Progress in Joint OSSEs

Three Joint OSSE nature runs and simulation of observation

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1. Background

Observing system impact assessments using atmospheric simulation experiments are conducted to provide an objective quantitative evaluation of future observing systems and instruments. Such simulation experiments using a proxy true atmosphere, or Nature Run (NR), are known as Observing System Simulation Experiments (OSSEs, Arnold and Day 1986, Lord et al. 1997, Masutani et al. 2006). An internationally collaborative effort called Joint OSSEs was formed over the last two years in order to perform "full OSSEs" (Masutani et al. 2007). Various types of simulation experiments have been performed, but through full OSSEs, where a NR is produced by a free forecast run using a model different from the forecast model used for the data assimilation system (DAS), and calibration between the real and simulated data impact is performed.

Corresponding author address:. Michiko Masutani, NOAA/NWS/NCEP/EMC, 5200 Auth Road Rm 207, Camp Springs, MD 20746 Michiko.masutani@noaa.gov OSSEs are a very labour intensive project. The NR has to be produced using the state of the art NWP models at the highest resolution. Simulating data from a NR requires large computing resources. Simulations and assimilations have to be repeated using numerous configurations in order to achieve a recommendation with confidence. OSSEs also require expert knowledge in many areas, and expert knowledge is required for each instrument. Efficient collaborations are essential for producing timely and reliable results.

Ideally, all new instruments should be tested by OSSEs before they are selected to be built. OSSEs will also be important in influencing the design of an instrument and the configuration of an observing system. While the instruments are being built, OSSEs will help in preparing the DAS for the new instruments. We have to realize that developing a DAS to assimilate a new type of data is a significant task. However, this effort has traditionally been done after the data become available. An OSSE effort demands that this same work be completed earlier, and that will speed up the actual use of the new data.

The Joint Center for Satellite Data Assimilation (JCSDA), a collaboration between NOAA and NASA, recognized that it is very important that future observing systems be tested by OSSEs. Now NCEP/EMC, NASA/GMAO, JCSDA, NESDIS/ORA, NASA/SIVO, NASA/ GLA, SWA, ECMWF, NOAA/ESRL (Boulder), KNMI, and GRI at the University of Mississippi are working together to further this goal. JMA, Meteo France, and the Met Office (UK) are also participating in this effort, which the contributors collectively call the "Joint OSSEs". (Masutani et al. 2007)

2. Joint OSSE Nature Runs

The important starting component of OSSE is the Nature Run, which serves as "truth" for the OSSEs. Through various previous OSSEs, it has been realized that the preparation of the Nature Run and simulation of observations consumes a significant amount of effort. It is important to have a reference Nature Run so that multiple groups doing OSSE's can compare results. Using the same Nature Run and extensive international collaboration within the meteorological community are essential for timely and reliable OSSEs that will positively impact the design of future observing systems. The design of the NR was based on discussions within the Joint OSSE. The details are described in Appendix A

Joint OSSE decided to use a free forecast run with daily SST and ice as a NR. The advantage of a long, free-running forecast is that the simulated atmospheric system evolves continuously in a dynamically consistent way. One can extract atmospheric states at any time. Because the real atmosphere is a chaotic system governed mainly by conditions at its lower boundary, it does not matter that the NR diverges from the real atmosphere a few weeks after the simulation begins *provided that* the climatological statistics of the simulation match those of the real atmosphere. A NR should be a separate universe, ultimately independent from but parallel to the real atmosphere.

The first 13 month-long NR has a horizontal resolution of T511 (40 km) spectral truncation with 91 vertical levels with the output saved every 3 hours. The length of the NR is 13 months long to cover an entire year and to allow a period for spin up from the analysis. The version of the model used was similar to the interim reanalysis at ECMWF (cy30r1). The initial condition is the operational analysis on 12Z May 1, 2005 and the NR ends at 00Z June 1, 2006. The model was forced by daily SST and ICE provided by NCEP (also used in their operational forecasts), which is used throughout the experiments.

The T511 NR was evaluated, and very realistic hurricanes and midlatitude cyclone statistics were reported (Masutani et al. 2007, Reale et al. 2007). The cloud distribution is much more realistic than in the previous NR (Masutani et al. 1999). Statistics of the

midlatitude jet were also studied and found to be realistic.

