

The New 4D-En-Var Regional Deterministic Prediction System at the Canadian Meteorological Center

1. Introduction

The 4D-Var regional deterministic prediction system (RDPS) at the Canadian Meteorological Center (CMC) will soon be replaced by the 4D-En-Var scheme, in which the background error covariances are composed of climatological covariances and flow-dependent covariances derived from an Ensemble-Kalman-Filter (EnKF) global prediction system. Given that the ensemble forecasts will be produced operationally, the new En-Var approach is computationally less expensive than 4D-Var and it eliminates the need to maintain the tangent-linear/adjoint codes associated with the forecast model (GEM). The quality of analyses and forecasts is generally expected to be as good or better than those provided by the currently operational system. Here we present a comparison in forecast skill between 4D-Var and En-Var based on a recent series of tests for the periods (winter) Feb-Mar 2011 and (summer) Jul-Aug 2011. Besides the new assimilation algorithm, several other enhancements are being introduced into the RDPS. As an example, here we show the impact of including ground-based GPS observations.

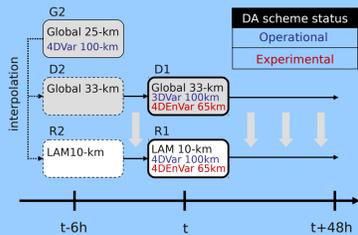


Figure 1. RDPS data assimilation scheme.

Description of the En-Var RDPS

- The RDPS includes a global driver and a limited area model (LAM)
- Both the driver and LAM include a background check, assimilation step and forecast step, with the driver providing the boundary conditions to the LAM
- Initial conditions are provided by the CMC operational global analysis system
- 4D dynamic background-error covariances are obtained from the CMC operational global EnKF assimilation/forecast system (192 members at 65 km resolution)
- Covariances used by the RDPS (driver and LAM) are a level-dependent weighted average of dynamic and static (lagged-forecast) covariances
 - Weighting is equal (0.5) below 40 hPa, the dynamic covariance weighting is zero above 10 hPa (scheme reduces to 3D-Var) with a linear transition in-between
- Driver and LAM domains extend up to 0.1 hPa (80 levels, non-uniform distribution)
- Regional En-Var analysis requires 10 min using 320 CPUs (compared to 20 minutes using 2048 CPUs for the currently operational 4D-Var scheme)
- En-Var increment resolution is 600x300 (compared to 400x200 for 4D-Var)

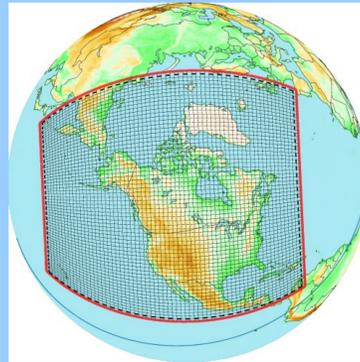
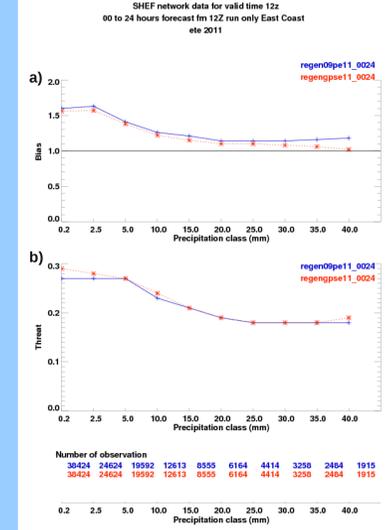


Figure 2. RDPS limited area domain (grid spacing is 10 km in both 4D-Var and En-Var experiments).

3. Impact of ground-based GPS data

24 hours precipitation forecast verification against observation



- Before ground-based GPS observations were included, En-Var based forecasts exhibited anomalously moist conditions (and a corresponding deterioration in precipitation scores) during summer over the south-east United States (not shown)
- Deterioration was particularly apparent for analyses valid at 12Z
- Addition of ground-based GPS data lead to a reduction in moisture in this region and improved the scores** (Figures 5 and 6) for lead times 0-24 h
- Improvement is seen in analyses valid both at 00Z and 12Z, but particularly at 12Z
- Impact disappears at lead times 24-48 h but is clearly beneficial at earlier times
- See Poster 777: Assimilation experiments with ground-based GPS observations in the Environment Canada Global and Regional Deterministic Prediction Systems by S. Macpherson *et al.* (JCSA Poster Session, Wed., 2:30-4:00 PM)

