-, 5-, and 3-years correspond to ranks 3, 6, and 10 in the daily precipitation distribution. For a 347 return period of interest during 1950-1979 (e.g. 10 years), the corresponding ranked daily 348 precipitation amounts at each grid point are used to determine the return periods (RP) during 349 350 1980-2009 as follows:

351 RP = (n+1)/m

where n is the sample length in years and m is the ranking of the precipitation amount during the 352 353 1980-2009 period. The final results below have been smoothed slightly using a 9 point smoother (GrADS smth9 function) without any change in the interpretation of the results. 354 Maps of the return periods (years) during 1980-2009 for 10- year, 5-year and 3-year daily 355 356 precipitation events during 1950-1979 are shown in Fig. 8. Results are shown by season after combining the monthly results. Shorter return periods are evident at many locations (e.g. in the 357 central and southern Plains during JFM, but there are nearby regions where the return periods are 358 longer during 1980-2009. In general the patterns are similar for 10-year, 5-year and 3-year 359 return periods. 360

A simple illustration clarifies why the patterns are similar for different return periods Suppose there are two identical distributions of daily precipitation, hereafter D1 and D2, with all ranked values the same *except* that D2 features one additional event that becomes the new top value. Consequently, the D1 rank-1 value becomes the D2 rank-2 value, the D1 rank-2 value becomes the D2 rank-3 value, etc. That is, all values in D2 are shifted by one position in the
ranking when compared to D1. The return periods for similar magnitude events are shorter in
D2 than they are in D1.

Returning to the results in Fig. 8, for certain regions and at certain times of the year (e.g. the southern Great Plains during JFM) there are more heavy precipitation events during 1980-2009 than during 1950-1979, and consequently the return periods are shorter during 1980-2009. And, for certain regions and at certain times of the year (e.g. the Pacific Northwest during OND, JFM and AMJ) the opposite is true. These distinct spatial variations in the patterns deserve further investigation. For example, are there changes in wind and circulation features between the two periods that can explain these changes?

A decrease in the return period of a 10-year event (i.e. from 10 years in 1950-1979 to 5 years 375 376 in 1980-2009), represents a change in ranking from rank 3 in 1950-1979 to rank 6 in 1980-2009. That is, only 3 additional events occurred during the 1980-2009 period to achieve the decrease in 377 return period from 10 years to 5 years. In contrast, a decrease in the return period of a 3-year 378 379 event in 1950-1979 to a 1-year event in 1980-2009 represents a more substantial change in the ranking from rank 10 in 1950-1979 to rank 30 in 1980-2009. That is, 20 additional events 380 381 occurred during the 1980-2009 period to achieve the decrease in return period from 3 years to 1 year. Consequently, the results are more robust for return period changes that are deeper in the 382 distribution (i.e. away from the most extreme events where a single event can have a substantial 383 384 impact on the return periods).

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### **388 3.4 Role of ENSO**

389 The possible role of changes in the El Niño Southern Oscillation (ENSO) cycle as an

390 explanation for changes in daily precipitation between the two 30-year periods is examined next.

391 The ONI (section 2.2) is used as the basis for determining the number of El Niño, La Niña and

neutral events and their average intensity during the two 30-year periods (see Tables 1 and 2).

As in section 3.1, the analysis is restricted to non-overlapping seasons (JFM, AMJ, JAS, OND)

so that the sample size of daily precipitation events is sufficiently large.

The percent change in the average daily precipitation (1980-2009 minus 1950-1979) was 395 396 computed for El Niño, La Niña and ENSO-neutral periods (Fig. 9) using the classification given in section 2.2. Consistent with the results in Fig. 2, some of the largest increases in average daily 397 precipitation during El Niño and La Niña were over the Great Plains and lower Mississippi 398 399 Valley during OND and over the Southwest during JFM. Decreases for both El Niño and La Niña were observed over the Tennessee Valley during JFM. Comparisons of the results for El 400 Niño (Fig. 9a), La Niña (Fig. 9b) and the straight difference (Fig. 2) reveal many areas of the 401 402 country where the changes are in the same sense. For example, the spatial patterns during OND and JFM are generally in the same sense as the anomaly patterns typically associated with El 403 404 Niño (i.e. wetter-than-normal along the southern tier-of-states and drier-than-normal in the Ohio and Tennessee Valleys), so it is reasonable to conclude that the net changes between the two 30-405 year periods are largely explained by the increase (decrease) in average intensity of El Niño (La 406 Niña) between the periods. The percent change in the number of daily precipitation events (P  $\geq$ 407 1mm) between the two 30-year periods by ENSO phase (Fig. 10) also yields similar patterns to 408 those shown in Fig. 9. Overall, both changes in daily precipitation frequency and intensity are 409

410 consistent with the increase (decrease) in average intensity of El Niño (La Niña) during the more
411 recent 30-year period (i.e. 1980-2009).

