

Chris J. Walcek*

Atmospheric Sciences Research Center, State University of New York, Albany, New York, 12203, U. S. A.

1. INTRODUCTION

Classical plume and puff dispersion models are derived from differential equations that assume that homogeneous turbulence alone is responsible for dispersing pollutants away from the plume centerline downwind of point sources. However, observed dispersive behavior of plumes cannot be explained in terms of turbulent diffusion alone, requiring empirical adjustment of horizontal and vertical dispersion parameters (σ) used in regulatory plume models.

In this paper, a more general derivation of the dispersion behavior of a plume is provided by considering the effects of shearing motions on plume dispersion. In section 2, the mathematical formulation of a steady-state plume emitted into an environment containing wind shear is presented. General features of this sheared plume are described in Section 3, showing more consistency with observed plume dispersion. Section 4 specifically addresses the effects of shear on horizontal "size" or dispersion of pollution plumes. Observations of typical shear magnitudes derived from profiler wind measurements are briefly presented in Section 5. Such measurements would be required to fully explain observed plume behavior.

2. DERIVATION: PLUME WITH SHEAR

The initial transport and dispersion of pollutants in plumes downwind of point sources in the atmosphere can be mathematically quantified using a steady-state three-dimensional advection-diffusion equation:

$$u \frac{\partial c}{\partial x} + v \frac{\partial c}{\partial y} = K_h \frac{\partial^2 c}{\partial y^2} + K_z \frac{\partial^2 c}{\partial z^2} \quad (1)$$

where c is the pollutant concentration, K_h and K_z are the horizontal and vertical turbulent diffusion coefficients, and u and v are the wind speeds parallel to and perpendicular to the mean wind. Classical Gaussian plume formulations represent one solution to this equation under conditions when there are no motions perpendicular to the mean motion ($v=0$). Analytical solutions to Eq. (1) exist for some simple configurations of v . For example, if v varies linearly with height (z)

$$v = z \frac{\partial v}{\partial z} \quad (2)$$

and the shear perpendicular to the mean ($\partial v/\partial z$) is constant, the following analytical solution exists for a point source emitted at $x=y=z=0$.

$$c = \frac{Q}{2\pi u \sigma_z \sigma_y \sqrt{1+s^2/12}} \cdot \exp \left[\frac{-y^2}{2\sigma_y^2(1+s^2/12)} + \frac{-z^2(1+s^2/3)}{2\sigma_z^2(1+s^2/12)} + \frac{yz}{2\sigma_y \sigma_z (1+s^2/12)} \right] \quad (3)$$

*Author address: ASRC, 251 Fuller Rd., Albany, NY 12203-3649; email: walcek@asrc.cestm.albany.edu

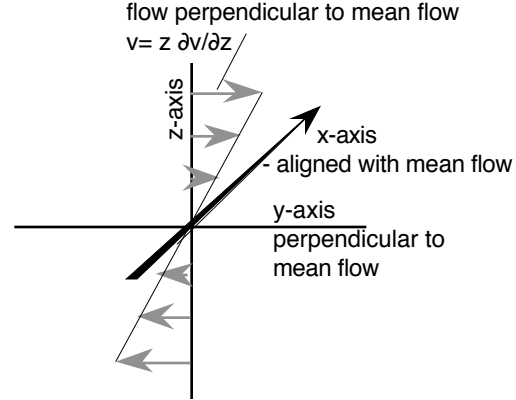


Fig. 1. Schematic of coordinate system adopted for this derivation showing mean flow along x-axis and flow perpendicular to mean wind. Mean flow directed into page. Flow perpendicular to mean flow is assumed to vary linearly with height.

where Q is the emission rate (mass s^{-1}), and lateral and vertical dispersions are given as

$$\sigma_y = \sqrt{\frac{2K_h x}{u}}, \quad \sigma_z = \sqrt{\frac{2K_z x}{u}} \quad (4)$$

and s is a nondimensional shear factor

$$s = \frac{\partial v}{\partial z} \frac{x}{u} \frac{\sigma_z}{\sigma_y} = \frac{\partial v}{\partial z} \frac{x}{u} \sqrt{\frac{K_z}{K_h}} \quad (5)$$

Cursory analysis of (3) shows that it reverts to the classical Gaussian plume formulation when shear is neglected. When $\partial v/\partial z=0$, $s=0$ and the third "yz" term in the exponential factor of Eq. (3) drops out. Fig. 1 shows schematically the coordinate system and configuration of winds used for this derivation.