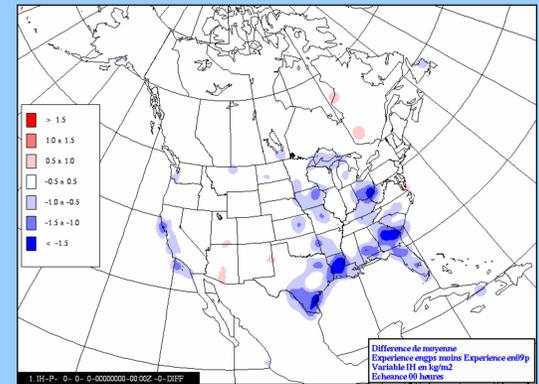


Figure 6. Difference in time-mean increments (En-Var minus 4D-Var) valid at 12Z of the vertically-integrated water vapor [kg/m²] during the summer period. Blue (red) shading corresponds to positive (negative) values, i.e. blue shading indicates dryer conditions in the En-Var increments).

Figure 5. Mean forecast precipitation scores over North America against the SHEF network during summer period for lead times 0-24 h corresponding to an En-Var experiment (blue) excluding and (red) including ground-based GPS observations: (a) bias and (b) threat score (higher threat score is better). The number of observations for each precipitation class is shown at the bottom of the figure.

