

1 Constraining radiative forcing of Asian carbonaceous aerosols with Observations and
2 CESM1/CAM5

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4 Abstract

5 Carbonaceous aerosols, including black carbon (BC) and organic carbon (OC), are
6 significant contributors to the anthropogenic climate change. BC is considered as the
7 second largest warming agent. However, the direct radiative forcing of carbonaceous
8 aerosols is still quite uncertain, in particular over Asia. To better constrain the present-
9 day Asian carbonaceous aerosol forcing, we utilize both a top-down approach that is
10 primarily based on ground-based and satellite observations over the first decade of 21st
11 century, as well as a bottom-up approach that is based on the latest global climate model
12 coupled with an interactive chemistry and aerosol module (CESM1/CAM5/MAM3). (1)
13 By making the comparisons of top-down observational estimates with bottom-up model
14 simulations, we show that the model considerably underestimates atmospheric heating of
15 BC. The major source of discrepancy between observations and models are speculated to
16 be emission inventory, which is developed from emission of BC due to limited economic
17 activity data reported by developing countries. A series of sensitivity tests of model
18 simulations, in which BC anthropogenic emission are increased by different factors are
19 conducted, and these tests suggested BC emission sources are underestimated by a factor
20 of three to five over Asia. (2) By applying a new partitioning scheme to the observed
21 aerosol optical properties, we show that OC can contribute up to 20% of atmospheric
22 heating, and thus the overall TOA cooling of OC is previously overestimated. The biases

23 of OC forcing simulated by the model can be attributed to the model assumptions of BC
24 and OC refractive indexes primarily developed from laboratory measurements, rather
25 than from ambient environment observations. In particular, the model currently cannot
26 sufficiently account for OC absorption, leading to a factor-of-two underestimation of its
27 atmospheric heating and consequently an overestimation of TOA cooling. The
28 adjustment of the OC refractive index to match the empirically derived single scattering
29 albedo improved the observation-model agreement.

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