The distribution of changes in the number of daily precipitation events versus intensity by 412 ENSO phase for the conterminous United States ( $130^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) and eastern 413 United States ( $100^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) are examined in Figs. 11-13. Increases in the 414 number of light daily precipitation events (1 mm  $\leq$  P  $\leq$  10 mm) over the conterminous United 415 States are similar throughout the annual cycle for El Niño (Fig. 11), ENSO- neutral (Figs. 13) 416 and for the more recent 30-year period (Fig. 6). Increases in the number of light events over the 417 418 conterminous United States are similar for La Niña (Fig. 12) during AMJ, JAS and OND, but are 419 smaller with some areas actually showing decreases during JFM. In the fall (OND) there was a roughly 10% increase in the number of moderate (10 mm  $\leq$  P  $\leq$ 420 421 25 mm) and heavy (P  $\ge$  25 mm) daily precipitation events over the conterminous United States during the most recent 30-year period (Fig. 6). Similar increases have been observed during El 422 Niño (Fig. 11), La Niña (Fig. 12), and ENSO-neutral (Fig. 13) events during the fall. In contrast, 423 424 during the winter, spring and summer the changes have been much smaller during the most recent 30-year period (Fig. 6). Some of the changes were much larger during El Niño, La Niña 425 and ENSO neutral periods (depending on the season and the intensity of the events), but these 426 large changes were often in the opposite sense to account for the small net changes. 427

428

### 429 **4.0 Summary**

430 There have been more light (1 mm  $\le$  P < 10 mm), moderate (10 mm  $\le$  P < 25 mm) and heavy

431 (P  $\ge$  25 mm) daily precipitation events in many regions of the country during the period 1980-

432 2009 than during the period 1950-1979, although there are notable regional exceptions (e.g. over

433 the Tennessee Valley and along the Pacific Northwest Coast during JFM). The increases in daily (and multi-day) heavy precipitation events are associated with changes in the mean and 434 frequency of occurrence of daily precipitation events during the more recent 30-year period. The 435 difference patterns are strongly related to the ENSO cycle, and are consistent with the stronger El 436 Niño events and weaker La Niña events during the more recent 30-year period. Return periods 437 for both heavy and light daily precipitation events during 1950-1979 are shorter during 1980-438 2009 at many locations, but again there are notable regional exceptions, especially in the 439 Southeast and over the western United States. 440

441 Our confidence in the observed changes in extremes depends on the quality and quantity of data, which is relatively good over the United States, especially the eastern  $2/3^{rd}$  of the country. 442 Extreme events are rare which means there are relatively few data available to make assessments 443 regarding changes in their frequency or intensity. The rarer the event the more difficult it is to 444 identify long-term changes. This is consistent with the results presented here on return periods. 445 In follow on studies we plan to investigate the ability of the Climate Forecast System (CFS) 446 version 2 reanalysis (which is currently being extended back to 1948) to reproduce the changes 447 in daily precipitation reported in this study. Observed precipitation is not directly assimilated 448 into the CFS version 2 reanalysis, so this will be a good test of the fidelity of the analyzed daily 449 precipitation. We will also build on this work to investigate the ability of the CFS reforecasts to 450 capture the spatial and temporal variability of daily precipitation over the conterminous United 451 452 States. Comparisons between observations and the reforecasts will reveal the spatial and temporal variability of the bias in daily precipitation as a function of lead and season. Bias 453 correction techniques (e.g. based on the probability distribution function matching) will be 454 455 employed to correct the bias of the CFS daily precipitation forecasts using the CPC Unified daily