2. Time-mean upper-air and precipitation scores

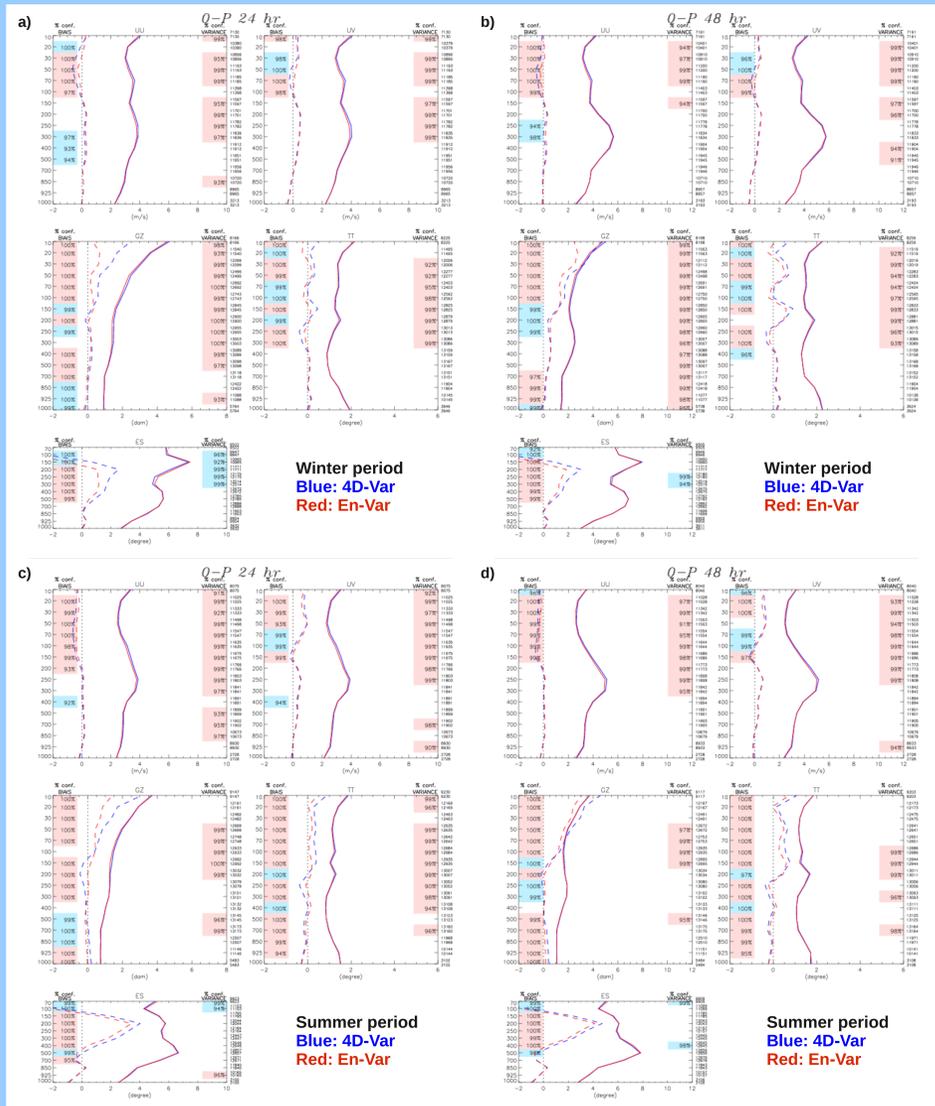


Figure 3. Mean forecast scores over North America against radiosonde data at lead times (a), (c) 24 h and (b), (d) 48 h averaged over ~118 cases (every 12 hours) for (a), (b) winter 2011 and (c), (d) summer 2011 based on the (blue) 4D-Var and (red) En-Var analysis system for (UU) zonal wind, (UV) wind modulus, (GZ) geopotential height, (TT) temperature and (ES) dew-point depression. Dashed (continuous) curves correspond to the mean (standard deviation). Colored boxes on the left (right) of each plot show the confidence interval, 90% and above, corresponding to the lower bias (standard deviation), i.e. **red boxes imply a significant improvement brought by En-Var**, compared to 4D-Var. The number of radiosonde observations is given on the right-hand side of each plot.

24 hours precipitation forecast verification against observation

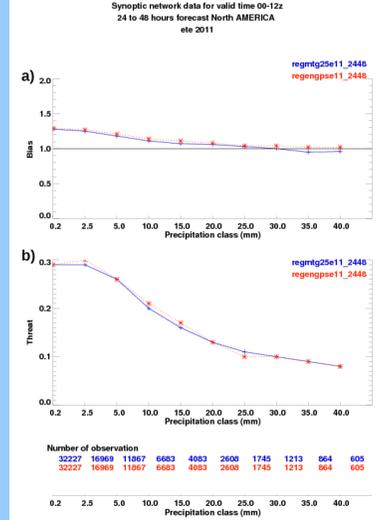


Figure 4. Mean forecast precipitation scores over North America against the SYNOP network during summer period for lead times 24-48 h corresponding to (blue) 4D-Var experiment and (red) En-Var experiment: (a) bias and (b) threat score (higher threat score is better). The number of observations for each precipitation class is shown at the bottom of the figure.

- Time-mean verification scores against radiosonde observations generally show improved forecast skill in the En-Var experiments compared to 4D-Var
- The En-Var analysis typically fits radiosonde data better than 4D-Var (not shown), mostly because the synoptic-hour En-Var analysis is a direct result of the minimization procedure, while in 4D-Var the same quantity is actually a 3-h nonlinear forecast based on the true analysis, valid 3 hours earlier
- Forecasts of the principal meteorological variables based on En-Var generally exhibit more skill at all lead times relevant for the RDPS, i.e. 12-48 hours
 - See predominance of red boxes over blue boxes in Figure 3, both in summer and winter
- En-Var precipitation forecast scores are as good as or better than with 4D-Var in most regions of North America (see Figure 4)
 - Initial tests with En-Var showed a deterioration in precipitation scores for some regions, however this has been largely corrected through the addition of another observation type which provides a further constraint at the surface (see Section 3)

4. Meteorological evaluation

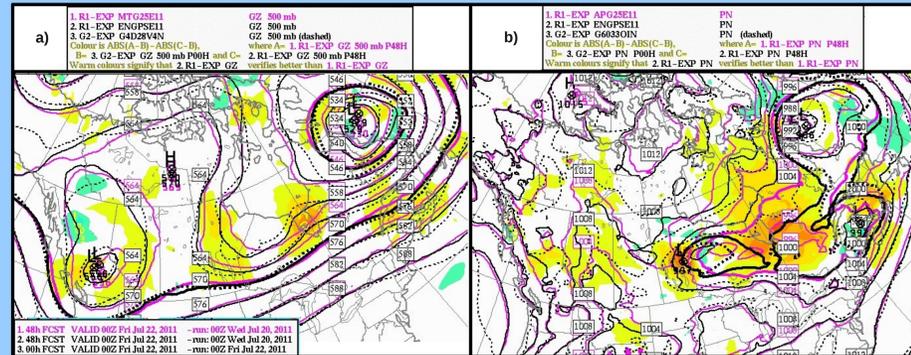


Figure 7. A summer case. Contours of (a) geopotential height GZ [dam] at 500 mb and (b) sea-level pressure PN [mb] valid at 00Z July 22, 2011 based on (magenta) 48-h forecast from 4D-Var experiment, (black continuous) 48-h forecast from En-Var experiment and (black dashed) verifying analysis. The verifying analysis comes from the operational global prediction system (4D-Var) which assimilates more observations than the regional system due to a longer cut-off time. **Regions where the En-Var based (4D-Var based) forecast is closer to the verifying analysis are shown in yellow through red (cyan through blue).**