Two high resolution NRs at T799 horizontal resolution with 91 vertical levels have been generated to study data impact on forecasting hurricane and midlatitude storms. A hurricane period from September 27 to November 1 was selected. A period from April 10 to May 15 was selected to study midlatitude storms.

Grib1 was selected as the data format for the NR. It will have to be reformatted to grib2 before grib1 becomes obsolete. However, there are significant advantages in using grib1 at this stage, because most of software available is compatible with grib1. Model level data was provided on a reduced Gaussian grid instead of as spectral components. Conversion from spectral components to a grid requires significant computing resources and the software was not as general as expected.

It is very helpful that these NRs were accompanied by an additional data set of low resolution pressure and isentropic level data on a lat-lon grid, also provided by ECMWF, to speed up the diagnostic and evaluation processes. Selected surface variables from the T511NR and all surface variables from the T799NR are provided on a regular lat-lon grid. Furthermore, a time series of selected variables on a regular grid is also provided. These regular lat-lon gridded data are for verification purposes only and observations must be simulated from the full resolution model level data.

3. Data Distribution, Usage and Credit

The complete data for the T511NR and T799NR are saved at ECMWF, NCEP, NASA/GSFC, and ESRL. Verification data for the T511NR are saved at the NCAR/CISL Research Data Archive and JMA. NR data are available t from ECMWF to ECMWF member states, from NASA/GSFC in the US, and from the NCAR/CISL Research Data Archive. Access to the complete NRs is available from the NASA/GSFC portal system. The maintenance responsibility has been transferred from SIVO to GMAO. Currently the data are available from

http://sivo.gsfc.nasa.gov/OSSE/index.html. Access to the data from this site requires an account, which is available to the research community. The data at NCAR are part of the CISL Research Data Archive as data set ID ds621.0. Currently a NCAR account is required to access the data. The complete NRs will also be available from ECMWF.

This data must not be used for commercial purposes and re-distribution rights are not given. ECMWF and Joint OSSEs must be given credit in any publications in which this data is used. NCAR will track users and send the information to ECMWF and the Joint OSSE. If you are interested in using the data it is necessary to send an E-mail with the statement below, and your name and affiliation to Michiko Masutani (michiko.masutani@noaa.gov). Your name will be added to the user list, a notification sent to ECMWF and the necessary account will be arranged. User

agreement: "I agree not to copy the ECMWF data or software provided by NCAR for the use of other persons, and I agree not to use these data and/or software for commercial purposes. ECMWF will be given credit in any publications in which these data and/or software are used. I understand that if other persons in my organization wish to use these data and/or software, they must also sign a copy of this agreement."

4. Progress in Simulation of Observations and Precursor Assimilation

Conventional data have been simulated from the T511NR for an entire year at NOAA/NCEP. These data are available to Joint OSSE participants. The first set of observations is being produced without observational error. These data are expected to produce a very optimistic data impact. However, model errors are already included. This data set will also be useful in evaluating data distributions. Various errors will be gradually added to the observations and analyzed individually. An identical twin OSSE is also being considered to evaluate model error.

Since the drift of RAOBs is considered in the NCEP DAS, it has to be simulated as well. The drift was not significant for previous OSSEs with a low resolution NR, however, it becomes significant at the resolution scales of T511 (40km) or T799 (25km). Extensive discussions on representativeness errors have been organized under the Joint OSSEs.

For development purposes, 91-level NR variables are processed at NCEP and interpolated to observational locations with all the information need to simulate data (OBS91L). OBS91L for all foot prints are produced for a few weeks of the T799 period in October 2005, and also for a thinned foot print for the entire period. NASA/GSFC and NESDIS are simulating radiance data. Thinning of the foot print is based on operational use of radiance data. The 91L are also available for development of a Radiative Transfer Model (RTM).

Simulations of an Unmanned Aircraft System (UAS) are funded and the simulation is in progress at NOAA/ESRL. Simulations of Doppler Wind Lidar are funded and in progress at KNMI, NASA and SWA. KNMI is seeking funding to simulate scatterometer data.

Precursor assimilations are being performed. A precursor assimilation is being performed with low resolution to check the OSSE system. It will provide initial conditions for OSSE experiments for specific periods, and a spin up for bias correction. The precursor run will be used for calibration as well.

5. Further OSSE applications

The THORPEX Pacific - Asian Regional Campaign (T-PARC) project plans to conduct OSSEs using the Joint OSSE Nature Run. The goal is to design the experimental setup for the field phase for certain instruments. Development of strategies for targeted

observations is one of the focuses for T-PARC OSSEs. OSSEs for UAS are also planned.