456	gauge analyses. Since the CPC daily precipitation analysis is global, we also intend to look at
457	daily precipitation statistics at other locations outside the conterminous United States where the
458	input data is sufficiently dense. This will include comparisons to the CFS reforecasts and
459	forecasts in these regions.
460	
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462	The authors gratefully acknowledge the assistance of the CPC personnel (Dr. Pingping Xie and
463	Dr. Wei Shi) who provided considerable assistance with the data sets and analysis procedures
464	used in this study. The authors also thank the reviewers for their constructive comments and
465	suggestions.
466	
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545 <b>7.0</b>	Table	Captions
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546 **Table 1**. The number of El Niño, La Niña and ENSO-neutral events based on the Oceanic Niño

547 Index (ONI) during 1950-1979 (left) and 1980-2009 (right). Results are shown for non-

548 overlapping 3-month seasons.

Table 2. The average value of the Oceanic Niño Index (ONI) for each phase of the ENSO cycle
during 1950-1979 (left) and 1980-2009 (right). Results are shown for non-overlapping 3-month
seasons.

552

# 553 8.0 Figure Captions

**Figure 1**. Average number of stations per grid box for the periods 1950-1979 and 1980-2006

(the archive of historical analyses only goes to 2006) (top panels) and for the difference (1980-

556 2006 minus 1950-1979).

**Figure 2**. Percent change in average daily precipitation (1980-2009 minus 1950-1979).

558 Differences are computed at each grid point and results are shown by season. A nine-point

smoother was applied to the data. Locations where the average daily precipitation is less than

560  $0.5 \text{ mm day}^{-1}$  (based on climatology for 1950-1979) are masked. Areas enclosed by contours are

significant at the 90% confidence level.

562 Figure 3. Percent change in the number of daily precipitation events (1980-2009 minus 1950-

563 1979) for precipitation greater than or equal to 1 mm. Differences are computed at each grid

point and shown by season. A nine-point smoother was applied to the data. Locations where the

average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979) are

566 masked. Areas enclosed by contours are significant at the 90% confidence level.

568 Figure 4. Top: Percent change in annual average daily precipitation (1980-2009 minus 1950-569 1979). Bottom: Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) for precipitation greater than or equal to 1 mm. In each case, differences are 570 computed at each grid point. A nine-point smoother was applied to the data. Locations where 571 the average daily precipitation is less than  $0.5 \text{ mm day}^{-1}$  (based on climatology for 1950-1979) 572 are masked. Areas enclosed by contours are significant at the 90% confidence level. 573 Figure 5. Percent change in the annual number of daily precipitation events (1980-2009 minus 574 1950-1979) for light (1 mm  $\leq$  P  $\leq$  10 mm), moderate (10 mm  $\leq$  P  $\leq$  25 mm) and heavy (P  $\geq$  25 575 mm) daily precipitation bands. Differences are computed at each grid point. A nine-point 576 smoother was applied to the data. Locations where the local climatology is less than 0.5 mm 577 day<sup>-1</sup>, 1.0 mm day<sup>-1</sup>, and 1.5 mm day<sup>-1</sup> (based on climatology for 1950-1979) are masked for the 578 579 light, moderate, and heavy daily precipitation bands, respectively. Areas enclosed by contours are significant at the 90% confidence level. 580 Figure 6. Percent change in the number of daily precipitation events (1980-2009 minus 1950-581 1979) for the conterminous United States ( $130^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) (left) and for the 582 eastern United States ( $100^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) (right). Results are shown by season for 583 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals. The convention for the 584 x-axis labels is as follows: 1, 2, ... refer to the intervals 1-2 mm, 2-3 mm, ..., etc. 585 Figure 7. Percent change in the annual number of multi-day (2 days or greater) daily 586 precipitation events (1980-2009 minus 1950-1979) for daily precipitation amounts at or above 587 various thresholds as indicated. Differences are computed at each grid point and are annual (i.e. 588 based on all seasons). All multi-day events that satisfy the threshold on consecutive days are 589

590 included. A nine-point smoother was applied to the data. Areas shaded in white (particularly

apparent at higher precipitation thresholds in the West) indicate locations where no multi-day
events occurred at the threshold indicated. Areas enclosed by contours are significant at the 90%
confidence level.

Figure 8. Spatial Maps of return periods (years) during 1980-2009 for 10-year, 5-year and 3year events during 1950-1979. Results are shown by season. A nine-point smoother was applied
to the data. Locations where the average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on
climatology for 1950-1979) are masked.