- A meteorological evaluation was performed by CMC operations staff (Figures 7 and 8)
- 4D-Var and En-Var regional forecasts were compared with the operational global analysis valid at the same time (the global analysis has a longer cut-off time, i.e. more observations than the regional experiments)
- Comparing 4D-Var and En-Var to each other, the forecasts were markedly different in 20% of the winter cases and 16% of the summer cases.
 - Of these, there were 2-4 times as many cases where En-Var was better than 4D-Var
- Improvements were seen most frequently in the Atlantic region.
- 4D-Var and En-Var forecasts were fairly similar in the Pacific and Arctic regions in summer.
- Inverted troughs in the American mid-west were usually represented better by 4D-Var.
- Quantitative precipitation forecast scores are similar between 4D-Var and En-Var
- En-Var forecasts of the location and strength of Hurricane Irene were somewhat more accurate than 4D-Var forecasts (Figure 8)

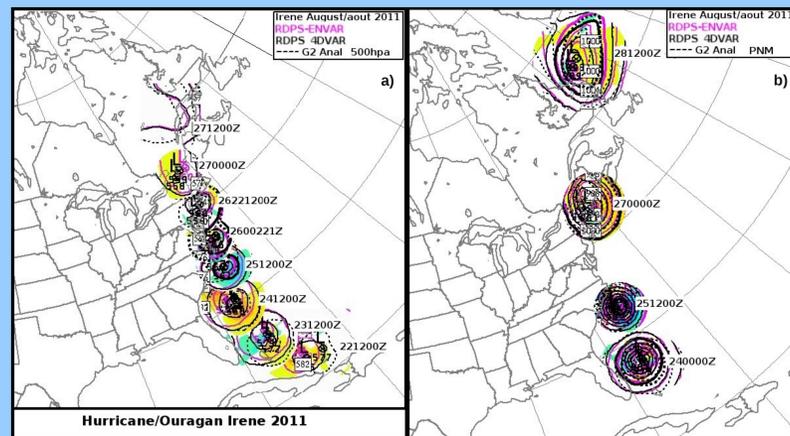


Figure 8. Development of Hurricane Irene, Aug 22-27, 2011. Contours of (a) geopotential height GZ [dam] at 500 mb and (b) sea-level pressure PN [mb] based on (magenta) 48-h forecasts from 4D-Var experiment, (black continuous) 48-h forecasts from En-Var experiment and (black dashed) verifying analyses. The verifying analyses come from the operational global prediction system (4D-Var) which assimilates more observations than the regional system due to a longer cut-off time. **Regions where the En-Var based (4D-Var based) forecasts are closer to the verifying analyses are shown in yellow through red (cyan through blue).**

5. Future outlook

- Operational implementation of the new RDPS En-Var system is expected for fall 2014
- The system implemented will have further enhancements, i.e.
 - Covariances will be provided by 256 ensemble members at 50 km resolution instead of 192 members at 66 km resolution
 - Initial analysis input at t-6 h will come from a new 15 km En-Var global assimilation system rather than the currently operational 25 km 4D-Var system
 - RDPS increment resolution will increase from 600x300 (shown here) to 800x400
- Furthermore, in the global system, the digital filter will be replaced by the incremental analysis update (IAU) approach
- Final tests are currently underway

6. References

- Buehner, M., J. Morneau and C. Charette, 2013: Four-dimensional ensemble-variational data assimilation for global deterministic weather prediction. *Nonlin. Processes Geophys.*, **20**, 669-682.
- Tanguay, M., L. Fillion and E. Lapalme, 2012: Four-Dimensional Variational Data Assimilation for the Canadian Regional Deterministic Prediction System. *Mon. Wea. Rev.*, **140**, 1517-1538.
- Houtekamer, P. L., H. L. Mitchell, G. Pellerin, M. Buehner, M. Charron, L. Spack and B. Hansen, 2005: Atmospheric data assimilation with an ensemble Kalman filter: Results with real observations. *Mon. Wea. Rev.*, **133**, 604-620.
- Caron, J.-F., M. Buehner, L. Fillion, T. Milewski and J. St-James: A Comparison Between 4D-Var and 4D-EnVar in the Canadian Regional Deterministic Prediction System. *WMO 6th Symposium on Data Assimilation*. University of Maryland, Oct. 7-8, 2013.