It has been found that OSSEs are very powerful tool to study error characteristics in DAS (Errico et al.). Knowing the truth, with readily available observations, OSSE data will be very helpful in the development of DAS and RTM.

Although the Joint OSSE will concentrate on OSSEs using the existing three NRs for the next few years, there are demands for a higher resolution mesoscale NR as higher resolution models and data become available. A mesoscale NR could be regional, global (Satoh et al. 2007) or a variable resolution global model. If a regional model is used for either the NR or DAS, the effects of lateral boundary conditions have to be evaluated. An OSSE using regional DAS with a higher resolution model using the existing global NRs is strongly recommended before any regional NR is produced.

6. Summary

It is a challenging task to evaluate the realism of impacts from OSSEs. Due to the uncertainties in an OSSE, the differences between the NR and real atmosphere, the process of simulating data, and the estimation of observational errors all affect the results. Evaluation metrics also affect the conclusion. One criticism is that OSSEs would produce too optimistic a data impact but a simulated data impact could also be pessimistic. Consistency in results is important. However, it is important to be able to evaluate the source of the errors and uncertainties. As more information is gathered we can perform more credible OSSEs. If the results are inconsistent, the cause of the inconsistency needs to be investigated carefully. If the inconsistencies are not explained, interpretation of the results becomes difficult. NCEP's OSSEs have demonstrated that carefully conducted OSSEs are able to provide useful recommendations to influence the design of future observing systems. The advantages of scanning were clear from the results of the NCEP DWL OSSE. ESA is planning to use multiple non scanning lidars to capture the effects of scanning. NASA proposed the Global Wind Observing Sounder (GWOS) with multiple lidars on one satellite.

As models improve, there is less improvement in the forecast due to observations. Sometimes the improvement in forecasts due to model improvements is much greater than the improvement due to observations. OSSEs will be able to provide guidance on where more observations are required and where the model needs to be improved.

OSSEs will be conducted by various scientists with different interests. Some are investigating the potential applications of particular instruments. Others may want to aid in the design of a global observing system. Operational centers such as NCEP will perform the role of finding a balance among conflicting interests to seek an actual improvement in weather predictions.

The experience of recent OSSEs also demonstrated that OSSEs often produce unexpected results. Theoretical prediction of the data impact and a theoretical backing for the OSSE results are very important. On the other hand, unpredicted OSSE results stimulate further theoretical investigation. When all efforts come together, OSSEs will help with timely and reliable recommendations for future observing systems. At the same time, OSSEs will prepare for the operational DAS to promote the prompt and effective use of the new data.

Appendix A

Detailed Description of the Joint OSSE NRs

T511NR (study data impacts on large scale events)

Length: 13 months

Initial conditions: Operational analysis at 12z May 1, 2005

Horizontal resolution: T511 Number of vertical levels: 91

Period covered: May 1, 2005 - June 1, 2006

Frequency of archive: 3-hourly

T799Oct05 (five week long hurricane period)

Length: five weeks

Initial conditions: T511NR at 12z September 27, 2005

Horizontal resolution: T799 Number of vertical levels: 91

Period covered: September 27 - November 1,2005

Frequency of archive: hourly

T799Apr06 (season for mid-latitude severe storms)

Length: five weeks

Initial conditions: T511NR at 12z April 10, 2006

Horizontal resolution: T799 Number of vertical levels: 91

Period covered: April 10 - May 15,2006

Frequency of archive: hourly

Data Format

Grib1

Decoder is available at

http://www.ecmwf.int/products/data/software/download/gribex.html

Time Series

Time series of surface data on a 1deg x 1deg for both T799NR and T511NR CP(Convective precipitation), LSP(Large Scale Precipitation) HCC,MCC,LCC, SD SKT, T2m, TD2m, U10m,V10m, and MSLP

Time series of all surface data on a 0.5 deg x 0.5 deg for T799 NR Surface height on a 1deg x 1deg for May 1, 2005 at 12z

Variables

Model level data:

Reduced Gaussian grid used for model

N256 for T511NR (1024 grid point around the equator) N400 for T799NR (1600 grid point around the equator)