Figure 9. Percent change in average daily precipitation (1980-2009 minus 1950-1979) for El
Niño, La Niña and ENSO neutral periods. Differences are computed at each grid point and
results are shown by season. A nine-point smoother was applied to the data. Locations where
the average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979)
are masked.

Figure 10. Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) for precipitation greater than or equal to 1 mm for El Niño, La Niña and ENSO neutral periods. Differences are computed at each grid point and shown by season. A nine-point smoother was applied to the data. Locations where the average daily precipitation is less than
0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979) are masked.

**Figure 11**. Percent change in the number of daily precipitation events (1980-2009 minus 1950-

609 1979) during El Niño for the conterminous United States ( $130^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) (left)

and for the eastern United States  $(100^{\circ} \text{ W} - 65^{\circ} \text{ W}; 25^{\circ} \text{ N} - 50^{\circ} \text{ N})$  (right). Results are shown by

- season for 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals. The
- 612 convention for x-axis labels is as follows: 1, 2,... refer to intervals 1-2 mm, 2-3 mm,..., etc.

613	Figure 12. Percent change in the number of daily precipitation events (1980-2009 minus 1950-
614	1979) during La Niña for the conterminous United States ( $130^{\circ}$ W – $65^{\circ}$ W; $25^{\circ}$ N – $50^{\circ}$ N)
615	(left) and for the eastern United States ( $100^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ; $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) (right). Results are
616	shown by season for 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals.
617	The convention for x-axis labels is as follows: 1, 2, refer to intervals 1-2 mm, 2-3 mm,, etc.
618	Figure 13. Percent change in the number of daily precipitation events (1980-2009 minus 1950-
619	1979) during ENSO-neutral for the conterminous United States ( $130^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ; $25^{\circ} \text{ N} - 50^{\circ}$
620	N) (left) and for the eastern United States ( $100^{\circ}$ W - $65^{\circ}$ W; $25^{\circ}$ N - $50^{\circ}$ N) (right). Results are
621	shown by season for 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals.
622	The convention for x-axis labels is as follows: 1, 2, refer to intervals 1-2 mm, 2-3 mm,, etc.
623	
624	

	1950-1979			1980-2009			
		El Niño	La Niña	Neutral	El Niño	La Niña	Neutral
	JFM	6	9	15	8	10	12
	AMJ	5	10	15	10	7	13
	JAS	5	11	14	11	4	15
(	OND	10	12	8	11	8	11

629 Table 1. The number of El Niño, La Niña and ENSO-neutral events based on the Oceanic Niño

630 Index (ONI) during 1950-1979 (left) and 1980-2009 (right). Results are shown for non-

631 overlapping 3-month seasons.

	1950-1979			1980-2009		
	El Niño	La Niña	Neutral	El Niño	La Niña	Neutral
JFM	0.95	-1.10	0.01	1.20	-0.93	0.12
AMJ	0.58	-0.81	-0.05	0.77	-0.64	0.08
JAS	0.98	-0.89	0.04	0.93	-0.85	-0.05
OND	1.00	-1.15	-0.10	1.38	-1.10	-0.10

**Table 2**. The average value of the Oceanic Niño Index (ONI) for each phase of the ENSO cycle
during 1950-1979 (left) and 1980-2009 (right). Results are shown for non-overlapping 3-month
seasons.





(the archive of historical analyses only goes to 2006) (top panels) and for the difference (1980-

645 2006 minus 1950-1979).



Figure 2. Percent change in average daily precipitation (1980-2009 minus 1950-1979).
Differences are computed at each grid point and results are shown by season. A nine-point
smoother was applied to the data. Locations where the average daily precipitation is less than
0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979) are masked. Areas enclosed by contours are
significant at the 90% confidence level.



Figure 3. Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) for precipitation greater than or equal to 1 mm. Differences are computed at each grid point and shown by season. A nine-point smoother was applied to the data. Locations where the average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979) are masked. Areas enclosed by contours are significant at the 90% confidence level.



Figure 4. Top: Percent change in annual average daily precipitation (1980-2009 minus 1950-1979). Bottom: Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) for precipitation greater than or equal to 1 mm. In each case, differences are
computed at each grid point. A nine-point smoother was applied to the data. Locations where
the average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979)
are masked. Areas enclosed by contours are significant at the 90% confidence level.