The solution presented here was originally derived by Konopka (1995) and Dürbeck & Gerz (1996) to describe the cross-section of a stationary plume segment in a sheared environment. Here the "time" variable used in their formulation is substituted with the distance downwind of the point source divided by the mean wind speed transporting the plume downwind ($t=x/u$).

3. CHARACTERISTICS OF SHEARED PLUME

Fig. 2 shows a vertical "slice" of a "curtain" of concentrations perpendicular to the mean wind through a plume 40 km downwind of its emission point calculated using Eq. (3). For this plume, typical mid-day boundary layer wind speed (7 m s^{-1}), diffusivities ($K_h=K_z=125 \text{ m}^2 \text{ s}^{-1}$) and shear ($3 \text{ m s}^{-1} \text{ km}^{-1}$) are specified. Winds perpendicular to the mean flow (which in this case flows into or out of the page) below the centerline of the plume displace the plume to the right in this view, while winds above the centerline displace the plume to the left. The vertical scale of Fig. 2 is greatly exaggerated, and the

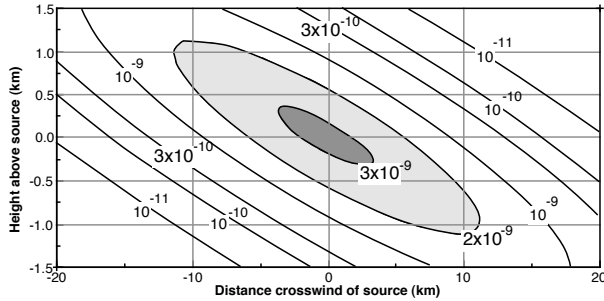


Fig. 2. Vertical “slice” through plume perpendicular to the mean wind showing tilted axis of normalized concentration (C/Q) 40 km downwind of release.

“tilt” of the plume is only about 10% from horizontal. For most observed plumes in the atmosphere, a “tilt” of this magnitude would be indistinguishable from a horizontal plume, however, it is clear that the shearing motions have significantly enhanced the apparent horizontal plume dispersion.

Fig. 3 shows concentration vs. crosswind distance 1000m below the plume centerline at 3 distances downwind of the emission point for the conditions described above. As one moves downwind, the highest concentrations encountered in the cross-wind direction are laterally displaced from the plume centerline in the direction of the shearing winds that carry the plume away from its centerline. Numerous field observations of plumes have shown that plume centerlines can be displaced from the mean wind direction (e. g. Shannon 1981), and these results suggest that shearing motions, which are difficult to measure, are probably influencing those measured plumes.

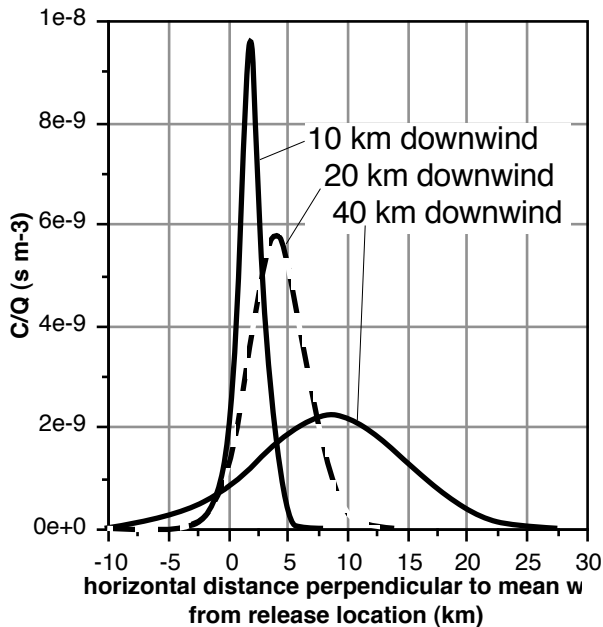


Fig. 3. Normalized concentration 1000 m below release altitude vs. crosswind distance for several distances downwind of release point.