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91-level data:
                          U velocity [m s**-1]
Uhbl
                 131
Vhbl
                 132
                          V velocity [m s**-1]
VOhbl
                 138
                          Vorticity (relative) [s**-1]
Whbl
                 135
                          Vertical velocity [Pa s**-1]
Thbl
                 130
                          Temperature [K]
                 203
                          Ozone mass mixing ratio [kg kg**-1]
O3hbl
CChbl
                 248
                          Cloud cover [(0 - 1)]
CIWChbl
                          Cloud ice water content [kg kg**-1]
                 247
                          Cloud liquid water content [kg kg**-1]
CLWChbl
                 246
Dhbl
                          Divergence [s**-1]
                 155
Ohbl
                 133
                          Specific humidity [kg kg**-1]
no100hbl
                          Geopotential height
                 100
Single level data:
LNSPhlev1
                 152
                          hybrid level 1 Logarithm of surface pressure
Zhlev1
                 129
                          hybrid level 1 Geopotential [m**2 s**-2]
Surface data:
Reduced Gaussian grid used for model.
N256 for T511NR (1024 grid point around the equator)
N400 for T799NR (1600 grid point around the equator)
                 165
                          10 metre U wind component [m s**-1]
no10Usfc
no10Vsfc
                 166
                          10 metre V wind component [m s**-1]
                          2 metre dewpoint temperature [K]
no2Dsfc
                 168
                          2 metre temperature [K]
no2Tsfc
                 167
ASNsfc
                  32
                          Snow albedo [(0-1)]
BLDsfc
                 145
                          Boundary layer dissipation [W m**-2 s]
BLHsfc
                 159
                          Boundary layer height [m]
                          Convective available potential energy [J kg**-1]
CAPEsfc
                  59
CHNKsfc
                 148
                          Charnock
                          Sea-ice cover [(0-1)]
Clsfc
                  31
CPsfc
                          Convective precipitation [m]
                 143
                          Evaporation [m of water]
Esfc
                 182
ESsfc
                  44
                          Snow evaporation [m of water]
EWSSsfc
                 180
                          East/West surface stress [N m**-2 s]
FALsfc
                 243
                          Forecast albedo [(0 - 1)]
                          Forecast log of surface roughness for heat
FLSRsfc
                 245
                          Forecast surface roughness [m]
FSRsfc
                 244
GWDsfc
                 197
                          Gravity wave dissipation [W m**-2 s]
                          High cloud cover [(0 - 1)]
HCCsfc
                 188
LCCsfc
                 186
                          Low cloud cover [(0 - 1)]
                          Lat. component of gravity wave stress [N m**-2 s]
LGWSsfc
                 195
                 172
                          Land/sea mask [(0, 1)]
LSMsfc
LSPsfc
                 142
                          Stratiform precipitation [m]
                          Large-scale precipitation fraction [s]
LSPFsfc
                  50
                          Medium cloud cover [(0 - 1)]
MCCsfc
                 187
                          Meridional component of gravity wave stress [N m**-2 s]
MGWSsfc
                 196
MSLsfc
                 151
                          Mean sea-level pressure [Pa]
                          North/South surface stress [N m**-2 s]
NSSSsfc
                 181
                          Photosynthetically active radiation at the surface [W m**-2]
PARsfc
                  58
                 205
                          Runoff [m]
ROsfc
RSNsfc
                  33
                          Snow density [kg m**-3]
SDsfc
                 141
                          Snow depth [m of water equivalent]
                          Snowfall (convective + stratiform) [m of water equivalent]
SFsfc
                 144
                          Skin temperature [K]
SKTsfc
                 235
SLHFsfc
                 147
                          Surface latent heat flux [W m**-2 s]
                          Snowmelt [m of water]
SMLTsfc
                  45
SRCsfc
                 198
                          Skin reservoir content [m of water]
                          Surface sensible heat flux [W m**-2 s]
SSHFsfc
                 146
SSRsfc
                 176
                          Surface solar radiation [W m**-2 s]
```

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SSRCsfc
                210
                         Surface net solar radiation, clear sky [W m**-2]
SSRDsfc
                 169
                         Surface solar radiation downwards [W m**-2 s]
SSTKsfc
                  34
                         Sea surface temperature [K]
STRsfc
                 177
                         Surface thermal radiation [W m**-2 s]
                         Surface net thermal radiation, clear sky [W m**-2]
STRCsfc
                211
                         Surface thermal radiation downwards [W m**-2 s]
STRDsfc
                 175
                         Sunshine duration [s]
SUNDsfc
                 189
TCCsfc
                 164
                         Total cloud cover [(0 - 1)]
TCO3sfc
                206
                         Total column ozone [Dobson]
                         Total column water [kg m**-2]
TCWsfc
                 136
TCWVsfc
                         Total column water vapour [kg m**-2]
                 137
                         Temperature of snow layer [K]
TSNsfc
                 238
                         Top solar radiation [W m**-2 s]
TSRsfc
                 178
                 208
                         Top net solar radiation, clear sky [W m**-2]
TSRCsfc
                         Top thermal radiation [W m**-2 s]
TTRsfc
                 179
TTRCsfc
                 209
                         Top net thermal radiation, clear sky [W m**-2]
UVBsfc
                  57
                         Downward UV radiation at the surface (Ultra-violet band B) [W m**-2]
                         Geopotential [m**2 s**-2]
Zsfc
                 129
                         Total column liquid water [kg m**-2]undefined
var78sfc
                  78
                  79
                         Total column ice water [kg m**-2]undefined
var79sfc
STL10_7cm
                 139
                         0-7 cm underground Soil temperature level 1 [K]
STL27_28cm
                         7-28 cm underground Soil temperature level 2 [K]
                 170
STL328_100cm 183
                         28-100 cm underground Soil temperature level 3 [K]
STL4100 255cm 236
                         100-255 cm underground Soil temperature level 4 [K]
                         0-7 cm underground Volumetric soil water layer 1 [m**3 m**-3]
SWVL10_7cm
                  39
                         7-28 cm underground Volumetric soil water layer 2 [m**3 m**-3]
SWVL27_28cm
                  40
                         28-100 cm underground Volumetric soil water layer 3 [m**3 m**-3]
SWVL328_100cm 41
SWVL4100 255cm
                                  100-255 cm underground Volumetric soil water layer 4 [m**3 m**-3]
ISTL10 7cm
                         0-7 cm underground Ice surface temperature layer 1 [K]
ISTL27 28cm
                  36
                         7-28 cm underground Ice surface temperature layer 2 [K]
                         28-100 cm underground Ice surface temperature layer 3 [K]
ISTL328_100cm 37
ISTL4100_255cm 38
                         100-255 cm underground Ice surface temperature layer 4 [K]
```