**Figure 5**. Percent change in the annual number of daily precipitation events (1980-2009 minus 1950-1979) for light (1 mm  $\leq$  P < 10 mm), moderate (10 mm  $\leq$  P < 25 mm) and heavy (P  $\geq$  25 mm) daily precipitation bands. Differences are computed at each grid point. A nine-point smoother was applied to the data. Locations where the local climatology is less than 0.5 mm day<sup>-1</sup>, 1.0 mm day<sup>-1</sup>, and 1.5 mm day<sup>-1</sup> (based on climatology for 1950-1979) are masked for the light, moderate, and heavy daily precipitation bands, respectively. Areas enclosed by contours are significant at the 90% confidence level.



% Change # Events (1980-2009 minus 1950-1979)

(a)

**Figure 6**. Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) for the conterminous United States ( $130^{\circ}$  W –  $65^{\circ}$  W;  $25^{\circ}$  N –  $50^{\circ}$  N) (left) and for the eastern United States ( $100^{\circ}$  W –  $65^{\circ}$  W;  $25^{\circ}$  N –  $50^{\circ}$  N) (right). Results are shown by season for 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals. The convention for the x-axis labels is as follows: 1, 2, ... refer to the intervals 1-2 mm, 2-3 mm, ..., etc.

688



#### % Change Number Multi-day Events

690 Figure 7. Percent change in the annual number of multi-day (2 days or greater) daily 691 precipitation events (1980-2009 minus 1950-1979) for daily precipitation amounts at or above 692 various thresholds as indicated. Differences are computed at each grid point and are annual (i.e. based on all seasons). All multi-day events that satisfy the threshold on consecutive days are 693 included. A nine-point smoother was applied to the data. Areas shaded in white (particularly 694 apparent at higher precipitation thresholds in the West) indicate locations where no multi-day 695 696 events occurred at the threshold indicated. Areas enclosed by contours are significant at the 90% confidence level. 697



Figure 8. Spatial Maps of return periods (years) during 1980-2009 for 10-year, 5-year and 3year events during 1950-1979. Results are shown by season. A nine-point smoother was applied
to the data. Locations where the average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on
climatology for 1950-1979) are masked.



Figure 9. Percent change in average daily precipitation (1980-2009 minus 1950-1979) for El
Niño, La Niña and ENSO neutral periods. Differences are computed at each grid point and
results are shown by season. A nine-point smoother was applied to the data. Locations where
the average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979)
are masked.



Figure 10. Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) for precipitation greater than or equal to 1 mm for El Niño, La Niña and ENSO neutral periods. Differences are computed at each grid point and shown by season. A nine-point smoother was applied to the data. Locations where the average daily precipitation is less than 0.5 mm day<sup>-1</sup> (based on climatology for 1950-1979) are masked.



**Figure 11**. Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) during El Niño for the conterminous United States ( $130^{\circ}$  W –  $65^{\circ}$  W;  $25^{\circ}$  N –  $50^{\circ}$  N) (left) and for the eastern United States ( $100^{\circ}$  W –  $65^{\circ}$  W;  $25^{\circ}$  N –  $50^{\circ}$  N) (right). Results are shown by season for 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals. The convention for x-axis labels is as follows: 1, 2, ... refer to the intervals 1-2 mm, 2-3 mm, ..., etc.



% Change # Events (1980-2009 minus 1950-1979)-La Nina

Figure 12. Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) during La Niña for the conterminous United States (130° W – 65° W; 25° N – 50° N) (left)
and for the eastern United States (100° W – 65° W; 25° N – 50° N) (right). Results are shown by
season for 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals. The
convention for x-axis labels is as follows: 1, 2,... refer to the intervals 1-2 mm, 2-3 mm,..., etc.



744

**Figure 13**. Percent change in the number of daily precipitation events (1980-2009 minus 1950-1979) during ENSO-neutral for the conterminous United States ( $130^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) (left) and for the eastern United States ( $100^{\circ} \text{ W} - 65^{\circ} \text{ W}$ ;  $25^{\circ} \text{ N} - 50^{\circ} \text{ N}$ ) (right). Results are shown by season for 1-10 mm and 10-50 mm bands based on computations at 1 mm intervals. The convention for x-axis labels is as follows: 1, 2,... refer to the intervals 1-2 mm, 2-3 mm,..., etc.