4. HORIZONTAL DISPERSION WITH SHEAR

Visual inspection of the terms in Eq. (3) shows that the horizontal dispersion is enhanced relative to purely turbulent diffusion, and this “effective” horizontal dispersion (σ_y') can be represented by:

$$\sigma_y' = \sigma_y \sqrt{1 + s^2/12} = \sqrt{\frac{2Kx}{u}} \sqrt{1 + \frac{1}{12} \left(\frac{\partial v}{\partial z} \frac{x}{u} \frac{\sigma_z}{\sigma_y} \right)^2} \quad (6)$$

where σ_y is dispersion resulting from a purely diffusive process.

Fig. 4 shows the effective horizontal dispersion quantified in Eq. (6) as a function of distance downwind of a point source. Here a wind speed of 10 m s^{-1} is used, shear in ranges from $0 - 10 \text{ m s}^{-1}\text{km}^{-1}$, and diffusion coefficients range from nominal stable night values ($1 \text{ m}^2\text{s}^{-1}$) to typical unstable daytime ($100 \text{ m}^2\text{s}^{-1}$) conditions. Gray area denotes range of observed horizontal plume dispersion from standard Gaussian plume empirical formulations for daytime “class A” (greatest) to night “class F” (lowest) dispersion.

Fig. 4 shows that in the limit of shear-dominated dispersion, the shear term in Eq. (6) involving $\partial v/\partial z$ is $\gg 1$, and plumes grow in proportion to the 1.5 power of the downwind distance. The range of observed plume sizes encompasses both the magnitudes and power-law of distance expressions derived here.

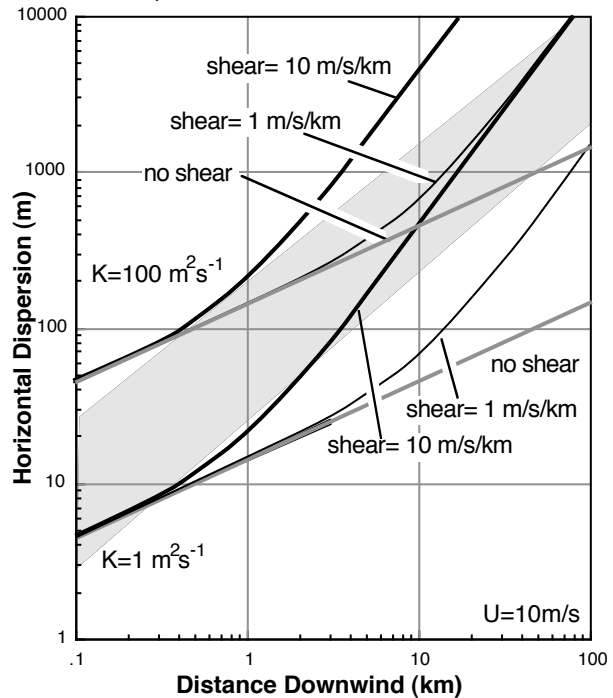


Fig. 4. Effective horizontal dispersion as a function of distance downwind of a point source. Wind speed = 10 m s^{-1} , shear in range of $0 - 10 \text{ m s}^{-1}\text{km}^{-1}$, diffusion coefficients range from nominal stable night values ($1 \text{ m}^2\text{s}^{-1}$) to typical unstable daytime ($100 \text{ m}^2\text{s}^{-1}$). Gray area denotes range of observed horizontal plume dispersion from standard Gaussian plume empirical formulations for daytime “class A” (greatest) to night “class F” (lowest) dispersion.

Near the source, shear is not important, and plume dispersion is governed by purely turbulent processes, thus yielding $\sigma \sim x^{0.5}$. At larger distances downwind, growth rates that spread pollutants with distance of $x^{1.5}$ power result from the fact that the plume is growing vertically by a turbulent process ($\sim x^{0.5}$), but this vertical growth exposes the plume to shearing motions that grow linearly with distance ($\sigma \sim \partial v/\partial z x/u \sim x^1$). These two effects are essentially multiplicative, yielding plumes that grow in proportion to the 3/2 power of distance from release or emission point.

Fig. 4 clearly shows that for distances beyond about 1 km downwind of a point source, shearing motions will under many conditions dominate the horizontal dispersion process relative to the dispersion caused by turbulence alone. For distances beyond 1-2 km downwind, even small amounts of shear are shown to enhance plume horizontal size by factors of 5-10 relative to plumes growing without shear.