Verification data are provided for pressure levels. Regular lat lon on a 1deg x 1deg for T511 NR Regular lat lon on a 0.5deg x 0.5deg for T799 NR

31 Pressure levels:

1000 975 950 925 900 850 800 775 750 700 650 600 550 500 450 400 350 300 250 200 150 100 70 50 30 20 10 7 5 3 2 1

```
Variables
          248
                 Cloud cover [(0 - 1)]
CCprs
CIWCprs 247
                 Cloud ice water content [kg kg**-1]
CLWCprs 246
                 Cloud liquid water content [kg kg**-1]
                 Divergence [s**-1]
Dprs
          155
                 Ozone mass mixing ratio [kg kg**-1]
O3prs
          203
                 Specific humidity [kg kg**-1]
Qprs
          133
                 Relative humidity [%]
Rprs
          157
Tprs
          130
                 Temperature [K]
                 U velocity [m s**-1]
Uprs
          131
                 V velocity [m s**-1]
Vprs
          132
VOprs
          138
                 Vorticity (relative) [s**-1]
Wprs
          135
                 Vertical velocity [Pa s**-1]
          129
                 Geopotential [m**2 s**-2]
Zprs
```

Verification data on isentropic levels.

Regular lat lon on a 1deg x 1deg for T511 NR

Regular lat lon on a 0.5deg x 0.5deg for T799 NR

Five potential temperature levels: 315,330,350,370,530

Variables

Dtht 155 Divergence [s**-1]

MONTtht 53 Montgomery potential [m**2 s**-2]
O3tht 203 Ozone mass mixing ratio [kg kg**-1]

PREStht 54 Pressure [Pa]

PVtht 60 Potential vorticity [K m**2 kg**-1 s**-1]

 Qtht
 133
 Specific humidity [kg kg**-1]

 Utht
 131
 U velocity [m s**-1]

 Vtht
 132
 V velocity [m s**-1]

 VOtht
 138
 Vorticity (relative) [s**-1]

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The list of OSSE related references are available at http://www.emc.ncep.noaa.gov/research/JointOSSEs/references/

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