Another note of interest is that under stable, night conditions, the only way the theory derived here can match observed dispersion 1-10 km downwind of the release point is for there to be considerable shear present under night conditions, which is consistent with many observations. At night, with diffusion coefficients of $\sim 1 \text{ m}^2\text{s}^{-1}$, in the downwind range 1-10 km, plumes only grow to 15-40 m in width in environments without shear. Observations under "class-F" stability conditions are considerably greater than this (30-300 m), suggesting that relatively high shear ($\sim 10 \text{ m s}^{-1}\text{km}^{-1}$) must occur in order to obtain such large horizontal spread.

4. TYPICAL SHEAR PROFILES IN PBL

Fig. 5 shows a vertical profile of winds measured in the lowest 1000 m above the local terrain using the NOAA profiler over Schenectady, NY at 1 PM local time on 23 Oct 2003. At the time of this sounding, the turbulent atmospheric mixed layer was higher than the height of wind measurements shown in this figure. Winds plotted here are defined relative to the MEAN wind in the entire layer, decomposed into deviations parallel to and perpendicular to the mean. Average winds were blowing at 8.5 m/s from a northwesterly direction (312°). Fig. 5 shows that winds perpendicular to the mean flow in this layer vary in an approximately linear fashion with height for these typical conditions, thus justifying a key assumption of this plume model derivation (Eq. 2).

In a companion paper at this meeting (paper 6.17), an entire month's worth of shear measurements perpendicular to the mean flow are presented.

5. CONCLUSIONS

A mathematical solution to the steady-state advection-diffusion equation for point sources of pollution emitted into an environment containing turbulence and wind shear perpendicular to the mean flow is derived. It is found that shearing motions perpendicular to the mean flow significantly enhance horizontal plume dispersion in a manner consistent with observations. Current-generation Gaussian plume models only indirectly and empirically account for the influence of shear on plume dispersion. According to the

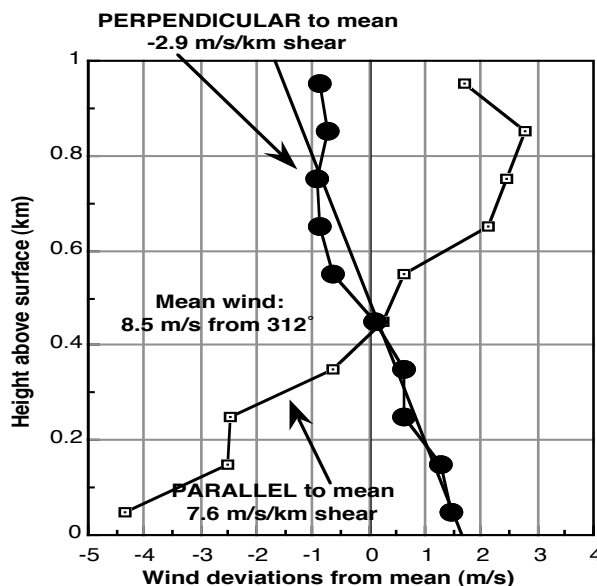


Fig. 5. Variation of wind with height in lowest kilometer above surface measured with a radar profiler over Schenectady, NY at 1 PM local time on 23 Oct 2003. Winds plotted here are decomposed into deviations parallel to and perpendicular to the mean wind averaged over the lowest 1000m of 8.5 m/s blowing from 312° .

ideal mathematical derivation, shearing effects lead to plume dispersions (σ) increasing with powers of downwind distance (x) ranging from $\sigma \sim x^{0.5}$ to $\sigma \sim x^{1.5}$, depending on the distance from the release location, and the relative magnitudes of turbulence and shear in the flow. With plume vertical dispersion limited by the surface and the depth of the planetary boundary layer, it is found using an Eulerian advection-diffusion model that plume dispersion transitions from turbulence-dominated dispersion close to a source ($\sigma \sim x^{0.5}$), to a shear-dominated regime at intermediate distances ($\sigma \sim x^{1.5}$), followed by a shear-enhanced "effective diffusion" regime again at far distances where dispersion grows with the square root of downwind distance ($\sigma \sim x^{0.5}$). Observations of shear in the planetary boundary layer derived from high time-resolution wind profilers are presented showing typical magnitudes of shear perpendicular and parallel to the mean wind for a continental location in the eastern U. S.

According to this theoretical plume formulation, under typical atmospheric conditions, sufficient shear exists in the PBL such that shearing motions are probably the most important factor governing horizontal plume dispersion at distances beyond a few kilometers from the release point.

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